1 6 SEP 2013

LEGISLATIVE COUNCIL

Ron Taylor Response to Questions on Notice

The following response is provided to matters taken as 'Questions on Notice' during my appearance before the Legislative Council Privileges Committee on Monday 26 August 2013.

Question at page 4

Reverend the Hon. FRED NILE: The Maddocks report refers to you accessing one document on Trim four times, are you now aware which document it was and why you appeared to have difficulty deciding whether you should provide it to include it?

Mr TAYLOR: I do not know which document that was.

Reverend the Hon. FRED NILE: Can you take that on notice and see if you can find out?

Response:

The document in question is a Ministerial briefing note entitled "Extension of Coal Allocation Areas Expressions of Interest" with the document number BN08/2311. The final version of this briefing note was produced at Item a)5 in the Return, however, two earlier versions of the briefing note were not and these were therefore included in the list of 139 documents not produced.

Maddocks advise they were unable to ascertain which version of the document was accessed as the IT file audit logs do not include this information.

I am currently a basic user and, as at 2009, had minimal experience or involvement with TRIM. At that time I would not have been aware previous versions of documents were accessible nor known how to search TRIM for them. I therefore believe the version I accessed was the 'final' version that was provided in the Return at item a)5.

Question at page 12

Mr TAYLOR: I do not have it with me, but one of the documents I gave Maddocks was my flex sheet, my time sheet for that period, and there may have been an entry on there that said that I was in the Sydney office on the Monday and might have taken it in for the Standing Order 52.

CHAIR: Do you still have that time sheet?

Mr TAYLOR: I can get it. I will take that as a question on notice, if you like.

Response:

Item 1 is a copy of my Flexsheets for the periods 26 October – 22 November 2009 and 23 November – 20 December 2009.

Items 13, 14, 15 and 18 are also relevant in that they indicate arrangements to work from the Sydney Office.

Question at page 15

CHAIR: Are you able to go back through your system and determine when you received from Mr Sheldrake's office the letter from Leigh Sanderson regarding the SO 52?

CHAIR: What I want to know is did this letter sit in the in tray-out tray of Richard Sheldrake's office, and that includes obviously his administrative staff, or frankly was it sitting in yours?

CHAIR: That means there is an email to you on 19 November indicating that you have some work to do on this SO 52?

Mr TAYLOR: There is a notification from TRIM. I am not sure if it is an email notification or not—sorry, it must be, yes.

CHAIR: Will you take on notice that you produce to this Committee evidence that demonstrates when you received the Leigh Sanderson letter?

Mr TAYLOR: Yes.

CHAIR: Could I invite you to do a search of your emails and find all emails relevant to this SO 52, that is, any email with anyone during the relevant period?

Question at page 19

CHAIR: one of the documents that we would like you to find and produce to us—so you are taking this on notice—is your email of 23 November 2009, being the one that attaches the draft response. The draft response might be there but what I want you to do is find the email itself that is on your system and also—Mr TAYLOR: Sorry, the email that attached the draft response?

CHAIR: Yes, the email that attached the draft response. It is on the second-last line in the middle of the page; it is your email sent at 10.23 on 23 November 2009. We would like that email together with the draft response that was attached. So we are also clear: specifically we request the email that you received from William Hughes on 23 November 2009 at approximately 10.31 a.m., which is the email attaching the letter from Loyal Coal. What I ask is, because I assume it is a Word document, that you also provide the copy of the draft index that would have been attached to the draft response that you provided to Phil Anquitel in some way prior to 11.44 on 23 November 2009.

But I really do encourage you, Mr Taylor—and this is not meant in a threatening way—to search your system as thoroughly as you can for every email relevant to this between, and I think we can take the dates of, 19 November 2009 and 26 November 2009.

Response:

The questions at pages 15 and 19 each required a search of my email account.

Item 2 is a 'screenshot' of the results of a search by IT to identify all messages held in my account for the period 19 November to 26 November 2009. This was undertaken by an IT staff member remotely accessing my desktop computer.

I reviewed each of these messages and highlighted those that have relevance to the Standing Order. These are attached and have been numbered as Items 3 to 21.

I have reproduced these messages and their attachments in full unless otherwise indicated.

Item 3 is the notification from the TRIM system sent to me at 9:02am on 19 November 2009. Although it appears to be an attached document, the icon 'URGENT- Standing Order 52 – Exploration Licence – Mt Penny.tr5' is a button that, when pressed separately opens the TRIM system and takes the user to the TRIM document to be actioned. I have included this document as part of Item 3 to provide a complete response.

Item 8 – the message attachment 'Western_Coalfield_Notes.pdf' is 190 pages. The first page has been provided for identification of the document in the Return at item a)40. Items 9, 10 and 11 contain multiple attachments. The first page of each attachment has been provided for identification of these documents in the Return at item a)39

Item 12 contains two attachments - the EOI Information Packs dated August 2008 and January 2009. The first page of each has been provided for identification of these documents in the Return at Items a)3 and a)6 respectively.

Item 13 is my message 8:55am Friday 20 November 2009 that I needed to do some urgent work in the Sydney Office that morning and needed to know as soon as possible if I could access my electronic accounts and files from that office.

Item 15 is my request 9:49am Friday 20 November 2009 to access a workstation and computer in the city that morning/afternoon.

Item 16 is information sent to William Hughes at 10am Friday 20 November 2009 on previous claims for privilege.

Item 18 is the response 10:32am Friday 20 November 2009 to my request for access to a workstation in the Sydney Office.

Item 19 was sent at 11:23am on Monday 23 November 2009 to Phil Anquetil, William Hughes, Brad Mullard, Jeannine Biviano and Tony Heffernan. The attachment 'Standing Order 52 EL 3772 23 11.09 response doc' is the 'draft response' queried by the Chair at Page 19 and has been reproduced in full. This is the covering letter responding to the Order to be signed by the Director General with an attached Claim for Privilege. I drafted this document and sent it to the people listed to ensure they were satisfied with the Claim for Privilege. This did not include the Index of documents — that was being progressed separately in Excel format.

Item 20 was sent to me by William Hughes at 11:31am on Monday 23 November 2009. The attachment is the Loyalty Coal document and is reproduced in full as it was received.

Item 21 is the Index provided with the Return. This comprises three Excel spreadsheets sent to Phil Anguetil at 3:48pm Wednesday 25 November 2009. These are reproduced in full.

Ron Taylor

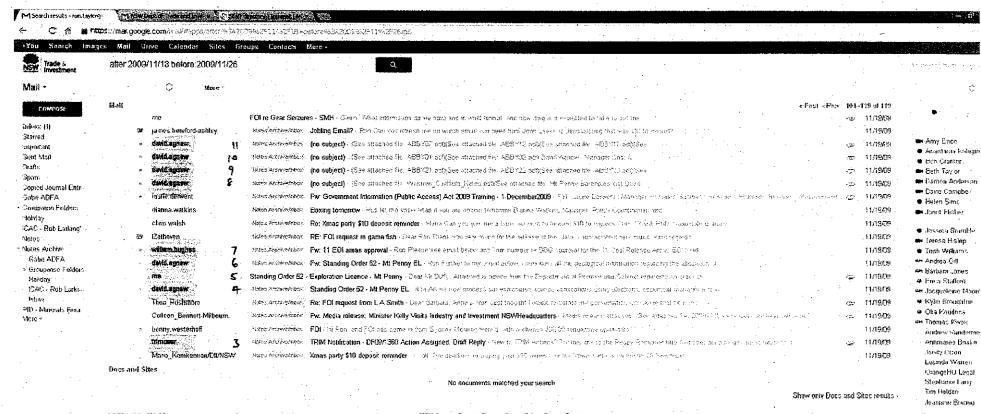
16 September 2013

FLEXI-TIME			DEF	PT. OF PRIMARY INDUSTRIES			Name: Roi	1 Taylor	<u> </u>	
RECORD	Branch:	Cronulla	Fisheries	Section: FO:					-	
Period From:	26 Oct 09	То:	22 Nov 09	Study Leave - Hours Per Week:	0:00	Bal B/Fwd:	20 :52	Credit	Supervis	ors us
Date	Arrive	Lunch	Depart	Comments	Adjust ments	Daily Hours	Tally of Hours	Standard Hours	Leave Form	init.
Mon 26 Oct 09	8:55	0:30	16: 5 0			7:25	28 :17			
Tue 27 Oct 09	8:50	0:30	16:40			7:20	35 :37			
Wed 28 Oct 09	8:40	0:30	16:15		1	7:05	42 42			~
Thu 29 Oct 09	8:40	0.30	16:10		1	7:00	49 :42			
Fri 30 Oct 09	7:30	0:30	15:45		†	7:45	57 :27			
Sat 31 Oct 09				to the second of		0:00	57 :27			
Sun 01 Nov 09				manute in the second se	T	0:00	57 :27	35		
Mon 02 Nov 09	8:10	0:30	16:20			7:40	65 :07			
Tue 03 Nov 09	8:15	0:30	15:45		1	7:00	72 :07			
Wed 04 Nov 09	8:40	0:30	16:30		1	7:20	79 :27			
Thu 05 Nov 09	8:10	0:30	16:15			7:35	87 :02			
Fri 06 Nov 09	9:00	0:30	16:00	-		6:30	93 :32			
Sat 07 Nov 09			1	n sames y s an amount of a second of a		0:00	93 :32			
Sun 08 Nov 09					1	0:00	93 :32	70		
Mon 09 Nov 09	8:05	0:30	16:10			7:35	101 :07			-
Tue 10 Nov 09	8:15	0.30	16:45			8:00	109 :07			A
Wed 11 Nov 09	8:00	0:30	17:20			8:50	117 :57			
Thu 12 Nov 09	7:30	0:30	17:15	· · · · · · · · · · · · · · · · · · ·		9:15	127 :12			amar
Fri 13 Nov 69	7:30	0:30	17:25	· · · · · · · · · · · · · · · · · · ·		9:25	136 :37			,
Sat 14 Nov 09				And the second of the second o		0:00	136 :37		·	
Sun 15 Nov 09		ļ				0:00	136 :37	105		
Mon 16 Nov 09	8:00	0:30	16:15			7:45	144 :22			
Tue 17 Nov 09	8:10	0:30	16:00			7:20	151 :42			
Wed 18 Nov 09	8:30	0:30	16:30	entre de la companya	1:-	7:30	159 :12			i
Thu 19 Nov 09	9:00	0:30	18:20	SO 52		8:50	168 :02	i 		
Fri 20 Nov 09	8.00	0:30	17:30	SO52 City		9:00	177 :02			
Sat 21 Nov 09		-		7.7-7-10 A	1	0:00	177 :02			
Sun 22 Nov 09						0:00	177 :02	140		~
	Public Holi	av PH	Certified Co	prect: UT I. I	Total time		<u></u>		177	:02
Sick	Military Lea	•	1	orece: (I lugh)	- Contrac		• •	•	140	:00
	Without Pa		F Calculation:	s Checked and all	Balance			Credit		:02
	Full Day Fl			ications Submitted:	1	credit forfelte	ed or		1 .	:02
•	Half day fle					aken for exce			5	:00
Study Leave SL				//	Nett carrie	The same of the sa	week	Credit	4	:00

tem



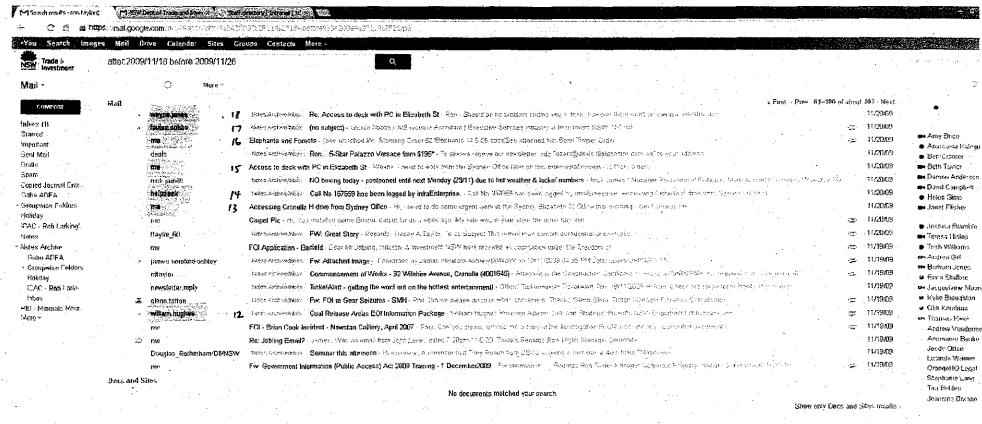
FLEXI-TIME	DEPT. OF PRIMARY INDUSTRIES						Name: Roi			
RECORD	Branch: Cronulla Fisheries Section: FOI									
Period From:	23 Nov 09	To:	20 Dec 09	Study Leave - Hours Per Week:	0:00	Bal B/Fwd:			Supervis	ors us
Date	Arrive	Lunch	Depart	Comments	Adjust ments	Daily Hours	Tally of Hours	Standard Hours	Leave	Init
Mon 23 Nov 09	8:30	0:30	18:00	SO 52		9:00	44 :00			
Tue 24 Nov 09	6:55	0:30	17:00	SO52		9:35	53 :35			
Ned 25 Nov 09	8:55	0:30	16:15			6:50	60 :25			
Thu 26 Nov 09	7:50	0:30	17:00	SO52		8:40	69 :05			
Fri 27 Nov 09	8:30	0:30	16:00			7:00	76 :05			
Sat 28 Nov 09						0:00	76 :05	:		
Sun 29 Nov 09						0:00	76 :05	35		
Mon 30 Nov 09	7:50	0:30	16:30			8:10	84 :15			
Tue 01 Dec 09	9:00	0:30	16:15			6:45	91:00			
Wed 02 Dec 09	9:00	0:30	15:45			6:15	97 :15			
Thu 03 Dec 09			T · · · · · · · · · · · · · · · · · · ·	Flex		0:00	97 :15			
Fri 04 Dec 09	8:40	0.30	16:40			7:30	104 :45			
Sat 05 Dec 09						0:00	104 :45			
Sun '06 Dec 09	****				<u> </u>	0:00	104 45	70		
Mon 07 Dec 09	9:10	0:30	16:45			7:05	111 :50			
Tue 08 Dec 09	9:10	0:30	15:50			6:10	118 :00			
Ved 09 Dec 09	9:00	0:30	17:50	SO52		8:20	126 :20			
Thu 10 Dec 09	8:15	1.00	22:00	SO52		12:45	139 :05			
Fri 11 Dec 09	8:20	1:00	22:00	SO52		12:40	151 :45			
Sat 12 Dec 09	9:45	0:30	16:09	SO52		5:45	157 :30			
Sun 13 Dec 09						0:00	157 :30	105		
Mon 14 Dec 09	9:10	0:30	16:45			7:05	164 :35	· · · · · · · · · · · · · · · · · · ·		
Tue 15 Dec 09		 	1	Flex		0:00	164 :35			 `
Ned 16 Dec 09	8.20	0:30	16:30			7:40	172 :15	/		
Thu 17 Dec 09			1	Flex	T	0:00	172 :15			1
Fri 18 Dec 09	8:40	0:30	15:45			6:35	178 :50			
Sat 19 Dec 09		<u> </u>	T			0:00	178 :50			[<u>.</u>
Sun 20 Dec 09		-	_			0:00	178 :50	140		ĺ
	Public Holic	day PH	Certified Co	prect: ACAM I	Total time	worked			178	:50
	Military Lea	•		orrect: CTay()	- Contrac	t hours 👵			140	:00
	Without Pa		Calculation	s Checked and all	Balance		-	Credit	38	:50
	Full Day Flexi F Leave Applications Submitted: - Excess credit forfeited or					ŧ	:50			
	Half day flexi 1/2 F + Leave taken for excess debit					0	:00			
tudy Leave SL		S		10:01	Nett carrie	ed forward	1.	Credit	35	:00



17.5 GB (1755) of 30 GB appea 114003

*20013 Google Hermant Service Presson Pretty Baseous Bratis. Personal by Google

ಕರ್ಷವಿಯ ಸರ್ವಿಗಳ ನಿರ್ವಹಣ



12.6.GR (47%) 65.38 68 mee 12.7.2.6. SOUTH & Groupe - Temporal Nameon - Parents Marrie - Property Parents

Perebreit by Google

adhagaeath gaile Linning is

Na documents matched your search.

Show only Dock and Sites results

ALADONAL GARAGO DI SARO DE LIBERRA EL CI

11/20/09

Lucada Warren

OrangeHU Legal

Simphaner Lang Ten Helden

Jeannine Boraso

vis CB 4273 of 30 CB ands United.

