

## Procurement practices of government agencies in New South Wales and its impact on the social development on the people of New South Wales

### Questions on notice

QoN	Transcript page #	Question
1	9	<p>The CHAIR: How often has that review process resulted in the finding of noncompliance and some kind of sanction applied to either the agency or the contractor involved?</p> <p>SONYA CAMPBELL: I'm not aware of sanctions being applied to the agency, but it is a question that I am currently asking in response to the most recent round of self-attestations and having a conversation through the risk and compliance committee and the board around what those consequences should be. I think the most extreme is a suspension of an accreditation for noncompliance, but a prompt remedial plan and action as a minimum should be required for identified instances of noncompliance.</p> <p>The CHAIR: So just to confirm, the Procurement Board, which has ultimate oversight via the secretaries of the accredited departments, has never to date—</p> <p>SONYA CAMPBELL: I wouldn't say never.</p> <p>The CHAIR: Not that you're aware of? I don't want to put words in your mouth.</p> <p>SONYA CAMPBELL: But I'm happy to take it on notice and check for you, Chair.</p> <p>The CHAIR: Thank you. I appreciate that.</p>
		<p><b>Response</b></p> <p>Since 2018 (when the current accreditation process commenced) the Procurement Board has been notified of five trigger event action plans. Only one of these events was for non-compliance. No sanctions were required as the agency self-reported the event to the Procurement Leadership Group (PLG as a sub-committee of the Board). These decisions are based on the nature of the trigger event identified and on the agency's response to addressing the issues to be resolved in the trigger event action plan and align to the current process listed below.</p> <p>Similarly for the four other trigger events (two for organisational change and two for Machinery-of-Government changes), trigger event action plans were self-reported by the agencies and endorsed by the PLG. No sanctions were required.</p> <p>Trigger event escalation process requirements are:</p> <ul style="list-style-type: none"> <li>• Agency to evaluate trigger event and notify PLG of findings.</li> </ul>

		<ul style="list-style-type: none"><li>• If required, agency to develop trigger event action plan for PLG endorsement.</li><li>• If not addressed, the Board considers targeted review with root cause analysis and a trigger event action plan.</li><li>• If not addressed, the Board considers a full review potentially resulting in accreditation status change or withdrawn.</li></ul>
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