Does and Sites

debbie hichten

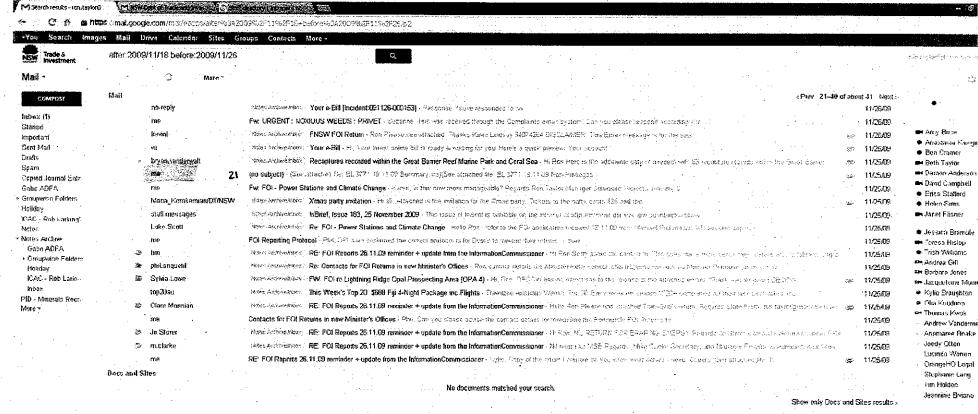
COST Coppe - Trans of Service - Environ Relay - Discrete Palair

Social Archivectures. Fix: Salety Advice - Supriming the best will electronic Government tags removes uses of securiorated trial and other and contact of

Powered ar Coogle



Provenencia Google



CAGO Goods Terms of Service - Prescuit process Sciences "owereaby Google

12.5 G804471-) of 38 G8 (post

Licente establica addressor lich mercente i equ

Miscorduresus-rentoylers

C 6 & Miscorduresus - mail.google.com/mis/4/mos/sites -0342009-02**; 1402**:03-bericesto.42009402**; 1402**:03-bericesto.42009**; 1402***; 1402**:03-bericesto.42009**; 1402***

Mail -

1-20 nt about 21 Next: COMPOSE tony heffernan 1489-2 Abbit (2009) - Re: Roused FOI Reporting Arrangements - Phá and Ron Sounds Site is consoble operance. Teny meticinan Director Lorsovasa Operations 🦮 🕫 11/26/09 inbox.49 Fwr. FOI Return - State Development - Pat Gamia from the Treasurer's Office requested tres information as renvented to EPC on ordinary 17/26/09 Starred 11/29/09 Revised FOI Reporting Arrangements - Prior to the creation of the Super Departments , practice and process was formative and Important REI FOI Return - Mineral Resources - Seson, Aside from the Keen mornphises master on the social extenditive date temeral Pescarbes received 11/26/09 Sent Mad Orafic hazer Archvolotics | RF FOI Return - Mingral Resources - Thanks, Cant this trake a decoment with the major FOIs pays to moote it MPs to the lost Simpath's 11/28/09 Jason Kara Spam Tenyl, Frazer redox Archivitishum. FOI RETURN FOR MACQUARIE GENERATION, Bear Ron Mir spotages for late mode — get of office mode of test and this mystel industrial 11/26/00 Capied Journal Entr. .. Laptop fix - Gode 1970, unable to tell the gards small the problem was with your hance. They over to think must 11/25/09 Gabe ADFA Brounwase Folders au 11/26/09 FOI Relum - State Development: Frot. Ploative find attached the FOI Platerri for the State Development or mounting as Holiday FOI Return - Mineral Resources - Jacon, Planse Soil attached the FOI Return for the Mineral Resources component of invostry & (CAC - Rob Larking) -Primary Industries FOI Return 26.11.09 - Revision, Please tine altaches the FOI Resum for the Finnary industries account or breaster in ap 11/25/09 Mates - Notes Archive Cherry FOI Return 25 11.09 Viduo, Physic Sull Unarried Ind FOF Return for the Entrny component of protestric Assessment NSW # 11/26/09 Gobo ADFA FOI Return 26:11 D9 - withoused by the Conspondance FOI Return for regions of investment PSN/Hot has tendent under a 11/26/03 ~ Groupwise Folders Re: freedom of information fortnightly reports for 27 November 2009. Notes I thanks Ean Reusing Ren, Yayer Manager, Color an Ricyard I require to Associate Associated Ren, Yayer Manager, Color and Region of Reusing Color and Ren, C 11/26/09 Holiday ICAC - Bon Larki HotDeats nation in the state of the stat 11/26/09 lating States individually the FOI Reports 25.11.09 reminder is update from the informationCommissioner in State, Au reliable and while identified a reminder is a reminder in the information of the information es 11/26/09 barmetronu PID - Minerals Prog Phone costs - Good, Attached is may calculations for how much you would be charged at full 1799 keep for the color. æ 11776/00 Mere -11/26/09 Banjamin.Fegwell Ring Recommend. freedom of information fortingfully reports for 27 November 2009 - HE Poir - Please and introduction to the tendence of information for the poly reports for 27 November 2009 - HE Poir - Please and introduction to the tendence of information fortingfully reports for 27 November 2009 - HE Poir - Please and introduction to the tendence of information for the poly reports for 27 November 2009 - HE Poir - Please and introduction to the tendence of information for the poly reports for 27 November 2009 - HE Poir - Please and introduction to the tendence of information for the poly reports for 27 November 2009 - HE Poir - Please and introduction to the tendence of information for the poly reports for 27 November 2009 - HE Poir - Please and introduction to the tendence of the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please and the poly reports for 27 November 2009 - HE Poir - Please 2009 philip.steparthy Sisted in the second of Philip McCarthy is out of the office. - I vaid be out to the alread stating LATINGCH year rail, not reform and SEM 10000 in our consenting. 11/26/69 1446-8456-8456-8 Re: FOI Reports 25.11.03 reminder + update from the InformationCommissioner - + Fing Science NSW is with a contradiction of the Contradicti 11/26/09 icos 11/25/09 SMH-FDI re Siezure information - Pfr.1. Can yas placed and one to discuss when claritished in Thanks Regaus. For Toylor Mendigar Corabilate me

No documents matched your search.

Show only Doca and Sites results -

Anny Broke

■ Apastasia

🕶 Antrea Silf

· Sen Crame

■ Beth Taylor

🖚 Damon Andr

■ David Cappl

Enca Statte:

• Helen Sims

🖦 Janet Elisho

Jessica Brat

Kybe Smurgh

🖛 Teresa Histo

• Insh Willian

🗪 Barbara Jone

🞮 Jacqueteine

Olia Koudrin

Thomas Ever

Andrew Vani

Annmaree & Josefy Otton

Lucinda War

OrangeHO L

Stephanie I : Tim Lididen

Jeanniae Ew

12.6 CE 14974 of 19 GE cood

flacs and Sites

OSSES Cooks - Trans at Sonato - Sindon Holen - Proprint Edition - Proprint - Pr



TRIM Notification - DF09/1360 Action Assigned. Draft Reply

trimuser@dpi.nsw.gov.au <trimuser@dpi.nsw.gov.au>
To: ron.taylor@industry.nsw.gov.au

Thu, Nov 19, 2009 at 9:02 AM

New to TRIM Actions? Try this link to the Ready Reckoner http://intranet.dpi.nsw.gov.au/admin/records/trim/faqs/trim-documents/action-tracking-TRIM.pdf

This Action has been recently created and assigned to you and is due to be started on 11/19/2009 at 8:54 AM. Could you please ensure that it is completed by 12/3/2009 at 9:00 AM.

Record Number: DF09/1360

Title: URGENT - Standing Order 52 - Exploration Licence - Mt Penny

Action: Draft Reply

Responsible Location: Taylor, Ron Due Date: 12/3/2009 at 9:00 AM

(See attached file: URGENT - Standing Order 52 - Exploration Licence - Mt Penny.tr5)

URGENT - Standing Order 52 - Exploration Licence - Mt Penny.tr5



0F09/1360 URGENT

13 NOV 2009

Dr Richard Sheldrake
Director General
Department of Industry and Investment
161 Kite Street
ORANGE NSW 2800

Facsimile: (02) 6391 3336

BY FACSIMILE AND POST

Dear Dr Sheldrake

Standing Order 52 - Exploration Licence - Mt Penny

I write to inform you that on Thursday,12 November 2009 the Legislative Council agreed to the following Resolution:

That, under standing order 52, there be laid upon the table of the House within 14 days of the date of the passing of this resolution all documents in the possession, custody or control of the Premier, the Department of Premier and Cabinet, the Minister for Mineral Resources and Minister for Primary Industries, the Department of Industry and Investment, the Treasurer, NSW Treasury, in relation to Exploration Licence 3771 (new Exploration Licence 7406) — Mt Penny, including any documents relating to the tender process, and any document which records or refers to the production of documents as a result of this order of the House.

An extract from the Minutes of the Proceedings of the Legislative Council, Thursday 12 November 2009, entry 9, page 1516, is attached.

The resolution requires the documents to be tabled within 14 days.

The following guidelines apply to your response to the Resolution.

Provision of Papers

All papers (other than Cabinet documents) which fall within the terms of the Resolution must be produced. Cabinet documents must not be produced (see section 6 below).

Papers which fall within the terms of the Resolution should be produced in full, even if they contain irrelevant information. That is, material should not be blacked out or otherwise masked when photocopying papers which fall within the terms of the Resolution:

It may, however, be appropriate to mask some material in documents if a claim for privilege is made (see section 3 below).

Please note that only photocopies of original papers and files are to be provided. All papers should be delivered by close of business on Friday, 20 November 2009 to:

Mr Paul Miller
Executive Director, Legal Branch
Department of Premier and Cabinet
Level 37, Governor Macquarle Tower
1 Farrer Place
SYDNEY NSW 2000

Please contact Mr George Makrides, Senior Project Officer, Legal Braπch, on (02) 9228 5871 if you wish to discuss any aspect of this request.

2. Non-privileged papers

Standing Order 52(3) provides that, 'A return under this order is to include an indexed list of all documents tabled, showing the date of creation of the document, a description of the document and the author of the document'.

A document showing the standard format to be used when preparing an index under Standing Order 52 is enclosed at **Annexure A**. Please adhere to the standard format when preparing an index of documents.

Please note that the index should contain the following information:

Document Number	'(a)' refers to the relevant paragraph of the Resolution, '(i)' refers to the relevant paragraph of the Resolution, '(i)' refers to the relevant subparagraph of the Resolution, and '1' refers to the first document in the group of documents that fall within subparagraph (a)(i).
ltem	The description of the relevant item as per the Resolution. For example, 'Documents relating to concept formulation and cost benefit analyses'.
Document	A short description of the relevant document. For example, 'Letter from X to Y'.
Date of creation	Where the exact date is unknown, insert an estimated date range if possible.
Author	The agency or organisation that created the document.
Privilege Claim	If the index relates to privileged documents, write 'Yes'. If the

index relates to non-privileged documents, write 'No'.

3. Claims of Privilege

A document showing the standard format to be used when making claims for privilege is enclosed at **Annexure B**. Please adhere to the standard format, where appropriate, when making a claim for privilege.

If a privilege claim is subsequently challenged, the question of whether the document is privileged will be referred to a legal arbiter for a ruling.

It is important, therefore, that the basis of the claim is clearly set out in the response to the Resolution so that the arbiter can properly assess the validity of the claim. There will be no opportunity to provide further submissions in support of a claim of privilege if it is challenged.

Please note that a <u>separate index</u> is required for 'non-privileged' and 'privileged' documents as these types of documents are returned separately.

If a document contains only a minor amount of privileged material, it may be appropriate to produce two copies of the document: a full copy of the document as a 'privileged' document, and a copy of the document with the privileged material blacked out or otherwise masked as a 'non-privileged' document.

This approach may be appropriate where, for example, a document contains a relatively small amount of personal information which may be subject to a claim for privilege on the grounds of privacy, but which is not needed for the document to be understood. For example, letters to the Government on a particular issue could be produced on a 'non-privileged' basis with the names and addresses of the authors blacked out, with full copies provided on a 'privileged' basis.

4. Delivery and Identification

As time is critical, it would be appreciated if all papers could be delivered in file record boxes with the corresponding parts of the index affixed to the top of each box. For example, that part of the index which lists the documents contained in Box 1 should be attached to the top of Box 1.

Please place 'privileged' and 'non-privileged' documents in separate boxes.

The Legislative Council has also requested that agencies return documents in standard archive boxes (Type 1 boxes). Further information about this type of box may be obtained from State Records at http://www.records.nsw.gov.au/grr/docs/storageboxes.doc

5. Certification by CEO

Papers should be accompanied by a letter signed by you stating, "I certify to the best of my knowledge all documents held by the Department of Industry and Investment and covered by the terms of the resolution have been provided".

6. Cabinet Documents

Cabinet documents should not be produced or referred to in responding to the Resolution.

All agencies are obliged to protect the confidentiality of Cabinet documents (refer to Premier's Memorandum 2006-08 Maintaining Confidentiality of Cabinet Documents and Other Cabinet Conventions).

7. Agency Contact

Could you please provide the contact details of the officer assigned to coordinate your agency's response to George Makrides as soon as possible.

8. Estimated Cost of Compliance

Consistent with the usual practice, it will be necessary for your agency to provide details of the costs incurred in complying with the resolution under separate cover for the Cabinet Standing Committee on Public Administration.

I seek your assistance in ensuring that your agency's documents are with the Legal Branch of the Department no later than by close of business on Friday, 20 November 2009.

Yours sincerely

Leigh Sanderson

Deputy Director General (General Counsel)



LEGISLATIVE COUNCIL

Office of the clerk

12 November 2009

Mr John Lee
Director General
Department of Premier and Cabinet
Level 39, Governor Macquaria Tower
1 Farrer Place
SYDNEY NSW 2000

Dear Mr Lee

Attention:

Mr George Makrides, Legal Branch

Order for Papers-Exploration Licence - Mt Penny

I am writing to inform you that, on Thursday 12 November 2009, the Legislative Council agreed to the following resolution:

That, under standing order 52, there be laid upon the table of the House within 14 days of the date of passing of this resolution all documents in the possession, custody or control of the Premier, the Department of Premier and Cabinet, the Minister for Mineral Resources and Minister for Primary Industries, the Department of Industry and Investment, the Treasurer, NSW Treasury, in relation to Exploration Licence 3771 (now Exploration Licence 7406)—Mt Penny, including any document relating to the tender process, and any document which records or refers to the production of documents as a result of this order of the House.

An extract from the Minutes of the Proceedings of the Legislative Council, Thursday 12 November 2009, entry 9 page 1516, is attached. The resolution requires the documents to be tabled within 14 days, that is Thursday 26 November 2009.

Yours sincerely

Lyen Lovelock

Clerk of the Parliaments

The Hon John Hatzistorgos MLC

Parliament House Macquarin Street Sydney NSW 2000 Australia Telephone (02) 9230 2321 Facsimile (02) 9230 2761 council@patiament.nsw.gov.su Legislative Council Minutes No. 127-Thursday 12 November 2009

Suspension of standing and sessional orders—order for papers— EXPLORATION LICENCE—MT PENNY

On the President calling on the Clerk to read the order of the day, Mr Gay moved, according to contingent notice: That standing and sessional orders be suspended to allow a motion to be moved forthwith that private members' business item no. 231 outside the order of precedence relating to an order for papers regarding the Exploration Licence — Mt Penny be called on forthwith.

Question put and passed.

Mr Gay then moved: That private members' business item no. 231 outside the order of precedence be called on forthwith.

Question put and passed.

ORDER FOR PAPERS—EXPLORATION LICENCE - MT PENNY

Mr Gay moved, according to notice: That, under standing order \$2, there be laid upon the table of the House within 14 days of the date of passing of this resolution all documents in the possession, custody or control of the Premier, the Department of Premier and Cabinet, the Minister for Mineral Resources and Minister for Primary Industries, the Department of Industry and Investment, the Treasurer, NSW Treasury, in relation to Exploration Licence 3771 (now Exploration Licence 7406). Mt Penny, including any document relating to the tender process, and any document which records or refers to the production of documents as a result of this order of the House.

Question put and passed.

ENERGYAUSTRALIA

ORDER FOR PAPERS - REDEVELOPMENT OF WALSH BAY

Document No.	Îtem	Document	Date of Creation	Author	Privilege Claim Y/N?
item 1 (a)(1)	Any proposal, agreement or tender between Walsh Bay Properties, Walsh Bay Partnership or Walsh Bay Finance Pty Ltd and the Government	Letter from Barry Webb & Associates to EnergyAustralia cc: Walsh Bay Properties	14 May 1996	Steven Markis, Barry Webb & Associates	No
Item 1 (a)(2)	Any proposal, agreement or tender between, Walsh Bay Properties, Walsh Bay Partnership, Walsh Bay Finance Pty Ltd and the Government	Letter from Department of Urban Affairs & Planning to EnergyAustralia	8 July 1996	David Hume, Manager, Sydney South, Department of Urban Affairs and Planning.	Nio
item 1 (a)(3)	Any proposal, agreement or tender between, Walsh Bay Properties, Walsh Bay Partnership, Walsh Bay Finance Pty Ltd and the Government	Letter from EnergyAustralia to George Fioth Pty Ltd	15 August 1996	lan Swords for Manager Network Connections — South, EnergyAustralia	No

SUBMISSION IN SUPPORT OF CLAIM FOR CONFIDENTIALITY AND PRIVILEGE BY THE DEPARTMENT OF PUBLIC WORKS & SERVICES AND MARINE MINISTERIAL HOLDING CORPORATION

WALSH BAY

The Marine Ministerial Holding Corporation ("MMHC") has entered into an agreement, for the redevelopment of a 15 hectare waterfront hexitage site at Walsh Bay, with Walsh Bay Properties Pty Limited, Transfield (Walsh Bay) Pty Limited, Miryac (Walsh Bay) Pty Limited and Walsh Bay Finance Pty Limited ("the Walsh Bay parties"). The Department of Public Works & Services ("DPWS") has been appointed MMHC's agent in respect of the project.

All documents and material passing to MMHC from the Walsh Bay parties were provided on the basis that they, and all material arising out of those documents, were, and would continue to remain, "commercial in confidence". Further, under the terms of the Project Delivery Agreement dated October 1997 between MMHC and the Walsh Bay parties, the parties have specifically agreed to maintain confidential all information relating to the project.

Clause 27.16 of the Project Delivery Agreement provides:

- Each party agrees and undertakes to keep and maintain confidential all the terms covenants and conditions of this agreement any information supplied by one party to another under this agreement including but not limited to information provided by the Contractor to MMHC under clause 3.2(b) and not to disclose those terms, covenants and conditions or any part of them or any information or part of that information to any person, company or organisation except as provided in this clause.
- Nothing in this clause will prohibit the parties from disclosing any term, covenant or condition of this agreement: (b)
 - Which it believes in good faith is required to be disclosed by law or by the ASX Listing Rules; or
 - Which was already in the lawful possession of the recipient in written form; or 2.
 - Is generally available to the public otherwise than by disclosure in breach of the terms of this clause; or 3.
 - To any consultant or contractor of a party, to any proposed assignee or mortgagee or Financier of a party and their consultants, or to any person considering investing in the Project or their consultants for the purposes of or in connection with any matter contemplated by this agreement, or
 - With the prior written consent of the other party. 5.

- (c) Nothing in this clause will prohibit MMHC from disclosing any term, covenant or condition of this agreement to the Government of the State of New South Wales or any Minister of the Crown.
- (d) Media releases in respect of the Project must be jointly agreed between the parties. Both the Contractor and MMHC must keep each other informed of a name and emergency contact details of their representative who has authority to approve media releases on their behalf. Both parties must ensure their representative is available on 24 hours notice to approve a media release. The Contractor acknowledges that the Minister for Ports has the right to make press releases in respect of the Project and is not bound by the provisions of this clause. MMHC must ensure the Minister is informed of the contents of this clause."

Obligations of confidentiality were also imposed by the terms of the original tender documents. The parties, including MMHC, are therefore bound to keep confidential all documents or information dating from either before or after the date of the Project Delivery Agreement.

Notwithstanding this obligation, the Walsh Bay parties have agreed that MMHC may provide to the Parliament and make available to the public all documents other than those specified in the Return in Support of Claim for Privilege prepared by MMHC and DPWS.

The Walsh Bay parties have indicated that they regard the documents specified in the Returns, whether coming into existence before or after the Project Delivery Agreement, to be of such a commercially sensitive nature that release of the material contained in those documents will have serious adverse commercial implications for the Walsh Bay parties. Those adverse commercial implications include the following consequences:

- 1. Disclosure to competitors of the methods and costings for the implementation, construction, financing and marketing of the project; and
- 2. Disclosure to potential purchasers of the costings for the projects.

It is submitted that the documents should not be made public as the following detriment may arise:

- 1. Breach of contractual promises of confidentiality.
- 2. Prejudice current or future contractual or other relationships between Government and the private sector.
- 3. Discourage future dealings with Government. .
- 4. Cause loss of commercial advantage and competitiveness within the private sector.
- 5. Cause detriment to private sector participants.
- 6. Reduce Government's ability to deliver the maximum public benefit.

We attach a letter from the Walsh Bay parties in respect of their concerns.

Claims for legal professional privilege

It is submitted that those documents listed in Department of Public Works Return as DPWS Departmental Files marked "Legal" and those documents which include legal advice in the Waterways Authority (Maritime Assets Division) Return are privileged and should not be made public on one or more of the available grounds of privilege pursuant to both common law doctrines and the statutory regime, in that:

- 1. they are, or contain a record of, confidential communications or documents brought into existence for the purpose of:
 - (a) enabling MMHC and DPWS ("Client") to obtain, or its legal advisers to give, legal advice: or
 - (b) for use in actual litigation or litigation reasonably contemplated by the Client and in respect of which privilege has not been waived (legal professional privilege);
- 2. they are confidential communications or documents between the Client or its legal advisers and persons with whom the Client shares or shared a common interest in relation to the subject matter of the advice received by one of them (common interest privilege).

Claims for public interest immunity

It is submitted that those documents listed in the Department of Public Works Return and those documents listed in the Waterways Authority (Maritime Assets Division) Return are privileged and should not be made public on the grounds of privilege pursuant to both common law doctrines and the statutory regime, in that:

- 1. they are the subject of a claim of public interest immunity because the public interest in their disclosure is outweighed by a competing public interest in their suppression (public interest immunity) as:
 - it would involve disclosure of communications made in the course of development and promulgation of policy;
 - disclosure would inhibit frankness and candour in future pre-decision communications;
 - disclosure will lead to confusion and unnecessary debate resulting from disclosure of decisional possibilities considered;
 - it would involve disclosure of documents which do not fairly disclose the reasons for a decision subsequently taken, being unfair to a decision-maker and prejudicial to the integrity of the decision-making process;
 - the disclosure would impede the free flow of commercially sensitive information to the Government; and
 - because of all of the above, the ordinary business of Government, which engages in contractual relationships with commercial
 parties, will be prejudiced by the disclosure.

Mr Dick Persson
Director General
Department of Public Works and Services
Level 21, McKell Building
2-24 Rawson Place
SYDNEY NSW 2000

Dear Sir

Re: Walsh Bay - Upper House Motion dated 24 November 1999

We refer to the above Motion and to the documents in the possession of Government relating to the redevelopment of Walsh Bay. We request that those documents for which the department intends to make a claim for privilege and/or confidentiality should be kept confidential and not made public.

We believe that public disclosure of those documents would adversely affect the ability of Project to achieve its full potential and reduce our ability to deliver the maximum public benefit.

The making public of the details of commercial arrangements between private enterprise and Government is a dangerous precedent as disclosure of commercially sensitive information will significantly prejudice the future ability of such private enterprise groups to prepare competitive bids and carry out commercial negotiations. Pursuant to that bid process, private participants provide commercially sensitive information to Government and then proceed to treat with Government in reliance upon Government keeping all such information and negotiations confidential. If such information is to be made public, this will discourage the private sector from future dealings with Government which will, of course, be to the ultimate detriment of the New South Wales public.

We believe that we, and the joint venture partners Transfield and Mirvac, will suffer direct and material commercial prejudice in relation to future dealings both with respect to the Walsh Bay Project and to commercial transactions and dealing with other parties should this information come within the public domain.

In particular, disclosure of those materials which contain commercially sensitive information will directly and materially commercially prejudice us by revealing information about project development, delivery methods, transaction structures, "know how", operations and maintenance methods and engineering and construction methods, and, in the context of the Walsh Bay Redevelopment Project, by revealing overall pricings, details of overheads and profit margins, level of bonding and the overall commercial delivery structure for the Walsh Bay Project.

The overall complexity of the project arrangements, restaging and reordering of the timing of the project, the intricacies of the approvals process and a number of essential commercial terms which have changed in the finalisation of the agreements should very easily give rise to confusion and misinterpretation in relation to the project and could be of material detriment to the Government and the participants.

The feasibilities and any material concerning those feasibilities disclose highly commercially sensitive information about proposed prices for property at Walsh Bay and commercial rent structures allowed for in the feasibilities. Disclosure of any of this information will adversely affect the financial returns for the Walsh Bay Project by prejudicing future negotiations for prices and rentals for the Walsh Bay Precipet.

Accordingly, we support your claim for privilege in respect of all the documents relating to Walsh Bay which contain any commercially sensitive information particularly in respect of costings, financing, economic analysis and marketing.

Yours faithfully WALSH BAY FINANCE PTY LIMITED

GAVIN D.M. CARRIER
Project Director

ROBERT G. McFEETER
Project Director



Standing Order 52 - Mt Penny EL

david.agnew@trade.nsw.gov.au <david.agnew@trade.nsw.gov.au>

Thu, Nov 19, 2009 at 11:04 AM

To: ron.taylor@trade.nsw.gov.au

Cc: william.hughes@trade.nsw.gov.au, tracey.godwin@trade.nsw.gov.au, julie.moloney@trade.nsw.gov.au

Ron

As we now process our exploration licence applications using electronic document management in TRIM, all documents associated with the application and grant of the licence over the Mt Penny area are in TRIM in folder 09/5739. Although this folder is classified as a physical file all the documents are scanned and contained in it. If you run a search on Mt Penny in TRIM you will find some other documents not directly associated with the processing but equally relevant.

Cheers

David Agnew | Manager Coal & Petroleum Titles and Systems | Titles Section | Mineral Resources, Branch Industry & Investment NSW | 516 High St | Maitland | PO Box 344 | Hunter Regional Mail Centre NSW 2310 T: 02 4931 6442 | F: 02 4931 6776 | M: 0417 259 698 | E: david.agnew@industry.nsw.gov.au W: www.industry.nsw.gov.au



Standing Order 52 - Exploration Licence - Mt Penny

ron.taylor@trade.nsw.gov.au <ron.taylor@trade.nsw.gov.au>

Thu, Nov 19, 2009 at 11:18 AM

To: mark.duffy@trade.nsw.gov.au

Cc: william.hughes@trade.nsw.gov.au, sara.macwilliam@trade.nsw.gov.au Bcc: tony.heffernan@trade.nsw.gov.au, phil.anquetil@trade.nsw.gov.au

Dear Mr Duffy,

Attached is advice from the Department of Premier and Cabinet regarding an order by the Legislative Council under Standing Order 52 for the production of documents concerning Exploration Licence 3771 (now EL 7406) - Mt Penny.

In responding to this Order, the Director General must certify that all documents held by Industry & Investment NSW and covered by the terms of the resolution have been provided.

It is therefore essential that all documents potentially within the scope of the resolution are identified and referred for assessment. This should include a schedule of the document title, author and date created.

The Order requires documents to be provided in full - ie, no deletions or edits.

Where any portion of a document is to be claimed as privileged two versions are to be provided for assessment.

A 'full' copy and an edited version supported with the rationale as to why the information concerned should not be made available for public inspection.

As the Deputy Director General Minerals & Energy you are requested to make the necessary enquiries within your area of responsibility for any and all related documents.

This will allow Industry & Investment NSW to demonstrate full compliance with the Orders.

Please note the Orders include a requirement to provide all documents produced 'as a result of this order' - this means all emails sent/received in satisfying the Order (including for example, this email message) are themselves to be included in the schedule of documents finally submitted.

DPC requires our response by the close of business Friday 20.11.09 - your assistance in meeting this timeframe is appreciated.

If you or any of your staff requires further assistance or advice in this matter please do not hesitate to contact me on 9527 8453.

(See attached file: EL 3771 19.11.09.pdf)

Regards

Ron Taylor Manager Corporate Projects Industry & Investment NSW Ph (02) 9527 8453

EL 3771 19.11.09.pdf



0509/1360 URGENT

1 3 NOV 2009

Dr Richard Sheldrake
Director General
Department of Industry and Investment
161 Kite Street
ORANGE NSW 2800

Facsimile: (02) 6391 3336

BY FACSIMILE AND POST

Dear Dr Sheldrake

Standing Order 52 - Exploration Licence - Mt Penny

I write to Inform you that on Thursday,12 November 2009 the Legislative Council agreed to the following Resolution:

That, under standing order 52, there be laid upon the table of the House within 14 days of the date of the passing of this resolution all documents in the possession, custody or control of the Premier, the Department of Premier and Cabinet, the Minister for Mineral Resources and Minister for Primary Industries, the Department of Industry and Investment, the Treasurer, NSW Treasury, in relation to Exploration Licence 3771 (now Exploration Licence 7406) — Mt Penny, including any documents relating to the tender process, and any document which records or refers to the production of documents as a result of this order of the House.

An extract from the Minutes of the Proceedings of the Legislative Council, Thursday 12 November 2009, entry 9, page 1516, is attached.

The resolution requires the documents to be tabled within 14 days.

The following guidelines apply to your response to the Resolution.

1. Provision of Papers

All papers (other than Cabinet documents) which fall within the terms of the Resolution must be produced. Cabinet documents must not be produced (see section 6 below).

Papers which fall within the terms of the Resolution should be produced in full, even if they contain irrelevant information. That is, material should not be blacked out or otherwise masked when photocopying papers which fall within the terms of the Resolution.

It may, however, be appropriate to mask some material in documents if a claim for privilege is made (see section 3 below).

Please note that only photocopies of original papers and files are to be provided. All papers should be delivered by close of business on Friday, 20 November 2009 to:

Mr Paul Miller
Executive Director, Legal Branch
Department of Premier and Cabinet
Level 37, Governor Macquarie Tower
1 Farrer Place
SYDNEY NSW 2000

Please contact Mr George Makrides, Senior Project Officer, Legal Branch, on (02) 9228 5871 if you wish to discuss any aspect of this request.

2. Non-privileged papers

Standing Order 52(3) provides that, 'A return under this order is to include an indexed list of all documents tabled, showing the date of creation of the document, a description of the document and the author of the document'.

A document showing the standard format to be used when preparing an index under Standing Order 52 is enclosed at **Annexure A**. Please adhere to the standard format when preparing an index of documents.

Please note that the index should contain the following information:

Document Number	The document number should follow the format '(a)(i) 1', where '(a)' refers to the relevant paragraph of the Resolution, '(i)' refers to the relevant subparagraph of the Resolution, and '1' refers to the first document in the group of documents that fall within subparagraph (a)(i).
ltem	The description of the relevant item as per the Resolution. For example, 'Documents relating to concept formulation and cost benefit analyses'.
Document	A short description of the relevant document. For example, 'Letter from X to Y'.
Date of creation	Where the exact date is unknown, insert an estimated date range if possible.
Author	The agency or organisation that created the document.
Privilege Claim	If the index relates to privileged documents, write 'Yes'. If the index relates to non-privileged documents, write 'No'.

3. Claims of Privilege

A document showing the standard format to be used when making claims for privilege is enclosed at **Annexure B**. Please adhere to the standard format, where appropriate, when making a claim for privilege.

If a privilege claim is subsequently challenged, the question of whether the document is privileged will be referred to a legal arbiter for a ruling.

It is important, therefore, that the basis of the claim is clearly set out in the response to the Resolution so that the arbiter can properly assess the validity of the claim. There will be no opportunity to provide further submissions in support of a claim of privilege if it is challenged.

Please note that a <u>separate index</u> is required for 'non-privileged' and 'privileged' documents as these types of documents are returned separately.

If a document contains only a minor amount of privileged material, it may be appropriate to produce two copies of the document: a full copy of the document as a 'privileged' document, and a copy of the document with the privileged material blacked out or otherwise masked as a 'non-privileged' document.

This approach may be appropriate where, for example, a document contains a relatively small amount of personal information which may be subject to a claim for privilege on the grounds of privacy, but which is not needed for the document to be understood. For example, letters to the Government on a particular issue could be produced on a 'non-privileged' basis with the names and addresses of the authors blacked out, with full copies provided on a 'privileged' basis.

4. Delivery and Identification

As time is critical, it would be appreciated if all papers could be delivered in file record boxes with the corresponding parts of the index affixed to the top of each box. For example, that part of the index which lists the documents contained in Box 1 should be attached to the top of Box 1.

Please place 'privileged' and 'non-privileged' documents in separate boxes.

The Legislative Council has also requested that agencies return documents in standard archive boxes (Type 1 boxes). Further information about this type of box may be obtained from State Records at http://www.records.nsw.gov.au/grr/docs/storageboxes.doc

5. Certification by CEO

Papers should be accompanied by a letter signed by you stating, "I certify to the best of my knowledge all documents held by the Department of Industry and Investment and covered by the terms of the resolution have been provided".

6. Cabinet Documents

Cabinet documents should not be produced or referred to in responding to the Resolution.

All agencies are obliged to protect the confidentiality of Cabinet documents (refer to Premier's Memorandum 2006-08 Maintaining Confidentiality of Cabinet Documents and Other Cabinet Conventions).

7. Agency Contact

Could you please provide the contact details of the officer assigned to coordinate your agency's response to George Makrides as soon as possible.

8. Estimated Cost of Compliance

Consistent with the usual practice, it will be necessary for your agency to provide details of the costs incurred in complying with the resolution under separate cover for the Cabinet Standing Committee on Public Administration.

I seek your assistance in ensuring that your agency's documents are with the Legal Branch of the Department no later than by close of business on Friday, 20 November 2009.

Yours sincerely

Leigh Sanderson

Deputy Director General (General Counsel)



LEGISLATIVE COUNCIL

OFFICE OF THE CLERK

12 November 2009

Mr John Lee
Director General
Department of Premier and Cabinet
Level 39, Governor Macquaria Tower
1 Farrer Place
SYDNEY NSW 2000

Dear Mr Lea

Attention:

Mr George Makrides, Legal Branch

Order for Papers-Exploration Licence - Mt Penny

I am writing to inform you that, on Thursday 12 November 2009, the Legislative Council agreed to the following resolution:

That, under standing order 52, there be laid upon the table of the House within 14 days of the date of passing of this resolution all documents in the possession, custody or control of the Premier, the Department of Premier and Cabinct, the Minister for Mineral Resources and Minister for Primary Industries, the Department of Industry and Investment, the Treasurer, NSW Treasury, in relation to Exploration Licence 3771 (now Exploration Licence 7406). Mt Penny, including any document relating to the tender process, and any document which records or refers to the production of documents as a result of this order of the House.

An extract from the Minutes of the Proceedings of the Legislative Council, Thursday 12 November 2009, entry 9 page 1516, is attached. The resolution requires the documents to be tabled within 14 days, that is Thursday 26 November 2009.

Yours sincerely

Lyrn Lovelock

Clerk of the Parliaments

c: The Hon John Hatzistergos MLC

Parliament House Macquarie Street Sydney NSW 2000 Australia Telaphone (02) 9230 2321 Facsimile (02) 9230 2761 council@patlement.nsw.gov.au Legislative Council Minutes No. 127-Thursday 12 November 2009

s suspension of standing and sessional orders—order for papers exploration licence—mt penny

On the President calling on the Clerk to read the order of the day, Mr Gay moved, according to contingent notice: That standing and sessional orders be suspended to allow a motion to be moved forthwith that private members' business item no. 231 outside the order of precedence relating to an order for papers regarding the Exploration Licence—Mt Penny be called on forthwith.

Question put and passed.

Mr Gay then moved: That private members' business item no. 231 outside the order of precedence be called on forthwith.

Question put and passed.

9 ORDER FOR PAPERS—EXPLORATION LICENCE – MT PENNY

Mr Gay moved, according to notice: That, under standing order 52, there be iaid upon the table of the House within 14 days of the date of passing of this resolution all documents in the possession, custody or control of the Premier, the Department of Premier and Cabinet, the Minister for Mineral Resources and Minister for Primary Industries, the Department of Industry and Investment, the Treasurer, NSW Treasury, in relation to Exploration Licence 3771 (now Exploration Licence 7406). Mt Penny, including any document relating to the tender process, and any document which records or refers to the production of documents as a result of this order of the House.

Question put and passed.

ENERGYAUSTRALIA

ORDER FOR PAPERS - REDEVELOPMENT OF WALSH BAY

Document No.	Item	Document	Date of Creation	Author	Privilege Claim Y/N?
item 1 (a)(1)	Any proposal, agreement or tender between Walsh Bay Properties, Walsh Bay Partnership or Walsh Bay Finance Pty Ltd and the Government	Letter from Barry Webb & Associates to EnergyAustralia cc: Walsh Bay Properties	14 May 1996	Steven Markis, Barry Webb & Associates	No
Item 1 (a)(2)	Any proposal, agreement or tender between, Walsh Bay Properties, Walsh Bay Partnership, Walsh Bay Finance Ply Ltd and the Government	Letter from Department of Urban Affairs & Planning to EnergyAustralia	8 July 1996	David Hume, Manager, Sydney South, Department of Urban Affairs and Planning.	N o
item 1 (a)(3)	Any proposal, agreement or tender between, Walsh Bay Properties, Walsh Bay Partnership, Walsh Bay Finance Pty Ltd and the Government	Letter from EnergyAustralia to George Fioth Pty Ltd	15 August 1996	lan Swords for Manager Network Connections – South, EnergyAustralia	No

SUBMISSION IN SUPPORT OF CLAIM FOR CONFIDENTIALITY AND PRIVILEGE BY THE DEPARTMENT OF PUBLIC WORKS & SERVICES AND MARINE MINISTERIAL HOLDING CORPORATION

WALSH BAY

The Marine Ministerial Holding Corporation ("MMHC") has entered into an agreement, for the redevelopment of a 15 hectare waterfront heritage site at Walsh Bay, with Walsh Bay Properties Pty Limited, Transfield (Walsh Bay) Pty Limited, Mirvac (Walsh Bay) Pty Limited and Walsh Bay Finance Pty Limited ("the Walsh Bay parties"). The Department of Public Works & Services ("DPWS") has been appointed MMHC's agent in respect of the project.

All documents and material passing to MMHC from the Walsh Bay parties were provided on the basis that they, and all material arising out of those documents, were, and would continue to remain, "commercial in confidence". Further, under the terms of the Project Delivery Agreement dated October 1997 between MMHC and the Walsh Bay parties, the parties have specifically agreed to maintain confidential all information relating to the project.

Clause 27.16 of the Project Delivery Agreement provides:

- "(a) Each party agrees and undertakes to keep and maintain confidential all the terms covenants and conditions of this agreement any information supplied by one party to another under this agreement including but not limited to information provided by the Contractor to MMHC under clause 3.2(b) and not to disclose those terms, covenants and conditions or any part of them or any information or part of that information to any person, company or organisation except as provided in this clause.
- (b) Nothing in this clause will prohibit the parties from disclosing any term, covenant or condition of this agreement:
 - 1. Which it believes in good faith is required to be disclosed by law or by the ASX Listing Rules; or
 - 2. Which was already in the lawful possession of the recipient in written form; or
 - 3. Is generally available to the public otherwise than by disclosure in breach of the terms of this clause; or
 - 4. To any consultant or contractor of a party, to any proposed assignee or mortgagee or Financier of a party and their consultants, or to any person considering investing in the Project or their consultants for the purposes of or in connection with any matter contemplated by this agreement; or
 - 5. With the prior written consent of the other party.

- (c) Nothing in this clause will prohibit MMHC from disclosing any term, covenant or condition of this agreement to the Government of the State of New South Wales or any Minister of the Crown.
- (d) Media releases in respect of the Project must be jointly agreed between the parties. Both the Contractor and MMHC must keep each other informed of a name and emergency contact details of their representative who has authority to approve media releases on their behalf. Both parties must ensure their representative is available on 24 hours notice to approve a media release. The Contractor acknowledges that the Minister for Ports has the right to make press releases in respect of the Project and is not bound by the provisions of this clause. MMHC must ensure the Minister is informed of the contents of this clause."

Obligations of confidentiality were also imposed by the terms of the original tender documents. The parties, including MMHC, are therefore bound to keep confidential all documents or information dating from either before or after the date of the Project Delivery Agreement.

Notwithstanding this obligation, the Waish Bay parties have agreed that MMHC may provide to the Parliament and make available to the public all documents other than those specified in the Return in Support of Claim for Privilege prepared by MMHC and DPWS.

The Walsh Bay parties have indicated that they regard the documents specified in the Returns, whether coming into existence before or after the Project Delivery Agreement, to be of such a commercially sensitive nature that release of the material contained in those documents will have serious adverse commercial implications for the Walsh Bay parties. Those adverse commercial implications include the following consequences:

- 1. Disclosure to competitors of the methods and costings for the implementation, construction, financing and marketing of the project; and
- Disclosure to potential purchasers of the costings for the projects.

It is submitted that the documents should not be made public as the following detriment may arise:

- 1. Breach of contractual promises of confidentiality.
- 2. Prejudice current or future contractual or other relationships between Government and the private sector.
- 3. Discourage future dealings with Government.
- 4. Cause loss of commercial advantage and competitiveness within the private sector.
- Cause detriment to private sector participants.
- 6. Reduce Government's ability to deliver the maximum public benefit.

We attach a letter from the Walsh Bay parties in respect of their concerns.

Claims for legal professional privilege

It is submitted that those documents listed in Department of Public Works Return as DPWS Departmental Files marked "Legal" and those documents which include legal advice in the Waterways Authority (Maritime Assets Division) Return are privileged and should not be made public on one or more of the available grounds of privilege pursuant to both common law doctrines and the statutory regime, in that:

- 1. they are, or contain a record of, confidential communications or documents brought into existence for the purpose of:
 - (a) enabling MMHC and DPWS ("Client") to obtain, or its legal advisers to give, legal advice; or
 - (b) for use in actual litigation or litigation reasonably contemplated by the Client and in respect of which privilege has not been waived (legal professional privilege);
- 2. they are confidential communications or documents between the Client or its legal advisers and persons with whom the Client shares or shared a common interest in relation to the subject matter of the advice received by one of them (common interest privilege).

Claims for public interest immunity

It is submitted that those documents listed in the Department of Public Works Return and those documents listed in the Waterways Authority (Maritime Assets Division) Return are privileged and should not be made public on the grounds of privilege pursuant to both common law doctrines and the statutory regime, in that:

- 1. they are the subject of a claim of public interest immunity because the public interest in their disclosure is outweighed by a competing public interest in their suppression (public interest immunity) as:
 - it would involve disclosure of communications made in the course of development and promulgation of policy;
 - disclosure would inhibit frankness and candour in future pre-decision communications;
 - disclosure will lead to confusion and unnecessary debate resulting from disclosure of decisional possibilities considered;
 - it would involve disclosure of documents which do not fairly disclose the reasons for a decision subsequently taken, being unfair to a decision-maker and prejudicial to the integrity of the decision-making process;
 - the disclosure would impede the free flow of commercially sensitive information to the Government; and
 - because of all of the above, the ordinary business of Government, which engages in contractual relationships with commercial
 parties, will be prejudiced by the disclosure.

Mr Dick Persson Director General Department of Public Works and Services Level 21, McKell Building 2-24 Rawson Place SYDNEY NSW 2000

Dear Sir

Re: Walsh Bay - Upper House Motion dated 24 November 1999

We refer to the above Motion and to the documents in the possession of Government relating to the redevelopment of Walsh Bay. We request that those documents for which the department intends to make a claim for privilege and/or confidentiality should be kept confidential and not made public.

We believe that public disclosure of those documents would adversely affect the ability of Project to achieve its full potential and reduce our ability to deliver the maximum public benefit.

The making public of the details of commercial arrangements between private enterprise and Government is a dangerous precedent as disclosure of commercially sensitive information will significantly prejudice the future ability of such private enterprise groups to prepare competitive bids and carry out commercial negotiations. Pursuant to that bid process, private participants provide commercially sensitive information to Government and then proceed to treat with Government in reliance upon Government keeping all such information and negotiations confidential. If such information is to be made public, this will discourage the private sector from future dealings with Government which will, of course, be to the ultimate detriment of the New South Wales public.

We believe that we, and the joint venture partners Transfield and Mirvac, will suffer direct and material commercial prejudice in relation to future dealings both with respect to the Walsh Bay Project and to commercial transactions and dealing with other parties should this information come within the public domain.

In particular, disclosure of those materials which contain commercially sensitive information will directly and materially commercially prejudice us by revealing information about project development, delivery methods, transaction structures, "know how", operations and maintenance methods and engineering and construction methods, and, in the context of the Walsh Bay Redevelopment Project, by revealing overall pricings, details of overheads and profit margins, level of bonding and the overall commercial delivery structure for the Walsh Bay Project.

The overall complexity of the project arrangements, restaging and reordering of the timing of the project, the intricacies of the approvals process and a number of essential commercial terms which have changed in the finalisation of the agreements should very easily give rise to confusion and misinterpretation in relation to the project and could be of material detriment to the Government and the participants.

The feasibilities and any material concerning those feasibilities disclose highly commercially sensitive information about proposed prices for property at Walsh Bay and commercial rent structures allowed for in the feasibilities. Disclosure of any of this information will adversely affect the financial returns for the Walsh Bay Project by prejudicing future negotiations for prices and rentals for the Walsh Bay Precinct.

Accordingly, we support your claim for privilege in respect of all the documents relating to Walsh Bay which contain any commercially sensitive information particularly in respect of costings, financing, economic analysis and marketing.

Yours faithfully WALSH BAY FINANCE PTY LIMITED

GAVIN D.M. CARRIER Project Director ROBERT G. McFEETER

Project Director



Fw: Standing Order 52 - Mt Penny EL

david.agnew@trade.nsw.gov.au <david.agnew@trade.nsw.gov.au>

Thu, Nov 19, 2009 at 11:45 AM

To: ron.taylor@trade.nsw.gov.au

Cc: william.hughes@trade.nsw.gov.au, tracey.godwin@trade.nsw.gov.au, julie.moloney@trade.nsw.gov.au, kevin.ruming@trade.nsw.gov.au

Ron

Further to my email below, I now have all the geological information regarding the allocation of the Mt Penny area on a CD. How do you want it sent to you?

Cheers

David Agnew | Manager Coal & Petroleum Titles and Systems | Titles Section | Mineral Resources, Branch Industry & Investment NSW | 516 High St | Maitland | PO Box 344 | Hunter Regional Mail Centre NSW 2310 T: 02 4931 6442 | F: 02 4931 6776 | M: 0417 259 698 | E: david.agnew@industry.nsw.gov.au W: www.industry.nsw.gov.au

- Forwarded by David Agnew/DII/NSW on 19/11/2009 11:43 AM -

David Agnew/DII/NSW

Τo

Ron Taylor/Dll/NSW

19/11/2009 11:04 AM

CC

William Hughes/DII/NSW@NSW, Tracey Godwin/DII/NSW@NSW, Julie Motoney/DII/NSW@NSW

Subject

Standing Order 52 - Mt Penny EL

Ron

As we now process our exploration licence applications using electronic document management in TRIM, all documents associated with the application and grant of the licence over the Mt Penny area are in TRIM in folder 09/5739. Although this folder is classified as a physical file all the documents are scanned and contained in it. If you run a search on Mt Penny in TRIM you will find some other documents not directly associated with the processing but equally relevant.

Cheers

David Agnew | Manager Coal & Petroleum Titles and Systems | Titles Section | Mineral Resources, Branch Industry & Investment NSW | 516 High St | Mailland | PO Box 344 | Hunter Regional Mail Centre NSW 2310 T: 02 4931 6442 | F: 02 4931 6776 | M: 0417.259 698 | E: david.agnew@industry.nsw.gov.au W: www.industry.nsw.gov.au



Fw: 11 EOI areas approval

william.hughes@trade.nsw.gov.au <william.hughes@trade.nsw.gov.au>
To: ron.taylor@trade.nsw.gov.au

Thu, Nov 19, 2009 at 11:55 AM

Ron

Please see email below and Trim number re DDG approval for the 11 Coal Release Areas EOI

Kind Regards

Will

William Hughes
Principal Adviser Coal and Strategic Projects
NSW Department of Industry and Investment
Level 6, 201 Elizabeth Street
Sydney NSW 2000

Ph: +61 2 8289 3931 Fx: +61 2 9286 3208 Mob: +61418286530

E: william.hughes@industry.nsw.gov.au

Web: www.industry.nsw.gov.au

--- Forwarded by William Hughes/DII/NSW on 19/11/2009 11:53 AM ---

Julie Moloney/DII/NSW

Ľ¢

19/11/2009 10:10 AM

William Hughes/DII/NSW@NSW

CC

Subject

11 EOI areas approval

Hi Will

DDG approved release of 11 EOI areas on 9 Sept 2008. Trim INTO08/62117

Cheers

Julie

Julie Moloney | Principal Adviser | Mineral Resources, Development Coordination Industry & Investment NSW | 516 High St | Maitland NSW 2320 | PO Box 344 | Hunter Region Mail Centre NSW 2310 T: 02 4931 6549 | F: 02 4931 6776 | M: 0407 921 462 | E: julie.moloney@industry.nsw.gov.au W: www.industry.nsw.gov.au



(no subject)

david.agnew@trade.nsw.gov.au <david.agnew@trade.nsw.gov.au>
To: ron.taylor@trade.nsw.gov.au

Thu, Nov 19, 2009 at 2:14 PM

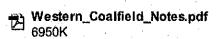
(See attached file: Western_Coalfield_Notes.pdf)(See attached file: Mt Penny Boreholes.xls)

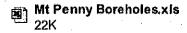
David Agnew | Manager Coal & Petroleum Titles and Systems | Titles Section | Mineral Resources, Branch
Industry & Investment NSW | 516 High St | Maittand | PO Box 344 | Hunter Regional Mail Centre NSW 2310

T: 02.4931 6442 | F: 02.4931 6776 | M: 0417 259 698 | E: david.agnew@industry.nsw.gov.au

W: www.industry.nsw.gov.au

2 attachments





1. THE WESTERN COALFIELD

1.1 INTRODUCTION

These Notes have been prepared to accompany the geological maps of the southern and northern parts of the Western Coalfield of New South (Figure 1). The Notes are the result of the compilation and reinterpretation of available geological data and provide an up-to-date summary of the geology and coal resources of the Western Coalfield. The 1:100 000 scale geological map of the southern part of the Western Coalfield was published in 1992 (Yoo 1992) and that of the northern part in 1998 (Yoo 1998).

The Western Coalfield covers an area of approximately 17 000 square kilometres in the central western region of the Sydney-Gunnedah Basin (Figure 1 and 2). The then Standing Committee on Coalfield Geology of New South Wales (1986) (now Coalfield Geology Council of New South Wales) defined the Western Coalfield as being delineated along its eastern edge by a straight line which runs from Mount Lorimar in the western part of the Liverpool Range through Merriwa to Wisemans Ferry. The western boundary extends north the Coolaburragundy River and then south to Warragamba Dam, where it has a common boundary with the Southern Coalfield (Figure 2). The westernmost outcrops of the main coal-bearing sequence, in the Illawarra Coal Measures, mark the western boundary of the Western Coalfield

The coal measures crop out close to the Wallerawang-Gwabegar Railway between

Lithgow and Ben Bullen, swing northeasterly to Glen Davis and then extend northwesterly to Leadville, parallel to the Wallerawang-Gwabegar Railway. There is a number of coal measures outliers, including the areas around Airly Mountain, Cherry Tree Hill, Cumbermelon Mountain, Barneys Reef, Long Mountain and Pantoneys Crown.

The 1:100 000 scale geological maps were prepared in two parts of roughly equal size: the southern part extends from Mount Victoria to Rylstone; and the northern part from Rylstone to Coolah (Figure 1; also see Appendix 5). These maps cover the majority of Permian outcrops, which are mainly along the western half of the Western Coalfield. The eastern portions of the mapped areas are covered by the Blue Mountains, Wollemi and Goulburn River National Parks. Most mining and coal exploration activities have been restricted to the western margin of the Coalfield, where the economic coal seams occur at a relatively shallow depth.

1.2 GEOLOGICAL SETTING

The Western Coalfield occupies the north-western and southwestern quadrants of the Sydney and Gunnedah Basins respectively. The Western half of the Coalfield is located on the western shelf areas of the Basins and the eastern half extends over the trough areas in the east.

Several structural elements were active during Late Permian sedimentation of the Illawarra Coal Measures, these are: the northMt Penny Boreholes

· · · · · · · · · · · · · · · · · · ·		Y	,	<u> </u>			·····	
Name	Lith	English	Graphic	Analysis	Photo	DIGS Report	Collar Level (m)	Total Depth (m)
ABBY01	Υ	Y	Y	Y :		MF22774	291.8	153.9
ABBY02	Y	Y	Y	Υ		MF22774	256.5	104.35
ABBY03	Y	Y	Y	Y		MF22774	256.5	136.35
ABBY04	Y	Y	Y	Y		MF22774	300.3	77.5
ABBY05	Y.	Y	Υ	Y		MF22774	410.9	178.55
ABBY06	Y	Y	Y	Ŷ		MF22774	390	268.4
ABBY07	Y	Y	Y	Y		MF22774	479	218.3
ABBY12	Υ	Y	Υ	Υ	, ,	MF22774	479.5	298.7
ABBY17		Υ	Υ	1.1		MF22774	293.8	50,55
ABBY19	Y	Y	Υ	Y		MF22774	385.4	56
ABBY20		· Y	Y		2	MF22774	348.3	29.95
ABBY21		Y	Y			MF22774	266.6	24.1
ABBY22	Y	Y	Y	Ÿ		MF22774	584.2	252.9
ABBY23	Y	Y	Υ	Y		MF22774	576	248.8
ABBY24	Y	Y	Y	Y		MF22774	616.7	280.25
ABBY25	Y	Y	Y	Y		MF22774	221.05	99.2
ABBY26	Y	Y	Υ	Y		MF22774	288.87	51.2
ABBY31	Y	Y	Υ	Y		MF22774	333.3	37.1
ABBY33	Y	Y	Υ	Y		MF22774	294.5	21.25
ABBY34	Y	Y	Y	Υ		MF22774	306.4	30
ABBY35	Υ	Υ	Υ	Υ		MF22774	266	20.85
ABBY43	Υ	Y	Υ	Y	Y	MF22774	354.4	44.34

Name	LATITUDE	LONGITUE X	ORDIN/Y	_ORDIN/Z	_ORDINAZONE	, (COLLAR_LT	OTAL_DE
ABBY01	-32,3478	150.0393	221355	6417163	291.8	56	291.8	153,9
ABBY02	-32.3591	150.0626	223578	6415965	256.5	56	256.5	104.35
ABBY03	-32.328	150.0239	219838	6419310	256.5	56	256.5	136.35
ABBY04	-32.349	150.0102	218614	6416949	300.3	56	300.3	77.5
ABBY05	-32.388	150.0603	223454	6412758	410.9	56	410.9	178,55
ABBY06	-32.3806	150.0957	226760	6413667	390	56	390	268.4
ABBY07	-32.4118	150.0655	224017	6410131	479	56	479	218.3
ABBY12	-32.3991	150.0985	227083	6411625	479.5	56	479.5	298.7
ABBY17	-32.3613	150.0277	220298	6415635	293.8	56	293.8	50.55
ABBY19	-32.4429	150.0422	221919	6406616	385.4	56	385.4	56
ABBY20	-32.4467	150.0882	226258	6406314	348.3	56	348.3	29.95
ABBY21	-32.4239	150.0946	226792	6408861	266.6	56	266.6	24.1
ABBY22	-32.4173	150.0399	221626	6409454	584.2	56	584.2	252.9
ABBY23	-32.4086	150.0245	220151	6410380	576	56	576	248.8
ABBY24	-32.4373	150.0281	220572	6407201	616.7	56	616.7	280.25
ABBY25	-32.3425	150.0873	225856	6417867	221.05	56	221.05	99.2
ABBY26	-32.3842	150.0781	225120	6413225	288.87	- 56	288.87	51.2
ABBY31	-32.4464	150.1046	227798	6406390	333.3	56	333.3	37.1
ABBY33	-32.4354	150.0978	227120	6407589	294.5	56	294.5	21.25
ABBY34	-32.4361	150.1057	227874	6407533	306.4	56	306.4	-30
ABBY35	-32.4232	150.0995	227246	6408955	266	56	266	20.85
ABBY43	-32.4519	150.105	227856	6405784	354.4	56	354.4	44.34

YEAR_DRILL 1982 1982



(no subject)

david.agnew@trade.nsw.gov.au <david.agnew@trade.nsw.gov.au>
To: ron.taylor@trade.nsw.gov.au

. Thu, Nov 19, 2009 at 2:16 PM

(See attached file: ABBY21.pdf)(See attached file: ABBY22.pdf)(See attached file: ABBY23.pdf)(See attached file: ABBY24.pdf)(See attached file: ABBY35.pdf)(See attached file: ABBY33.pdf)(See attached file: ABBY33.pdf)(See attached file: ABBY35.pdf)(See attached file: ABBY35.pdf)(See attached file: ABBY35.pdf)(See attached file: ABBY35.pdf)

David Agnew | Manager Coal & Petroleum Titles and Systems | Titles Section | Mineral Resources, Branch Industry & Investment NSW | 516 High St | Maltland | PO Box 344 | Hunter Regional Mail Centre NSW 2310 T: 02 4931 6442 | F: 02 4931 6776 | M: 0417 259 698 | E: david.agnew@industry.nsw.gov.au W: www.industry.nsw.gov.au

11 attachments

- ABBY21.pdf
 59K
- ABBY22.pdf
- ABBY23.pdf 753K
- ABBY24.pdf 861K
- ABBY25.pdf
- ABBY26.pdf 317K
- ABBY31.pdf 643K
- ABBY33.pdf
- ABBY34.pdf
- ABBY35.pdf
- ABBY43.pdf 348K

Location:

Bylong A287

AMG Co-ordinates: E 226 687.2 (Zone 56)

64 08 672.3 E 214 740.7

ISG Co-ordinates: (Zone 56/1)

N 14 10 809.4

Collar R.L.:

Commenced:

26.8.82

Completed:

266.6 m

27.8.82

County: Phillip

Parish: Budden

Portion: 5

Logged By:

C.J. McElroy

Drilled By: David Young Drilling

(M. Sijabat)

For: Austen & Butta Limited

24.10 m Total Depth:

	Estimated Thickness (m)	Estimated Depth to Base of Stratum (m)	Remarks
NON CORE TRICONE ROLLER BIT	12.000	12.000	
COAL, dull with minor bright bands, core broken	0.157	12.157	
SANDSTONE, medium light grey, fine, medium, quartz-lithic, poorly sorted, moderately well cemented, carbonaceous flecks, blebs and wisps common throughout,			
minor bright coal penny bands at top	0.526	12.683	÷

SANDSTONE, very light grey, generally fine to very fine, irregularly interbedded coarse phases in top 0.5m, quartz-lithic, generally well sorted, well cemented, minor bioturbation throughout, subvertical fracture from near middle to base, medium bedded, slabby

2.237

14,920

Estimated Estimated Remarks
Thickness Depth to

(m) Base of Stratum

SANDSTONE, medium grey, medium light grey, fine to medium, quartz-lithic, extensively bioturbated, sporadic coarse and granular phases from top to 15.5 m, ? erosional contact at top, irregular subvertical fracture from top to near base, block?

1.526 16.446

SILTSTONE AND SANDSTONE INTERMIXED, ratio 60:40. Siltstone, medium grey, compact, abundant carbonaceous flecks on partings, grades to very fine sandstone in part; sandstone, light grey, fine, lithic to quartz-lithic, wavy to planar bedding contacts throughout, dominant in basal 0.4m, unit is thinly bedded, slabby

1.597 18.043

CLAYSTONE, medium dark grey, compact, minor coaly pennybands at top, silty in part, laminated towards base, minor pyrite on microfault at base, slabby to flaggy, air slaked

1.534 19.577

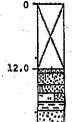
SANDSTONE, very light grey, medium, lithic to quartz-lithic, abundant carbonaceous clasts, wisps and fleck laminae, sporadic claystone clast horizons, minor small scale

	Estimated Thickness (m)	Estimated Depth to Base of Stratum	Remarks
		(m)	•
	. •	:	
cross bedded section at base, minor pyrite on irregular partly			•
closed fracture in top 1.1 m,			
indistinct bedding, generally			
blocky	4.074	23.651	٠.
CLAYSTONE, medium light grey,			
silty, thinly laminated, air			-
slaked, intermixed contact at			
top	0.449	24.100	

BASE OF HOLE



D.D.H. 21



Non Core Tricono Roller Bit to 12.0 m

1.10 Base of Hole

Prepared by
MCELROY BRYAN & ASSOCIATES PTY LTD

GRAPHIC SECTION

AUSTEN & BUTTA LIMITED

DRAWN BY K.W.B. DAYE DECEMBER 1982

1982 SCALE 1:500

PAGE1 of 1

Location: Bylong A287	County: Phillip
AMG Co-ordinates: E 221 521.3	Parish: Coggan
(Zone 56) N 6409 263.7	Portion: -
ISG Co-ordinates: E 209 589.3	Logged By: T. Barman
(Zone 56/1) N 1411 497.0	Drilled By: David Yourg Drilling
Collar R.L.: 584.2 m	(J. Booth)
Commenced: 6.9.82	For: Austen & Butta Limited
Completed: 21.9.82	Total Depth: 252.900 m

	1000		*
	Estimated Thickness (m)	Estimate Depth to Base of	<u>Remarks</u>
NON CORE TRICONE ROLLER BIT		Stratum (m)	
	82.40	82.40	*
SILTSTONE, brownish black,			
carbonaceous, hard	0.028	82.428	-
COAL, stony, bright band at base	0.045	82.473	kong Ngjaran
SILTSTONE, brownish black,			
carbonaceous, coaly in part,		'	INTERVAL 1
hard, gradational basal contact	0.060	82.533	Thickness :
CLAYSTONE, medium light grey, waxy,	* .		11.761 m
fissile, moderately soft, abrupt			
wavy basal contact	0.125	82.658) }
SILTSTONE, brownish black,))
carbonaceous to coaly, laminated,)
flaggy, abrupt wavy basal contact	0.114	82.772)
CLAYSTONE, yellowish grey,			1
subvertical fracture at base,			
soft, sharp planar base	0.202	82.974	
SILTSTONE, black, coaly, grades)
to stony coal	0.266	83.240	;

A287	County: Phillip
E 220 045.7	Parish: Coggan
N 6410 189.8	Portion:
E 208 132.0	Logged By: C.J. McElroy
N 1409 265.4	Drilled By: David Young Drilling
.0 m	(J. Booth)
.82	For: Austen & Butta Limited
.82	Total Depth: 248.800m
	E 220 045.7 N 6410 189.8 E 208 132.0 N 1409 265.4 .0 m

	Estimated Thickness (m)	Estimated Depth to Base of Stratum (m)	Remarks
NON CORE TRICONE ROLLER BIT	82.550	82.550	
NOW CORE TRICONS ROBLER BIT	04.330	52.330	
SANDSTONE, very light grey, lightly iron stained, medium, lithic, poorly sorted, well			
comented, granular in part,	0.103	82.653	
high clay content	0.103	02.033	
CLAYSTONE, carbonaceous, coaly)
in part	0.108	82.761	
CLAYSTONE, light olive grey, silty, compact, fretted and waxy		· ·)
towards base	0.073	82.834	INTERVAL 1) Thickness
CLAYSTONE, carbonaceous, compact	0.080	B2.914	8.217 m
CLAYSTONE, light greenish grey,			
fretted, compact and sandy at base, dark grey claystone inclusions	•		· · · ·
near top	0.222	83.136)

Location:

mainly dull and stony

County: Phillip

AMG Co-ordinates: E 220 466.7 (Zone 56) Parish: Coggan N 1407 010.9 Portion: -TSG Co-ordinates: E 208 493.3 (Zone 56/1) Logged By: K.W. BaylysC.J.McElro N 6409 265.4 Drilled By: David Young Drilling 616.7 m Collar R.L.: (Sadjibat) 15.9.82 Commenced: For: Austen & Butta Limited 1,10.82 Completed: Total Depth: 280.250 m Estimated Estimated Remarks Thickness Depth to Base of Stratum (m) NON CORE TRICONE ROLLER BIT TO 108.92 m 108.92 108.920 CLAYSTONE AND COAL INTERBEDDED, ratio 70:30. Claystone, pale yellow grey, soft, friable, fretted and air slaked in part; coal, predominantly stony, tends to coaly claystone in part; stratum is thinly bedded, slabby 1.695 110.615 SILTSTONE, pale yellow-grey, moderately hard, compact, thinly bedded, slabby 0.735 111.350 CLAYSTONE AND COAL INTERBEDDED,) INTERVAL 1 ratio 50:50. Claystone, pale) Thickness: yellow grey and mid grey phases, 9.158m carbonaceous in part; coal,

1.515

112.865)

Location: A287 County: Phillip AMG Co-ordinates: E (Zone 56) Parish: Wollara 25 750.65 N 6417 678.32 Portion: 39 ISC Co-ordinates: E (Zone 56/1) a13 973.18 Logged By: K.W. Bayly Drilled B: David Young Drilling 1419 826.91 Collar R.L.: 221.05 m (M. Sijabat) Commenced: 15.10.82 For: Austen & Butta Limited Completed: 19.10.82 Total Depth: 99.20 m

	Estimated Thickness (m)	Estimated Depth to Base of Stratum (m)	Remarks
NON CORE TRICONE ROLLER BIT	21.000	21.000	
SANDSTONE, light grey, fine,			• :
lithic, moderately soft, friable		:	
in part, clayey cement, subvertical		. :	
fracture throughout, minor mid		m. T	
grey siltstone lenses, core broken	•		
in part	0.700	21.700	
SILTSTONE, light to mid grey,	·		
moderately hard to hard, compact,	·		
carbonate lined fracture from 23.1m,			
core badly broken in part, sporadic			
light grey, fine sandstone lenses	1.855	23.555	
CLAYSTONE, coaly to carbonaceous,	• • • • • •	,	•
sporadic thin coal bands	0.235	23.790	
		· .	

23.880

0.090

COAL, dull with numerous bright

bands

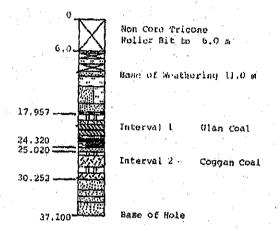
County: Phillip Location: Bylong, A287 AMG Co-ordinates: E 25 014.97 Parish: Coggan (Zone 56) N 6413 034.67 Portion: 72 ISG Co-ordinates: B 213 151.15 Logged By: C.J. McElroy (Zone 56/1) N 1415 200.12 Drilled By: David Young Drilling Collar R.L.: 288.87 m (R. Langdon) Commenced: For: Austen & Butta Limited 18.10.82 25.10.82 51.20 m Completed: Total Depth:

	Estimated Thickness (m)	Estimated Depth to Base of Stratum	Remarks
NON CORE TRICONE ROLLER BIT	6.000	6.000	
SANDSTONE, very light grey, fine to medium, lithic to quartz-			
lithic, clayey matrix, iron stained, core broken	0.605	6.605	
CLAYSTONE, very light grey, white, compact, iron stained, silty in part, moderately hard, core			
broken in basal 0.3m	1.135	7.740	
CORE LOSS	0.200	7.940	

SANDSTONE, light grey, fine to very fine at top, coarse to granular at base, irregularly fining upwards, quartz-lithic, poorly sorted, moderately well cemented, sporadic carbonaceous fleck laminae in top half of unit, minor small scale low angle cross bedding, micaceous

Base of Weathering -10.3 m

D.D.H. 31



Logged by K.W.B.

Prepared by
MCELROY BRYAN & ASSOCIATES PTY LTD GRAPHIC SECTION

for
AUSTEN & BUTTA LIMITED

DRAWN BY K.W.B. DATE DECEMBER 1982 SCALE 1:500 PAGE 1 of 3

D.D.H. 33

Non Core Tricone Roller Bit to 5.850 m

Base of Weathering 14.3 m

Base of Hole

Logged by C.J.M.

Prepared by MCELROY BRYAN & ASSOCIATES PTY LTD

GRAPHIC SECTION

AUSTEN & BUTTA LIMITED

SCALE 1:500

DRAWN BY K.W.B.

DATE DECEMBER 1982

D.D.H. 34

Non Core

14.512

18.904

Base of Weathering 17.5 m

24.923

27.088
30.00

Non Core

Coggen Coal

Base of Hole

Logged by C.J.M.

Prepared by
MCELROY BRYAN & ASSOCIATES PTY LTD

GRAPHIC SECTION

AUSTEN & BUTTA LIMITED

DRAWN BY R.W.B. DATE DECEMBER 1982 SCALE 1:500 PAGE 1 of 1

D.D.H. 35

13,090 20.850

Non Core Tricone Roller Bit to 13,090 m

Interval 1 Comman Coal

Base of Role

Logged by C.J.M.

Prepared by

MCELROY BRYAN & ASSOCIATES PTY LTD

GRAPHIC SECTION

SCALE 1:500

PAGE 1 of 1

K.W.B. DATE DECEMBER 1982

PANISH D	UDDEN		COUNTY PHII	LLIP
AZIMUTH DDH 3 DATUM A.H.D. BRIGIN OF LEVEL	•		SURVEYOR G.M/R.G DATE OF SURVEY CALCULATED BY G JOB No. 3/461	30-11-84 M. /2 /
RIO SYSTEM	UNITS	нткои	EAST	REDUCED LEVEL
î.s.c. (56/1)	m	1407713.9	215 745 . 7	354.4
1, 3.6.	<u> </u>	DIAGRAM		
		SCALE 1: 2,50	•	
		**************************************	174-41'25" 4 4 000 45' 00' 15'	



(no subject)

david.agnew@trade.nsw.gov.au <david.agnew@trade.nsw.gov.au>
To: ron.taylor@trade.nsw.gov.au

Thu, Nov 19, 2009 at 2:20 PM

(See attached file: ABBY01.pdf)(See attached file: ABBY02.pdf)

David Agnew | Manager Coal & Petroleum Titles and Systems | Titles Section | Mineral Resources, Branch Industry & Investment NSW | 516 High St | Maitland | PO Box 344 | Hunter Regional Mail Centre NSW 2310

T: 02 4931 6442 | F: 02 4931 6776 | M: 0417 259 698 | E: david.agnew@industry.nsw.gov.au

W: www.industry.nsw.gov.au

(See attached file: ABBY03.pdf)(See attached file: ABBY04.pdf)(See attached file: ABBY06.pdf)

5 attachments

D ABBY01.pdf 2013K

四 ABBY02.pdf 1257K

ABBY03.pdf 2253K

ABBY04.pdf 569K

ABBY06.pdf 2943K

Location:

AMG Co-ordinates: E 221 250.1 m (Zone 56)

N #16 973.0 m

ISG Co-ordinates: E 209 462.6 m (Zone 56/1)

N 1.19 206.2 m

Collar R.L.: 291.8 m (AHD)

Commenced: 4.2.82

Completed: 17.2.82

County: Thillip

Parish:

Coggan

Portion: 141

Logged By:

C.J. McElroy

Drilled By: David Young Drilling

(J. Booth)

For: Austen & Butta Limited

Total Depth: 153.90 m

Estimated Estimated Remarks Depth to Thickness Base of Stratum

NON CORE TRICONE ROLLER BIT

TO 6, 20 m

6.20

6.20

CLAYSTONE, light bluish-grey, moderate yellowish brown where iron stained, greenish black at base, compact, silty, sandy in part, speckled in part, laminated toward base, core broken near . base, generally moderately fresh, flaggy, thinly bedded

0.790

6.990

SANDSTONE, very light grey, fine to very fine, quartz-lithic, clayey matrix, well sorted, festoon cross bedded phases throughout marked by very thin (less than 1mm) fragmented carbonaceous laminae in top half of unit and by mid grey silt in basal half, massive, thinly bedded, fresh below 8.0 m

Base of Weathering

2.630 9.620

Location:

AMG Co-ordinates: (Zone 56)

223 472.6 m 6415 775.4 m ·

ISG Co-ordinates: E 211 661.2 m (Zone 56/1) N w17 967.9 m

N 1417 967.9 m

Collar R.L.: 256.5 m (AHD)

Commenced:

19.2.82

Completed:

badly broken

3.3.82

County: Phillip

Parish: Coggan

Portion: 52

Logged By: C.J. McElroy

David Young Drilling Drilled By:

(J. Booth)

For: Austen & Butta Limited

104.85 m Total Depth:

	Estimated Thickness (m)	Estimate Depth to Base of Stratum (m)	Remarks
NON CORE TRICONE ROLLER BIT			
TO 12.00 m	12.00	12.00	
CLAYSTONE, dusky yellow, medium grey interlaminated, weathered, soft in part, minor subvertical fracture at 14.10 m, core broken along partings on 5cm spacing	3.244	15.244	
SILTSTONE, dark grey, numerous fine (0.001m) yellowish grey claystone laminae throughout, carbonaceous at base, flaggy	0.681	15.925	
CLAYSTONE AND COAL INTERMIXED, ratio 50:50. Claystone, carbonaceous; coal, stony, core			

Location:		County: Phillip
AMG Co-ordinates: H	219 732.8 m	Parish: Coggan
(Zone :56)	6419 119.7 m	Portion: 133
ISG Co-ordinates: I	207 986.4 m	Logged By: C.J. McElroy
(2one 56/1)	1421 379.8 m	Drilled By: David Young Drilling
Collar R.L.: 256.	m (AHD)	(M. Sijabat)
Commenced: 22.2.82		For: Austen & Butta Limited
Completed: 3.3.82	1	Total Depth: 136.350 m

	Estimated Thickness (m)	Estimated Depth to Base of Stratum	Rem
		(m)	100
NON CORE TRICONE ROLLER BIT			
TO 6.0 m	6.00	6.00	
CLAY, dark yellowish orange, very	•		
light grey, soft, plastic, iron	. 1	•	* *
	0.935	6.935	
stained, fretted	0.935	6.533	
CORE LOSS	1.665	8.600	
CLAY, as for 0.935 m unit above	0.144	8.744	
		•	
SILTSTONE, medium dark grey, medium			
gray cross bedded phases throughout,			
iron stained at base, flaggy,			•
laminated	1.236	9,980	
CLAYSTONE, very light grey, soapy,			1.
subvertical fracture throughout,			
core broken on partings approx.		er Antonomie	1. S
0.010 m, wavy basal contact,	23		
laminated at top, medium bedded to			•
base	0.130	10.110	
. ₩Œ₽₩	U 5 U	4.5.	•

Location:
AMG Co-ordinates: E 218 508.8 m

N 6416 759.1 m

ISG Co-ordinates: E 206 719.2 m

N 1419 043.7 m

Collar R.L.: 300:3 m (AHD)

Commenced: 8.3.82 Completed: 17.3.82 County: Phillip Parish: Coggan Portion: 224

Logged By: C.J. McElroy

Drilled By: David Young Drilling

(J. Booth)

For: Austen & Butta Limited

Total Depth: 77.50 m

	Estimated Thickness	Depth to	Remarks
	(m)	Base of	
		Stratum (m)	
		•	
11011 CONT. CO. CO. CO. CO. CO. CO. CO. CO. CO. CO	11 600	11 500	
NON CORE TRICONE ROLLER BIT	11.500	11.500	
			9 1
CLAY, very light grey, white,			
greyish orange, soft, pebbly,			
iron stained, core badly broken			
and deformed	0.500	12.000	
	•		
CLAYSTONE, pale yellowish brown,			
soft, competent, iron stained;		•	• •
	0.000	17 000	
core broken	0.090	12.090	
COAL, soft, smutty, clayey	0.375	12.465	
, dull, weathered, core broken,		. 1	
uneven subvertical fracture			
throughout	0.215	12.680	•
, dull with minor bright bands,			
core broken, uneven sub-	0.160	10 040	. *
vertical fracture throughout	0.160	12.840	
, dull, stony in part	0.230	13.070	
		and the second s	

Location:

AMG Co-ordinates:

226654.7

County: Phillip Parish: Coggan'

6413477.8

Portion: 162

ISG Co-ordinates:

214798.1

N 1415612.3

Logged By: C.J. McElroy

Drilled By: David Young Drilling

Collar R.L.: 390.0

(J. Booth)

23.3.82 Commenced:

For: Austen & Butta Limited

Completed: 4.5.82

Total Depth:

268.40 m

Estimated Thickness Estimated Depth to (m) Base of Stratum (m)

Remarks

NON CORE TRICONE ROLLER BIT

3.400

3.400

CONGLOMERATE, moderate brown, yellowish grey, rounded and subrounded pebbles and granules of green and red chert, yellowish grey siltstone and sparse dark grey ? claystone in a coarse quartz sand and white clay matrix, generally moderately ironstained, sporadic clay bands and pebbly sandstone phases, ratio matrix to clasts 70:30, indistinct bedding except from 6.0 m to 7.0 m, 9.5m to 10.0 m where unit shows low angle cross bedding in sandy phases, blocky to massive

15.705



(no subject)

david.agnew@trade.nsw.gov.au <david.agnew@trade.nsw.gov.au>
To: ron.taylor@trade.nsw.gov.au

T: 02 4931 6442 | F: 02 4931 6776 | M: 0417 259 698 | E: david.agnew@industry.nsw.gov.au

Thu, Nov 19, 2009 at 2:21 PM

(See attached file: ABBY07.pdf)(See attached file: ABBY12.pdf)(See attached file: ABBY17.pdf)(See attached file: ABBY19.pdf)(See attached file: ABBY20.pdf)

David Agnew | Manager Coal & Petroleum Titles and Systems | Titles Section | Mineral Resources, Branch Industry & Investment NSW | 516 High St | Maitland | PO Box 344 | Hunter Regional Mail Centre NSW 2310

W: www.industry.nsw.gov.au

5 attachments

ABBY07.pdf 1099K

ABBY12.pdf 2605K

ABBY17.pdf

ABBY19.pdf 536K

ABBY20.pdf

Location:

AMG Co-ordinates:

223911.5 6409941.4

ISG Co-ordinates:

211990.7 1412129.7

Collar R.L.: 479.0 m (AHD)

Commenced: 3.3.82

Completed: 29.3.82

County: Phillip

Parish: Burrumbelong

Portion: Vacant Crown Land

Logged By: C.J. McElroy

Drilled By: David Young Drilling

(M. Sijabat)

For: Austen & Butta Limited

Total Depth: 218.30 m

Estimated Thickness Estimated Depth to Base of Stratum (m)

Remarks

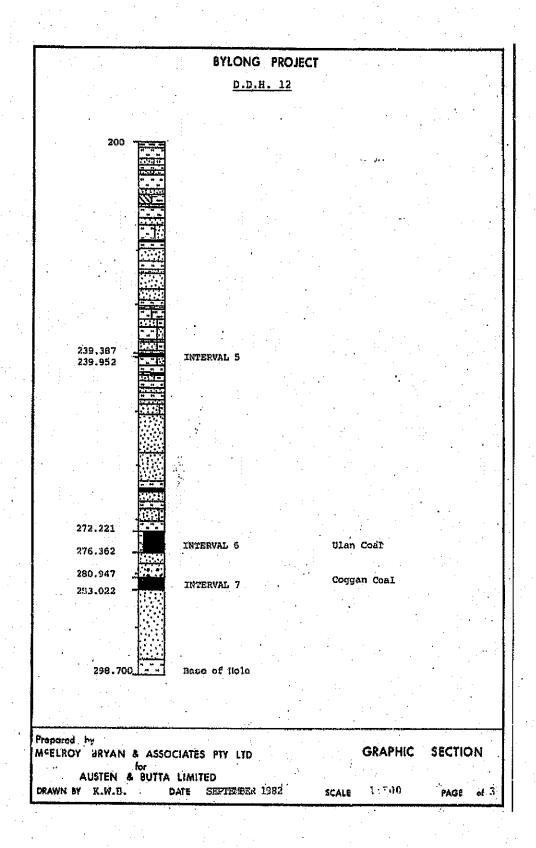
NON CORE TRICONE ROLLER BIT

1.000

1,000

CONGLOMERATE, light brownish grey, greenish grey, moderate reddish brown, medium grey and white granules and pebbles of chert, ? acid volcanics and silicified siltstone in a clay and coarse quartz sand matrix, ironstained, poorly sorted, subrounded to subangular, high angular ? cross bedding phases throughout, medium sandstone interbed from 5.7m to 6.7m, ratio matrix to clasts 70:30, slabby to massive, medium bedded, high core loss throughout unit, weathered

16,400



Location: Bylong A287

AMG Co-ordinates: E 220 193.8 (Zone 56)

N 6 415 395.4

ISG Co-ordinates: E 208 377.6 (Zone 56/1)

N 1417 649.4

293.8 m

Collar R.L.: Commenced:

12.8.82

16.8.82 Completed:

County: Phillip Parish: Coggan

Portion: 123

Logged By: C.J. McElroy

Drilled By: David Young Drilling

(M. Sijabat)

For: Austen & Butta Limited

Total Depth: 50.55 m

	Estimated Thickness (m)	Estimated Depth to Base of	Remarks
		Stratum (m)	
NON CORE TRICONE ROLLER BIT	9.000	9.000	
CLAYSTONE, light grey, lightly iron stained bedding partings, silty iron stained where sandy			
at base, gradational basal contact, bedding disturbed to subhorizontal, flaggy, 7 manganese lining on minor high angle fractures towards base	0.565	9.565	
SANDSTONE, greyish orange, light	. 0,203	2.200	

grey where silty, iron stained, fine to medium, lithic, sporadic light grey siltstone interbeds, minor carbonaceous flecks from middle to base, thinly bedded, flaggy

0.678

10.243

CLAYSTONE, light gray, lightly iron stained, numerous thin dark grey interlaminae, iron stained bedding partings throughout, thinly laminated, flaggy, ? manganese lining on subvertical fracture near top

1.418

Location:

Commenced:

AMG Co-ordinates: E 221 814.1

N 6406 425.6

ISG Co-ordinates: E 209 828.9

19.8.82

N 1408 655.6

Collar R.L.: 385.4 m

Completed: 23.8.82 County: Phillip

Parish: Burrembelong

Portion: 85

Logged By: C.J. McElroy

Drilled By: David Young Drilling

For: Austen & Butta Limited

Total Depth: 56.0 m

Estimated Thickness Estimated Depth to Base of (m) Stratum (m)

Remarks

NON CORE TRICONE ROLLER BIT

6.000

6.000

SILTSTONE AND SANDSTONE INTER-LAMINATED, interbedded in part, ratio 70:30. Siltstone, medium dark grey, sporadic coaly partings, lightly iron stained, intermixed sandy phase at 7.5 m; sandstone, very light grey, lightly iron stained, fine, medium in part, quartz-lithic, sparsely micaceous, thinly bedded, laminated in part, generally flaggy

4.012

10.012

SILTSTONE, medium dark grey, sparsely micaceous, sporadic carbonaceous flecks, lightly iron stained towards base, gradational basal contact

0.780

Location: Bylong A287	County: Phillip
AMG Co-ordinates: E 26152.7 (20ne 56)	<u>Parish</u> : Budden
(2one 56) N 06125.2	Portion: 85
ISG Co-ordinates: E 214158.9 (Zone 56/1)	Logged By: C.J. McElroy
(zone 56/1) N 08274.1	<u>Drilled By: David Young Drilling</u>
Collar R.L.: 348.3 m	(M. Sijabat)
Commenced: 24.8.82	For: Austen & Butta Limited
Completed: 25.8.82	Total Depth: 29.95 m

	Estimated Thickness (m)	Estimated Depth to Base of Stratum	Remarks	
		(in)		
NON CORE TRICONE ROLLER BIT	3.000	3.000		
		•		
SANDSTONE, very light grey, greyish orange speckled, medium, medium				
to coarse in part, quartz-lithic, lithic component iron stained,				
moderately sorted, sporadic granules				
from middle to base, indistinct bedding, slabby to blocky	0.636	3.636		
CLAYSTONE, greyish yellow, dark .				
brown in part ? smutty, heavily iron stained, puggy in part,			e 4	
earthy, silty at base, core badly			,014 Au	
broken	0.265	3.901		
SANDSTONE, very light grey, greyish			•	
orange in part, very fine to fine,	٠.			
numerous medium interlaminae from middle to base, poorly sorted,				
mragre on pass, boarth soured,			, ,	

4.349

SANDSTONE, very light grey, greyish orange in part, very fine to fine, numerous medium interlaminae from middle to base, poorly sorted, silty and clayey at top, generally lightly iron stained, very thinly bedded, flaggy at top, slabby towards base, abrupt planar basal contact 0.448



Coal Release Areas EOI Information Package

william.hughes@trade.nsw.gov.au <william.hughes@trade.nsw.gov.au < ron,taylor@trade.nsw.gov.au

Thu, Nov 19, 2009 at 3:44 PM

William Hughes
Principal Adviser Coal and Strategic Projects
NSW Department of Industry and Investment
Level 6, 201 Elizabeth Street
Sydney NSW 2000

Ph: +61 2 8289 3931 Fx: +61 2 9286 3208 Mob: +61418286530

E: william.hughes@industry.nsw.gov.au

Web: www.industry.nsw.gov.au

--- Forwarded by William Hughes/DII/NSW on 19/11/2009 03:43 PM ---

Julie Moloney/DII/NSW

To

William Hughes/DII/NSW@NSW

19/11/2009 12:15 PM

CC

Subject

Re: 11 EOI areas approval

(See attached file: Release Areas Inform Pack Final August 2008.pdf)(See attached file: 2nd Release Areas Inform Pack January 2009.pdf)

Julie Moloney | Principal Adviser | Mineral Resources, Development Coordination Industry & investment NSW | 516 High St | Maitland NSW 2320 | PO Box 344 | Hunter Region Mail Centre NSW 2310 T: 02 4931 6549 | F: 02 4931 6776 | M: 0407 921 462 | E: julie.moloney@industry.nsw.gov.au W: www.industry.nsw.gov.au

William Hughes—19/11/2009 11:57:32 AM—Julie Could you send me an electronic version of the EOI Booklet for the 11 Areas.

William Hughes/DII/NSW

Τ¢

Julie Moloney/DII/NSW@NSW

19/11/2009 11:56 AM

CC

Subject

Re: 11 EOI areas approval

Julie

Could you send me an electronic version of the EOI Booklet for the 11 Areas.

ta

Will

William Hughes
Principal Adviser Coal and Strategic Projects
NSW Department of Industry and Investment
Level 6, 201 Elizabeth Street
Sydney NSW 2000

Ph: +61 2 8289 3931 Fx: +61 2 9286 3208 Mob: +61418286530

E: william.hughes@industry.nsw.gov.au

Web: www.industry.nsw.gov.au

Julie Moloney—19/11/2009 10:10:25 AM—Hi Will DDG approved release of 11 EOI areas on 9 Sept 2008. Trim INTO08/62117

Julie Moloney/DII/NSW

Ťο

19/11/2009 10:10 AM

William Hughes/DII/NSW@NSW

CC

Subject

11 EOI areas approval

Hi Will

DDG approved release of 11 EOI areas on 9 Sept 2008. Trim INTO08/62117

Cheers

Julie.

Julie Moloney | Principal Adviser | Mineral Resources, Development Coordination Industry & Investment NSW | 616 High St | Maitland NSW 2320 | PO Box 344 | Hunter Region Mail Centre NSW 2310 T: 02 4931 6549 | F: 02 4931 6776 | M: 0407 921 462 | E: julie.moloney@industry.nsw.gov.au W: www.industry.nsw.gov.au

2 attachments

Release Areas Inform Pack Final August 2008.pdf 2202K

2nd Release Areas Inform Pack January 2009.pdf

COAL RELEASE AREAS

Gunnedah Coalfield

Hunter Coalfield

Western Coalfield

EXPRESSION OF INTEREST INFORMATION

NEW SOUTH WALES

August 2008



COAL RELEASE AREAS

Gunnedah Coalfield

Hunter Coalfield

Western Coalfield

EXPRESSION OF INTEREST INFORMATION

NEW SOUTH WALES

January 2009





Accessing Cronulla H drive from Sydney Office

ron.taylor@trade.nsw.gov.au <ron.taylor@trade.nsw.gov.au>
To: Helpdesk/Dll/NSW@nsw

Fri, Nov 20, 2009 at 8:55 AM

Hi,

I need to do some urgent work in the Sydney Elizabeth St Office this morning - can I access my Cronulla account/H drive from the Sydney Office. Need to know asap please. Thanks

Regards



Call No 167659 has been logged by infraEnterprise.

helpdesk@industry.nsw.gov.au <helpdesk@industry.nsw.gov.au> To: ron.taylor@industry.nsw.gov.au Fri, Nov 20, 2009 at 8:55 AM

Call No 167659 has been logged by infraEnterprise.

Accessing Cronulla H drive from Sydney Office

Hi

I need to do some urgent work in the Sydney Elizabeth St Office this morning - can I access my Cronulla account/H drive from the Sydney Office. Need to know asap please. Thanks

Regards



Access to desk with PC in Elizabeth St

ron.taylor@trade.nsw.gov.au <ron.taylor@trade.nsw.gov.au> To: wayne.jones@industry.nsw.gov.au Fri, Nov 20, 2009 at 9:49 AM

Wayne,

I need to work from the Sydney Office later on this morning/afternoon - is there a desk available with a PC I can use? I will also need parking - not sure of plate number yet.

Can you please confirm asap this can be accommodated. Thanks

Regards



Elephants and Forests

ron.taylor@trade.nsw.gov.au <ron.taylor@trade.nsw.gov.au> To: william.hughes@trade.nsw.gov.au

Fri, Nov 20, 2009 at 10:00 AM

(See attached file: Standing Order 52 Elephants 14.9.06.doc)(See attached file: Boral Timber Order for Papers 30.10.06.doc)

Regards

Ron Taylor Manager Corporate Projects Industry & Investment NSW Ph (02) 9527 8453

2 attachments



Standing Order 52 Elephants 14.9.06.doc



Boral Timber Order for Papers 30,10.06.doc 35K

Dr C Gellatly
Director General
Premier's Department
Governor Macquarie Tower
1 Farrer Place
SYDNEY NSW 2000

BY HAND

Attn: Joseph Hanna

Dear Dr Gellatly,

Order for Papers - Taronga Zoo Asian Elephants

I refer to your advice dated 6 September 2006 of the Order by the Legislative Council for the production of all documents held by the NSW Department of Primary Industries (NSW DPI) relating to the importation of Asian elephants by Taronga Zoo.

Enclosed are copies of the required documents presented in the suggested format. Separate indexed lists are provided for Privileged and Non-Privileged papers. Where privilege has been claimed two versions of the document have been provided where appropriate - a full copy in the schedule of Privileged Documents and, where possible, an edited version with the privileged information obscured in the schedule of Non-Privileged Documents.

I certify to the best of my knowledge all documents held by NSW DPI and covered by the terms of the resolution have been provided.

Yours sincerely

Alan Coutts

A/Director General

Claims for Privilege Taronga Zoo Asian Elephants

NSW DPI is claiming privilege in regard to:

- 1. The names and contact details of individuals who have written to the Department complaining or expressing concern about the Taronga Zoo elephant issue. This claim is made on the grounds of maintaining the privacy of the individuals involved. Edited versions with these details obscured are referenced in the Non-Privileged Document schedule at items 1(j)(18) to 1(j)(40) inclusive with the author's initials only shown.
- 2. Details of consultations and deliberations on sensitive Animal Welfare issues outside the scope of the Order. The Department and the Exhibited Animals Advisory Committee (EAAC) deal with a range of emotive matters where key stakeholders and interest groups may have passionate though divergent and contrary positions. It is essential for NSW DPI to maintain frank and open channels of communication with all such groups to facilitate the development and implementation of effective policy and regulatory frameworks. It is also essential for the members of the EAAC to fully canvass and deliberate the matters referred to them.

Privilege is therefore claimed for these documents on the basis that disclosure would inhibit the frankness and candour of information and advice provided to the Government, that it would be detrimental to policy development and may affect the quality of recommendations and decisions made. It is emphasised this exemption is only being claimed for information outside the scope of the Order.

3. Documents and information concerning a compliance/regulatory matter where public disclosure of the alleged breach may prejudice the investigation of the allegation. Such disclosure may affect the Department's ability to subsequently sustain prosecution action and the effectiveness of any proposal for preventing, investigating and dealing with this possible contravention of the law.

Dr C Gellatly
Director General
Premier's Department
Governor Macquarie Tower
1 Farrer Place
SYDNEY NSW 2000

DF06/1156 D.IN06/1131

BY HAND

Attn: Joseph Hanna

Dear Dr Gellatly,

Order for Papers - Boral Timber

I refer to your advice dated 19 October 2006 of the Order by the Legislative Council for the production of documents held by the NSW Department of Primary Industries – Forests NSW concerning Boral Timber.

Enclosed are copies of the required documents presented in the suggested format. Separate indexed lists are provided for Privileged and Non-Privileged papers.

Due to the confidentiality provisions contained in the Contract documents covered by the order for papers, NSW DPI would normally be obliged to seek privilege for all such documents in their entirety.

In this instance Boral Timbers has advised they would not object to the disclosure of the majority of the content of these contracts, subject to privilege being maintained on commercially sensitive information. This approach is supported by NSW DPI – see Attachment.

Where privilege is being claimed two versions of the document have been provided a full copy under the schedule of Privileged Documents and an edited version with the privileged information obscured in the schedule of Non-Privileged Documents.

I certify to the best of my knowledge all documents held by NSW DPI, including Forests NSW, and covered by the terms of the resolution have been provided.

Yours sincerely

Barry Buffier Director General

Order for Papers – Boral Timber Claim for Privilege

Forests NSW is a party to 5 current wood supply agreements with Boral related companies:

- 1. Agreement dated 26 August 2003 between two Boral timber division companies Allen Taylor & Co Limited and Duncans Holdings Limited, Forests NSW and the State of NSW ("the 2003 WSA"). The 2003 WSA terminated an earlier agreement between the same parties dated 5 March 1999 and provides for Forests NSW to supply an annual supply of high quality sawlog timber to the companies from supply zones on the northern NSW coast from 1 July 2004 until 31 December 2023.
- 2. Agreement dated 25 June 2004 between Allen Taylor & Co Ltd and State Forests of NSW providing for State Forests of NSW to supply an annual supply of pulplog to the company from the north coast from 1 January 2004 until 31 December 2007 with the possibility of extension for a further 4 years ("the 2004 Pulplog WSA")
- 3. Agreement dated 30 November 2004 between Allen Taylor & Co Ltd, Forests NSW, and the State of NSW entitled Type C being an agreement requiring Forests NSW to supply an annual supply of low grade sawlog to the company from the north coast until 31 December 2023 ("the 2004 Type C WSA").
- 4. Agreement dated 30 November 2004 between Allen Taylor & Co Ltd, Forests NSW, and the State of NSW entitled Type A being an agreement requiring Forests NSW to supply an annual supply of high quality sawlog to the company from the north coast until 31 December 2023 ("the 2004 Type A WSA").
- 5. Agreement dated 24 November 2003 between formerly between Davis & Herbert Forestry Pty Ltd, State Forests of NSW, and the State of NSW assigned to Allen Taylor & Co Ltd on 30 May 2005 requiring Forests NSW to supply an annual supply of high and low quality sawlogs to the company from the south coast from 1 January 2004 until 31 December 2020 ("the 2003 South Coast WSA").

Each of the wood supply agreements contains a "Confidentiality" condition in the following terms (see clauses 39 of the 2003 WSA, 2004 Pulplog WSA, 2004 Type C WSA, and the 2004 Type A WSA and clause 40 of the 2003 South Coast WSA).

39. CONFIDENTIALITY

No party will disclose the contents or terms of this Agreement or any information or documents received by it in connection with the negotiation of this Agreement or pursuant to the provisions of this Agreement without the prior written consent of the other parties, except to the extent that:

- 39.1 the information is available to the public generally;
- 39.2 that party is required to make the disclosure by law or to make any filing, recording or registration required by law including without limitation any disclosure required by the listing rules of the Australian stock exchange;
- 39.3 the disclosure is necessary or advisable for the purpose of obtaining any consent, authorization, approval or licence from any public body or authority;
- 39.4 it is necessary that the disclosure be made to any taxation or fiscal authority:
- 39.5 the disclosure is made on a confidential basis to:
 - 39.5.1 the professional advisers of that party (including any industry association) for the purpose of obtaining advice in relation to this Agreement or the enforcement of this Agreement or otherwise for the purpose of consulting those professional advisers; or
 - 39.5.2 any financier to the Companies or Boral, or
 - 39.5.3 any prospective purchaser of the Companies or of any of their assets or undertakings; or
- 39.6 the disclosure is required or desirable to be made in pursuance of any procedure for discovery of documents and any proceedings before any court, tribunal or regulatory body.

The confidentiality clause has been inserted into each of the wood supply agreements in recognition that the terms and conditions of the agreement, including price and price review mechanisms, may be of such a commercially sensitive nature that release of those matters will have serious adverse commercial implications for the company.

It is submitted that those parts of the wood supply agreements of a commercially sensitive nature should not be made public as the following detriment may arise:

- 1. Breach of contractual promises of confidentiality.
- 2. Prejudice current or future contractual or other relationships between Government and the private sector.
- 3. Discourage future dealings with Government.
- 4. Cause loss of commercial advantage and competitiveness within the private sector.
- 5. Cause detriment to private sector participants.
- 6. Reduce Government's ability to deliver the maximum public benefit.



(no subject)

louise.nobbs@trade.nsw.gov.au <louise.nobbs@trade.nsw.gov.au> To: ron.taylor@trade.nsw.gov.au

Fri, Nov 20, 2009 at 10:04 AM

Louise Nobbs | A/Executive Assistant | Executive Services Industry & Investment NSW | 161 Kite Street | Locked Bag 21 | Orange NSW 2800 T: 02 6391 3319 | F: 02 6391 3199 | E; louise.nobbs@industry.nsw.gov.au

Please consider the environment before printing this email

Forwarded by Louise Nobbs/DII/NSW on 20/11/2009 10:04 AM

Jeannine Blv iano/DII/NSW

20/11/2009 09:45 AM

Louise Nobbs/DII/NSW@NSW

Subject

Fw. Financial Review article question

Jeannine Biviano | Executive Director Corporate Services Industry & Investment NSW | 161 Kite Street | Orange NSW 2800 | Locked Bag 21 | Orange NSW 2800 T: 02 6391 3319 | F: 02 6391 3199 | M: 0428 105 835 | E: jeannine.biyiano@industry.nsw.gov.au W: www.industry.nsw.gov.au

--- Forwarded by Jeannine Biviano/DII/NSW on 20/11/2009 09:44 AM --

Jeannine Bivlano/DPI/NSW

"Conan Yuen" <Conan Yuen@treasury.nsw.gov.au>

26/09/2008 03:52 PM

Tony Heffeman/DPI/NSW@NSW

Subject

Re: Financial Review article question[.]

Dear Conan,

New South Wales has a number of remnant and small coal deposits which could be of interest to smaller coal companies. Development of these resources would optimise resource recovery and generate additional royalty return to Government should mining be approved in the future.

To progress the exploration of these resources the Minister approved a competitive expression of interest process where a number of smaller companies, who had previously expressed interest in developing coal resources in NSW, were invited to submit proposals.

Interested parties are required to submit an Expression of Interest/s to the Department of Primary Industries with details of an exploration and development program. Closing time and date for Expressions of Interest is 12 noon on Monday 24 November 2008.

The exploration licence holder of any area which proceeds to; and is granted development approval for a future mine proposal under the *Environmental Planning and Assessment Act 1979* will be required to pay financial contributions for coal allocation area/s as per the Department of Primary Industries *Guidelines for Allocation of Future Coal Exploration Areas* (www.dpi.nsw.gov.au/minerals/titles/policies) **Copy attached**. Financial contributions will be payable in instalments:

- 20% of required financial contribution at grant of Major Project Approval under the Environmental Planning and Assessment Act 1979
- · Balance payable in five equal payments at yearly intervals.

The required financial contributions are based on the type of deposit (open cut or underground), quality (export or domestic) and saleable coal tonnage. These payments would only be expected to commence 5 to 6 years after the exploration title was granted (around 2015).

A Determination of how much of a return this might generate will not be known until after the areas have been explored and development consent is granted in 5 to 6 years. However a very rough estimate based on the above policy for each area would be as follows:

- Vickery South \$5 Million
- Goonbri \$5 Million
- Yarrawa \$3 Million
- Spur Hill \$20 Million
- Glendon Brook \$8 Million
- Mt Penny \$20 Million
- Long Mountain \$2 Million
- Iford \$5 Million
- Cameron Road \$5 Million
- Melrose \$3 Million
- · Ben Bullen \$8 Million

Total: \$84 Million

Apart from the standard application fees, expression of Interest applicants may nominate additional financial contributions as part of the evaluation process which would be payable within 30 days from the date of a registration of part transfer of the current exploration licence or within 30 days of the Minister granting consent to apply for a new exploration licence. These funds would be expected to be payable this financial year. However, while it is very difficult to estimate of how much money may be raised by this process, it is not expected to generate a significant return to Government (possibly in the range of \$5-15

Million) for the following reasons.

- 1. The areas are relatively small with many likely to contain only lower quality coal suitable for the domestic market.
- 2. The majority have significant mining issues associated with the deposits which will increase mining costs and or constrain resource recovery (in many cases these resources have not been previously mined because of these difficulties.
- 3. All the areas have limited exploration data available and as such there is significant risk associated with the development of these areas.
- 4. Only smaller companies have been invited to apply. These companies are likely to have limited access to capital prior to exploring the areas and defining a bankable project.

(See attached file: Guidelines-of-Allocation-of-Future-Exploration-Coal-Areas-Feb-08.pdf)

I hope this answers some of your questions.

regards,

"Conan Yuen" <Conan.Yuen@treasury.nsw.gov.au>

"Conan Yuen" <Conan.Yuen@treasury.nsw.gov.

<jeannine.biviano@dpi.nsw.gov.au>

23/09/2008 01:48 PM

<tony.heffeman@dpi.nsw.gov.au>

Subject

Τo

CC

Financial Review article question

Jeannine,

On the 19th of September, the Australian Financial Review newspaper reported that the NSW Government would auction 11 coal exploration licences to small and medium-sized miners. According to the article called "NSW coal licences raise hopes", the state government would auction the licences in Gunnedah, Hunter and Western coalfields. The article states that letters calling for expressions of interest were sent out early in September

Could you please provide further information regarding these auctions including the potential financial impacts of the auction of these licences?

Thanks.

Conan Yuen Financial Analyst Natural Resources and Environment NSW Treasury Tel: 9228 4165

Fax: 9228 3173

Guidelines-of-Allocation-of-Future-Exploration-Coal-Areas-Feb-08.pdf

Guidelines for Allocation of Future Coal Exploration Areas Introduced March 2006 Updated January 2008

1. Introduction

Following a recent order from the Governor of New South Wales, all of the coal deposits in NSW now lie within a Minerals Allocation Area (MAA) under the Mining Act 1992.

Within the MAA, the Minister's consent is required before an application can be made for a coal exploration licence. The MAA allows for the controlled and rational release of potential coal development areas and the setting of special conditions for each allocation.

Normally allocations are made on a competitive basis, however, there may be circumstances where coal allocations are made subject to certain conditions and including a financial contribution.

These guidelines apply to future coal exploration areas and not to existing titles.

2. Categories of Coal Allocation Areas

For the purpose of these guidelines potential coal allocation areas are categorised into four groups:

(i) Major Stand-Alone Areas

These areas contain sufficient coal to develop a large new mine.

(ii) Substantial Additions to Existing Mines

These areas are located adjacent to existing mines and could be mined from a continuation of the existing operations. Such areas may also have the potential to be 'major stand-alone' areas.

(iii) Minor Additions to Existing Mines

These areas are small areas adjacent to existing mines and could be mined by a continuation of the existing mine. These areas are not large enough to develop 'major stand-alone' mines.

(iv) Small Areas Unrelated to Existing Mines

These areas are small but may have the potential to be developed as stand-alone mines if located close to infrastructure or markets.

3. Process for Allocation

(i) Major Stand-Alone Areas

A major stand-alone area is defined as an area containing sufficient coal to justify the establishment of a substantial new mine and infrastructure.

Stand-alone areas will be allocated by:

- (a) Tender or expressions of interest with open-ended financial contribution.
- (b) Tender or expressions of interest with minimum financial contribution but open-ended maximum contribution.
- (c) Tender or expressions of interests with a fixed financial contribution and a requirement to develop specific infrastructure or utilisation technologies or value adding processes.

(d) Tender or expressions of interest to supply a specific end use such as a domestic power station with a financial contribution negotiated in advance of the tender or expression of interest.

(ii) Substantial Additions to Existing Mines

Substantial additions range in size from 20 Mt open cut or 50 Mt underground saleable reserves to major stand alone areas that are adjacent to existing mining projects.

(a) Potential Stand-Alone Areas

Unless the area is required to ensure the ongoing short to medium term future of the mine, competitive tendering or expression of interest would be used as per the process outlined in (i).

(b) Other Areas (>20Mt open cut or >50 Mt underground)

To add significant additional reserves (but not of stand-alone size) to existing mines, an assessment based on one or more of the following criteria will be employed:

- Current mine reserves are nearly depleted and grant of an adjoining area would ensure continuity of mining.
- The viability of the mine could be substantially improved.
- Significant capital expenditure would be incurred and the production levels increased/maintained.
- Sterilisation of the resource would be avoided or resource recovery from the area would be substantially enhanced.
- Allocation of the area would avoid duplication of infrastructure in environmentally sensitive areas or assist to ameliorate potential conflicts with other surface development.

If such a substantial allocation is made without competitive tendering, then a financial contribution will be negotiated. Minimum contributions are specified in the attached Appendix.

General

- For requests for substantial additions to mines which adjoin other mines or projects, consideration will be given to the current and possible future needs of those adjoining operations in regard to access to additional coal reserves.
- Geological structures which may form mining barriers will be taken into consideration.
- In some instances, the grant of additional reserves may be balanced by the relinquishment of areas effectively non-mineable from existing operations due to geological barriers.
- Substantial additions will not be granted to applicants who have not already carried out extensive exploration in existing holdings.

(iii) Minor Additions to Existing Mines

A minor addition is defined as a small area adjoining an existing mine or project. It may contain up to 20 million tonnes of open cut or 50 million tonnes of underground saleable coal reserves. Small areas may be allocated on the basis of one of more of the following criteria:

- Life of the existing mine will be extended (but there isn't necessarily any significant increase in employment, capital expenditure or production).
- Grant of the area will rationalise existing colliery boundaries in relation to surface features or geological constants.
- The area is threatened with sterilisation from competing land uses.
- The area would not be amendable to the development of a separate small stand-alone mine.
- Resource recovery will be maximised.

Where a proposed minor addition forms part of a larger unallocated area, consideration will be given to the possible deleterious effect on the future development potential of the larger area.

In the situation where a minor addition is adjacent to more than one existing mine or project, then a study needs to be undertaken prior to allocation, to ensure that the proposed allocation represents the most rational and equitable approach.

Prior to allocation to an existing mine or project, appropriate terms and conditions will be negotiated and the financial contribution will be set as per the attached Appendix.

Minor additions may be granted as mining leases rather than exploration licences where the geological data from adjoining areas can be reasonably extrapolated into the proposed addition.

(iv) Small Areas Unrelated to Existing Mines

Small areas include:

- Remnant coal resources left from previous mining operations.
- Small deposits with some development potential.

Allocation will be by priority of application or by some limited form of expression of interest.

A financial contribution based on the tonnes of saleable coal will be set as per the Appendix.

4. Refund of Exploration Expenditure

All categories require the refund of government exploration expenditure. Exploration adjacent to, but outside the allocation area, may be included as part of the expenditure.

Repayment schedules are negotiable.

5, Requirement to Develop Resources

All allocations of coal reserves are made to either allow the development of a new mine or to enhance an existing mine.

Where the conditions of the original allocation are not met by the title holder or the allocated resources are not developed within a reasonable time frame, the coal title may be cancelled by the Minister without compensation.

Indicative Financial Contribution for Coal Allocation Areas

The Tables below set out <u>indicative</u> financial contributions for coal allocation areas. The contributions are based on the type of deposit (open cut or underground), quality (export or domestic) and size.

I. OPEN Cut

Saleable Tonnes (Mt)	Expor	t Coal	Domestic Coal		
	Cents/Tonne	Minimum Contribution \$ million	Cents/Tonne	Minimum Contribution \$ million	
> 100	Tender or Negotiation or 20 + negotiation	>20	Tender or Negotiation or 10 + negotiation	>10	
50-100	15+ negotiation	>7.5	7.5 + negotiation	>3.75	
5-50	10	>0.5	5	>0.25	
<5	5	<0.25	2.5	<0.125	

II. UNDERGROUND

.Saleable Tonnes (Mt)	Expor	t Coal	Domestic Coal		
*	Cents/Tonne	Minimum Contribution \$ million	Cents/Tonne	Minimum Contribution \$ million	
>100	Tender or Negotiation or 15+ negotiation	>15	Tender or Negotiation or 7.5 + negotiation	>7.5	
50-100	10	>5 ,	5	>2.5	
<50	5	<2.5	2.5	<1.25	

Payment of Financial Contributions

Unless allocated by open tender or negotiation, financial contributions will be payable in instalments:

- 20% at grant of Development Approval.
- balance payable in five equal payments at yearly intervals.

The financial contributions do not include the refund of government exploration and tender assessment costs if applicable.



Re: Access to desk with PC in Elizabeth St

wayne.jones@trade.nsw.gov.au <wayne.jones@trade.nsw.gov.au>
To: ron.taylor@trade.nsw.gov.au

Fri, Nov 20, 2009 at 10:32 AM

Ron - Should be no problem finding you a desk, however there won't be parking available until after 12 -12.30. If you are coming after that there should be a spot available.

Regards Wayne

Wayne Jones/Administration Officer/Executive Support
Industry & Investment NSW | Level 6 201 Elizabeth Street | Sydney NSW 2000|
T: 02 8289 393.3 | F: 02 9286 3208 | E: wayne.jones@industry.nsw.gov.au
W: www.industry.nsw.gov.au

Ron Taylor---20/11/2009 09:50:00 AM----Wayne,

Ron Taylor/DII/NSW

Τo

20/11/2009 09:50 AM

wayne.jones@industry.nsw.gov.au

CC

Subject

Access to desk with PC in Elizabeth St

Wayne,

I need to work from the Sydney Office later on this morning/afternoon - is there a desk available with a PC I can use? I will also need parking - not sure of plate number yet.

Can you please confirm asap this can be accommodated. Thanks

Regards



(no subject)

ron.taylor@trade.nsw.gov.au <ron.taylor@trade.nsw.gov.au>

Mon, Nov 23, 2009 at 11:23 AM

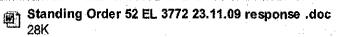
To: phil.anquetil@trade.nsw.gov.au

Cc: william.hughes@trade.nsw.gov.au, brad.mullard@trade.nsw.gov.au, jeannine.biviano@trade.nsw.gov.au

Bcc: tony.heffernan@trade.nsw.gov.au

(See attached file: Standing Order 52 EL 3772 23.11.09 response .doc)

Regards



Mr Leigh Sanderson
Deputy Director General (General Council)
Department of Premier and Cabinet
GPO Box 5341
SYDNEY NSW 2001

Attn: Mr Paul Miller

Executive Director, Legal Branch

By Hand

Dear Mr Sanderson,

Standing Order 52 - Order for Papers - Exploration Licence - Pt Penny

I refer to your advice dated 13 November 2009 of the Order by the Legislative Council for the production of all documents held by Industry & Investment NSW (I&I NSW) relating to Exploration Licence 3771 – Mt Penny.

Enclosed are copies of the required documents presented in the suggested format.

Separate indexed lists are provided for Privileged and Non-Privileged papers.

Where privilege has been claimed over part of a document two versions of the document have been provided – a full copy in the schedule of Privileged Documents, and an edited version with the privileged information obscured in the schedule of Non-Privileged Documents.

I certify to the best of my knowledge all documents held by I&I NSW and covered by the terms of the resolution have been provided.

Yours sincerely

RICHARD SHELDRAKE DIRECTOR GENERAL

Claims for Privilege Mt Penny

Industry and Investment NSW is claiming privilege in regard to:

1. Information contained within the documents outside the scope of the Order.

Mt Penny was one of eleven areas for which concurrent action was taken to seek Expressions of Interest and issue Exploration Licences. The information contained in the documents concerning the other ten areas is outside the scope of the Order and contains commercially sensitive material provided in confidence by private sector parties.

Details of financing arrangements and expected returns, methodology, proposed recovery strategies and work programs are considered commercial-in-confidence and are held in the industry to be valuable intellectual property. There would be an unreasonable adverse effect upon the business, commercial, professional and/or financial affairs of these third parties if this information was made available to the public and their competitors.

The Expression of Interest process relies upon strong, detailed bids to ensure a robust and competitive selection. If sensitive commercial details were to be released this would impact upon the information included in bids and compromise confidence in the process and outcomes.

Release would also be a strong deterrent across the Industry to participation generally in future Expressions of Interest, reducing the Government's ability to deliver the maximum public benefit.

2. The four (4) Expressions of Interest submitted regarding the Mt Penny Exploration Licence.

These documents contain commercially sensitive material provided in confidence by private sector parties.

Details of financing arrangements and expected returns, methodology, proposed recovery strategies and work programs are considered commercial-in-confidence and are held in the industry to be valuable intellectual property. There would be an unreasonable adverse effect upon the business, commercial, professional and/or financial affairs of these third parties if this information was made available to the public and their competitors.

The Expression of Interest process relies upon strong, detailed bids to ensure a robust and competitive selection. If sensitive commercial details were to be released this would impact upon the information included in bids and compromise confidence in the process and outcomes.

Release would also be a strong deterrent across the Industry to participation generally in future Expressions of Interest, reducing the Government's ability to deliver the maximum public benefit.

3. Information contained elsewhere within the documents referencing confidential material from the Expressions of Interest.

The processing and evaluation of the Expressions of Interest, granting the Exploration Licence to the successful party and subsequent documentation necessarily extracted and referred to critical, sensitive details of the bids and potential recovery.

Privilege is claimed over these details on a similar basis to that claimed for the documents from which this information was originally sourced.



Re:

william.hughes@trade.nsw.gov.au <william.hughes@trade.nsw.gov.au>
To: ron.taylor@trade.nsw.gov.au

Mon, Nov 23, 2009 at 11:31 AM

As requested Ron.

(See attached file: 20091123113424.pdf)
William Hughes
Principal Adviser Coal and Strategic Projects
NSW Department of Industry and Investment
Level 6, 201 Elizabeth Street
Sydney NSW 2000

Ph: +61 2 8289 3931 Fx: +61 2 9286 3208 Mob: +61418286530

E: william.hughes@industry.nsw.gov.au

Web: www.industry.nsw.gov.au

20091123113424.pdf

Loyal Coal Pty Limited

GPO Box 1810 Sydney NSW 2001

9 June 2009

Mr William Hughes
Principal Adviser Coal and Strategic Projects
Mineral Resources
NSW Department of Primary Industries
Level 6, 201 Elizabeth Street
SYDNEY NSW 2001

Dear Mr Hughes

RE: MONARO MINING NL'S EXPRESSIONS OF INTEREST IN COAL RELEASE AREAS WITHIN THE SYDNEY & GUNNEDAH BASINS AS PER THE NSW DEPARTMENT OF PRIMARY INDUSTRIES INVITATION DATED 9 SEPTEMBER 2008

Further to Monaro Mining's advice to you last week regarding the nomination of Loyal Coal Pty Ltd as the party to all of the current Expressions of Interest lodged with the NSW Department of Primary Industries, we wish advise that we hereby formally withdraw our Expression of Interest for the Mt Penny Coal Release Area, Glendon Brook Coal Release Area and Spur Hill Coal Release Area.

We wish to remain considered for the Coal Release Areas of, Yarrawa, Long Mountain, Ilford, Cameron Road, Melrose and Ben Bullen in accordance with the Expressions of Interest lodged by Monaro Mining currently being considered by your department.

If you have any questions in regards to the above or any other matter, please call me on 0488 080 101.

Yours faithfully

Gardner Brook
For and behalf of Loyal Coal Pty Ltd



(no subject)

ron.taylor@trade.nsw.gov.au <ron.taylor@trade.nsw.gov.au>
To: phil.anquetil@trade.nsw.gov.au

Wed, Nov 25, 2009 at 3:48 PM

LUCKE 41

(See attached file: EL 3771 19.11.09 Summary.xis)(See attached file: EL 3771 19.11.09 Non-Privileged.xis)(See attached file: EL 3771 19.11.09 Privileged.xis)

Regards

Ron Taylor Manager Corporate Projects Industry & Investment NSW Ph (02) 9527 8453

3 attachments

EL 3771 19.11.09 Summary.xls

EL 3771 19.11.09 Non-Privileged.xis 32K

EL 3771 19.11.09 Privileged.xls

Document	Туре	ltem	Date of	Author	Privilege
No.			Creation		Claim
a)1	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Coal Exploration Licence Application/Renewal ID Sheet	26.6.08	Fred Schiavo, Land Information Officer I&I NSW	No
a)2	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Mt Penny ID Diagram	7.7.08	Fred Schiavo, Land Information Officer I&I NSW	No
a)3	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Expression of Interest Information	Aug-08	I&I NSW	No
a)4	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Director General Briefing - Coal Allocation Proposal	9.9.08	Patricia Madden, Manager Operations, Mineral Resources I&I NSW	No
a)5	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Ministerial Briefing - Extension of Coal Allocation Areas Expressions of Interest	13.10.08	Patricia Madden, Manager Operations, Mineral Resources I&I NSW	No

Document	Type	Item	Date of	Author	Privilege
No.			Creation		Claim
a)6	Documents in relation to Exploration Licence 3771 (now	Expression of Interest Information	Jan-09	I&I NSW	No
	Exploration Licence 7406) - Mt Penny, Including any documents				
	relating to the tender process.				
a)7	Documents in relation to	EOI - Jain Group	20.11.08	Jain Group	Yes
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt	The state of the s			
- <u>-</u>	Penny, Including any documents relating to the tender process.				
					<u> </u>
a)8	Documents in relation to Exploration Licence 3771 (now	EOI - Monaro Mining	21.11.08	Monaro Mining	Yes
**	Exploration Licence 7406) - Mt				
	Penny, Including any documents relating to the tender process.				
a)9	Documents in relation to Exploration Licence 3771 (now	EO! - Cascade Coal Pty Ltd	16.2.09	Cascade Coal Pty Ltd	Yes
	Exploration Licence 7406) - Mt Penny, Including any documents				
	relating to the tender process.				
Explo Explo Penn	Documents in relation to	EOI - Breakspheare Coal Mines Ltd	Feb-09	Breakspheare Coal Mines Ltd	Yes
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt			IVIII ICS LIU	
	Penny, including any documents relating to the tender process.				

Document	Туре	Item	Date of	Author	Privilege
No.			Creation		Claim
a)11	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Evaluation of Expresions of Interest	Jun-09	Evaluation Team, I&I NSW	Yes
a)12	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Withdrawal by Monaro Mining from Mt Penny EOI	9.6.09	Loyal Coal Pty Ltd	No
a)13	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	EOI Recommendation - Approved by Director General I&I NSW 19.6.09	18.6.09	William Hughes, Principal Advisor Coal & Strategic Projects I&I NSW	Yes
a)14	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Successful letter	19.6.09	Brad Mullard Executive Director Mineral Resources I&I NSW	No
a)15	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Unsuccessful letters	19.6.09	Brad Mullard Executive Director Mineral Resources I&I NSW	No

Document No.	Туре	Item	Date of Creation	Author	Privilege Claim
a)16	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Letter re Standard Licence Conditions	8.7.09	David Agnew, Manager Coal & Petroleum Titles and Systems I&I NSW	No
a)17	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Consent Letter Cascade Coal Pty Ltd	11.8.09	David Agnew, Manager Coal & Petroleum Titles and Systems I&I NSW	. No
a)18	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ELA 3771 Co-ordinates	23.8.09	Cascade Coal Pty Ltd	No
a)19	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Underwriting agreement	24.8.09	Arthur Phillip Funding, Strategy & Business Investment	Yes
a)20	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Nomination Letter plus supporting information - ELA 3771 - Cascade Coal Pty Ltd	25.8.09	Cascade Coal Pty Ltd	Yes

Document	Туре	Item	Date of	Author	Privilege Claim
No. a)21	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Receipt - Contribution to Coal Development Fund by Cascade Coal Pty Ltd	Creation 25.8.09	Finance Branch, I&I NSW	No No
a)22	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Receipt - Assessment Fee - Cascade Coal Pty Ltd	25.8.09	Finance Branch, I&I NSW	No
a)23	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Receipt - ELA 3771 - Application Fee - Cascade Coal Pty Ltd	25.8.09	Finance Branch, I&I NSW	No
a)24	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Acknowledgement Letter - ELA 3771	28.8.09	Peta Johannessen, Titles Administrator I&I NSW	No
a)25	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ID Diagram X	2.9.09	Gary Walker, Titles Officer Coal & Petroleum 1&I NSW	No

Document:	Type	Item	Date of	Author	Privilege
No.			Creation		Claim
a)26	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt	ELA 3371 ID	2.9.09	Gary Walker, Titles Officer Coal & Petroleum I&I NSW	No
·.	Penny, Including any documents relating to the tender process.				
a)27	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents	Application Diagram E3771-01	11.9.09	Peter Hord, Land Information Co- ordinator I&I NSW	No
a)28	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Memorandum ELA3771 Cascade Coal	11.9.09	Sarah Jardine, Geoscientist I&I NSW	Yes
a)29	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Email exchange regarding Financial Review article	23.9.09	Conan Yuen, Financial Analyst, NSW Treasury. Jeannine Biviano, Executive Director Corporate Services I&I NSW	Yes
a)30	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Email re Exploration Licence Conditions	30.9.09	David Agnew, Manager Coal & Petroleum Titles and Systems I&I NSW	No

Document	Туре	Item	Date of	Author	Privilege
No.			Creation		Claim
a)31	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents	Exploration Licence Conditions	6.10.09	Peta Johannessen, Titles Administrator I&I NSW	No
	relating to the tender process.				
a)32	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ELA 3771 Exploration Licence Document	6.10.09	Peta Johannessen, Titles Administrator I&I NSW	No
a)33	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ELA 3771 Grant Proposal Letter	8.10.09	Peta Johannessen, Titles Administrator I&I NSW	No
a)34	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Parliamentary House Folder Note - Mt Penny	14.10.09	William Hughes, Principal Advisor Coal & Strategic Projects I&I NSW	No
a)35	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ELA Grant Submission	21.10.09	Peta Johannessen, Titles Administrator I&I NSW	No.

			ili di santa saka di sa		
			e.		
:			-		
•					: -
Order for F	Papers - Exploration Licence - M	t Penny - Industry & Investment NSW - SUMMARY		-	
Document	Туре	Item	Date of	Author	Privilege
No.			Creation	·	Claim
a)36	Documents in relation to	EL 7404 Grant Document	26.10.09	Peta Johannessen,	No
Talles and the same of the sam	Exploration Licence 3771 (now			Titles Administrator	
	Exploration Licence 7406) - Mt			I&I NSW	ĺ
	Penny, Including any documents		÷		
	relating to the tender process.				ļ
107		EL 24040	07.40.00	D	1 11
a)37	Documents in relation to	EL 7404 Grant Letter	27.10.09	Peta Johannessen, Titles Administrator	No
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt		4 44	I&I NSW	
	Penny, Including any documents			INSVV	. :
	relating to the tender process.		-		
	rolating to the tender process.				
a)38	Documents in relation to	Response to Notice of Motion	11.11.09	Brad Mullard	No
	Exploration Licence 3771 (now	Transferred to traded or model.		Executive Director	, , ,
	Exploration Licence 7406) - Mt			Mineral Resources I&I	
	Penny, Including any documents			NSW	
	relating to the tender process.				
			:		
a)39	Documents in relation to	Drilling and Geological Data	1982	various	No
	Exploration Licence 3771 (now				
·	Exploration Licence 7406) - Mt		-		
	Penny, Including any documents				
	relating to the tender process.				
		PT MA O IC 140 M	0004	I&I NSW	NI-
a)40	Documents in relation to	"The Western Coalfield" - Narrative	2001	ION INDVV	No
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt				
	Penny, Including any documents				
	relating to the tender process.				}
	l clausing to the terior process.			***	
					·

Document No.	Type	Item	Date of Creation	Author	Privilege Claim
b)1	Any document which records or refers to the production of documents as a result of this order	Letter - Order for Papers	13.11.09	Leigh Sanderson, Deputy Director General DPC	No
b)2	Any document which records or refers to the production of documents as a result of this order	Email - Order for Papers	19.11.09	Ron Taylor, Manager Corporate Projects I&I NSW	No
b)3	Any document which records or refers to the production of documents as a result of this order	Email - Order for Papers	19.11.09	David Agnew, Manager Coal & Petroleum Titles and Systems I&I NSW	No
b)4	Any document which records or refers to the production of documents as a result of this order	Email - Order for Papers	19.11.09	William Hughes, Principal Advisor Coal & Strategic Projects 1&I NSW	No
b)5	Any document which records or refers to the production of documents as a result of this order	Email - Order for Papers	19.11.09	William Hughes, Principal Advisor Coal & Strategic Projects I&I NSW	No
b)6	Any document which records or refers to the production of documents as a result of this order	Letter - Response by Director General, Industry & Investment NSW	23.11.09	Ron Taylor, Manager Corporate Projects I&I NSW	No

Document No.	Type	ltem	Date of Creation	Author	Privilege Claim
a)1	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Coal Exploration Licence Application/Renewal ID Sheet	26.6.08	Fred Schiavo, Land Information Officer I&I NSW	No
a)2	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Mt Penny ID Diagram	7.7.08	Fred Schiavo, Land Information Officer I&I NSW	No
a)3	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Expression of Interest Information	Aug-08	I&I NSW	No
a)4	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Director General Briefing - Coal Allocation Proposal	9.9.08	Patricia Madden, Manager Operations, Mineral Resources I&I NSW	No
a)5	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Ministerial Briefing - Extension of Coal Allocation Areas Expressions of Interest	13.10.08	Patricia Madden, Manager Operations, Mineral Resources I&I NSW	No

Document	Type	ltem .	Date of	Author	Privilege Claim
No. a)6	Documents in relation to	Expression of Interest Information	Creation Jan-09	I&I NSW	No
ا مرد	Exploration Licence 3771 (now	Expression of interest information	0811-03	id. NOW	"
	Exploration Licence 7406) - Mt				
	Penny, Including any documents		1.5		
	relating to the tender process.				
	is the state of th				
a)12	Documents in relation to	Withdrawal by Monaro Mining from Mt Penny EOI	9.6.09	Loyal Coal Pty Ltd	No
	Exploration Licence 3771 (now]
	Exploration Licence 7406) - Mt				
	Penny, Including any documents		**		
•	relating to the tender process.				
a)13	Documents in relation to	EOI Recommendation - Approved by Director General I&I	18.6.09	William Hughes,	No.
	Exploration Licence 3771 (now	NSW 19.6.09. EDITED VERSION with privileged information	in in	Principal Advisor Coal	
	Exploration Licence 7406) - Mt	obscured		& Strategic Projects	ļ ·
	Penny, Including any documents			I&I NSW	
	relating to the tender process.		9		
a)14	Documents in relation to	Successful letter	19.6.09	Brad Mullard	No
er, in the	Exploration Licence 3771 (now	the second of th		Executive Director	
	Exploration Licence 7406) - Mt			Mineral Resources I&I	
•	Penny, Including any documents			NSW	
	relating to the tender process.				
a)15	Documents in relation to	Unsuccessful letters	19.6.09	Brad Mullard	No
a) 10	Exploration Licence 3771 (now	Onstocosidi fettero	10.0.00	Executive Director	
	Exploration Licence 7406) - Mt			Mineral Resources I&I	
	Penny, Including any documents		· · · · · · · · · · · · · · · · · ·	NSW	
	relating to the tender process.				
	l			1.	1

Document	Type	Item	Date of	Author	Privilege
No.			Creation		Claim
a)16	Documents in relation to	Letter re Standard Licence Conditions	8.7.09	David Agnew,	No
	Exploration Licence 3771 (now			Manager Coal &	
	Exploration Licence 7406) - Mt			Petroleum Titles and	ŀ
	Penny, including any documents			Systems I&I NSW	1
	relating to the tender process.				
					ì
a)17	Documents in relation to	Consent Letter Cascade Coal Pty Ltd	11.8.09	David Agnew,	No
•	Exploration Licence 3771 (now		•	Manager Coal &	1
•	Exploration Licence 7406) - Mt			Petroleum Titles and	
•	Penny, Including any documents			Systems I&I NSW	
	relating to the tender process.				
	,			,	
a)18	Documents in relation to	ELA 3771 Co-ordinates	23.8.09	Cascade Coal Pty Ltd	No
	Exploration Licence 3771 (now				
	Exploration Licence 7406) - Mt		1		• •
	Penny, Including any documents				
	relating to the tender process.				
a)21	Documents in relation to	Receipt - Contribution to Coal Development Fund by	25.8.09	Finance Branch, I&I	No
· ·	Exploration Licence 3771 (now	Cascade Coal Pty Ltd		NSW	
	Exploration Licence 7406) - Mt				1
1.11	Penny, Including any documents				1
	relating to the tender process.				
a)22	Documents in relation to	Receipt - Assessment Fee - Cascade Coal Pty Ltd	25.8.09	Finance Branch, I&I	No
	Exploration Licence 3771 (now			NSW	
	Exploration Licence 7406) - Mt				
100	Penny, Including any documents		100		1
	relating to the tender process.			1	
*	Training to the tollings proceed.				

Document No.	Туре	ltem	Date of Creation	Author	Privilege Claim
a)23	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Receipt - ELA 3771 - Application Fee - Cascade Coal Pty Ltd	25.8.09	Finance Branch, I&I NSW	No
a)24	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, including any documents relating to the tender process.	Acknowledgement Letter - ELA 3771	28.8.09	Peta Johannessen, Titles Administrator I&I NSW	No
a)25	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ID Diagram X	2.9.09	Gary Walker, Titles Officer Coal & Petroleum I&I NSW	No
a)26	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ELA 3371 ID	2.9.09	Gary Walker, Titles Officer Coal & Petroleum I&I NSW	No
a)27	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents	Application Diagram E3771-01	11.9.09	Peter Hord, Land Information Co- ordinator I&I NSW	No
a)28	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents	Memorandum ELA3771 Cascade Coal - EDITED VERSION with privileged information obscured	11.9.09	Sarah Jardine, Geoscientist I&I NSW	No

Document	Туре	Item	Date of	Author	Privilege
No.			Creation		Claim
a)29	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents	Email exchange regarding Financial Review article. EDITED VERSION with privileged information obscured	23.9.09	Conan Yuen, Financial Analyst, NSW Treasury. Jeannine Biviano,	No
a)30	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Email re Exploration Licence Conditions	30.9.09	David Agnew, Manager Coal & Petroleum Titles and Systems I&I NSW	No
a)31	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Exploration Licence Conditions	6.10.09	Peta Johannessen, Titles Administrator I&I NSW	No
a)32	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ELA 3771 Exploration Licence Document	6.10.09	Peta Johannessen, Titles Administrator I&I NSW	No
a)33	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	ELA 3771 Grant Proposal Letter	8.10.09	Peta Johannessen, Titles Administrator I&I NSW	No

-			
Order for I	Papers - Exploration Licence - M	t Penny - Industry & Investment NSW - NON PRIVILEGED	
Document		Item Date of Author	Privilege
No.		Creation	Claim
a)34	Documents in relation to	Parliamentary House Folder Note - Mt Penny 14.10.09 William Hughes,	No
• • •	Exploration Licence 3771 (now	Principal Advisor Coa	al] .
	Exploration Licence 7406) - Mt	& Strategic Projects	
	Penny, Including any documents	I&I NSW	
	relating to the tender process.		
a)35	Documents in relation to	ELA Grant Submission 21.10.09 Peta Johannessen,	No
	Exploration Licence 3771 (now	Titles Administrator	
	Exploration Licence 7406) - Mt	I&I NSW	
	Penny, Including any documents		
	relating to the tender process.		•
<u> </u>			
a)36	Documents in relation to	EL 7404 Grant Document 26.10.09 Peta Johannessen,	No
	Exploration Licence 3771 (now	Titles Administrator	
	Exploration Licence 7406) - Mt	I&I NSW	
	Penny, Including any documents		
	relating to the tender process.		
\07		FI 7404.00 Pate I-homosoon	
a)37	Documents in relation to	EL 7404 Grant Letter 27.10.09 Peta Johannessen, Titles Administrator	No
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt	I&I NSW	
	Penny, Including any documents	I I I I I I I I I I I I I I I I I I I	
•	relating to the tender process.		
-	relating to the tonder process.		
a)38	Documents in relation to	Response to Notice of Motion 11.11.09 Brad Mullard	No
-,	Exploration Licence 3771 (now	Executive Director	
·	Exploration Licence 7406) - Mt	Mineral Resources 18	ង -
	Penny, Including any documents	NSW	
	relating to the tender process.		

Document No.	Туре	Item	Date of	Author	Privilege
a)39	Documents in relation to	Drilling and Geological Data	Creation 1982	various	Claim No
,	Exploration Licence 3771 (now	Sharing and Goological Data	1002	V601000	"
	Exploration Licence 7406) - Mt				
	Penny, Including any documents				
	relating to the tender process.				
a)40	Documents in relation to	"The Western Coalfield" - Narrative	2001	I&I NSW	No
	Exploration Licence 3771 (now				
	Exploration Licence 7406) - Mt				
	Penny, Including any documents		٠.		
	relating to the tender process.				
b)1	Any document which records or	Letter - Order for Papers	13.11.09	Leigh Sanderson,	No
U) i	refers to the production of	Letter - Order for Papers	13.11.09	Deputy Director	140
	documents as a result of this order			General DPC	
b)2	Any document which records or	Email - Order for Papers	19.11.09	Ron Taylor, Manager	No
- , -	refers to the production of		, 01, 1100	Corporate Projects	
	documents as a result of this			I&I NSW	
b)3	order Any document which records or	Email - Order for Papers	19.11.09	David Agnew,	No
טנט	refers to the production of	Email - Order for Fapers	15.11.05	Manager Coal &	,,,,
	documents as a result of this			Petroleum Titles and	
	order			Systems I&I NSW	
b)4	Any document which records or	Email - Order for Papers	19.11.09	William Hughes,	No ·
	refers to the production of			Principal Advisor Coal	
٠.	documents as a result of this		:	& Strategic Projects	
*	order			I&I NSW	1

.

Document	Type	Item		Date of	Author	Privilege Claim
No. b)5	Any document which records or refers to the production of documents as a result of this order	Email - Order for Papers		Creation 19.11.09	William Hughes, Principal Advisor Coal & Strategic Projects I&I NSW	No
•	Any document which records or refers to the production of documents as a result of this order	Letter - Response by Director General, I Investment NSW	ndustry &	23.11.09	Ron Taylor, Manager Corporate Projects I&I NSW	No

Document	Type	Item	Date of	Author	Privilege
No.			Creation		Claim
a)7	Documents in relation to	EOI - Jain Group	20.11.08	Jain Group	Yes
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt				
• .	Penny, including any documents				
	relating to the tender process.				
a)8	Documents in relation to	EOI - Monaro Mining	21.11.08	Monaro Mining	Yes
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt				
	Penny, Including any documents				- :
	relating to the tender process.				
a)9	Documents in relation to	EOI - Cascade Coal Pty Ltd	16.2.09	Cascade Coal Pty Ltd	Yes
-	Exploration Licence 3771 (now Exploration Licence 7406) - Mt				
	Penny, Including any documents		1.		
	relating to the tender process.				
a)10	Documents in relation to	EOI - Breakspheare Coal Mines Ltd	Feb-09	Breakspheare Coal	Yes
	Exploration Licence 3771 (now Exploration Licence 7406) - Mt			Mines Ltd	
	Penny, Including any documents				
. •	relating to the tender process.				
a)11	Documents in relation to	Evaluation of Expresions of Interest	Jun-09	Evaluation Team, I&I	Yes
-	Exploration Licence 3771 (now Exploration Licence 7406) - Mt			NSW	
	Penny, Including any documents				-
	relating to the tender process.				

Document	Туре	Item	Date of	Author	Privilege
No.			Creation		Claim
a)13	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	EOI Recommendation - Approved by Director General I&I NSW 19.6.09	18.6.09	William Hughes, Principal Advisor Coal & Strategic Projects I&I NSW	Yes
a)19	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents	Underwriting agreement	24.8.09	Arthur Phillip Funding, Strategy & Business Investment	Yes
, is	relating to the tender process.				
a)20	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Nomination Letter plus supporting information - ELA 3771 - Cascade Coal Pty Ltd	25.8.09	Cascade Coal Pty Ltd	Yes
a)28	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Memorandum ELA3771 Cascade Coal	11.9.09	Sarah Jardine, Geoscientist I&I NSW	Yes
a)29	Documents in relation to Exploration Licence 3771 (now Exploration Licence 7406) - Mt Penny, Including any documents relating to the tender process.	Email exchange regarding Financial Review article	23.9.09	Conan Yuen, Financial Analyst, NSW Treasury. Jeannine Biviano, Executive Director Corporate Services 1&I NSW	Yes
-					