

**Submission
No 17**

**EXAMINATION OF THE AUDITOR-GENERAL'S
PERFORMANCE AUDIT REPORTS DECEMBER 2014
- JUNE 2015**

Organisation: Transport for NSW
Name: Mr Tim Reardon
Position: Secretary
Date Received: 5 July 2016

IMPLEMENTATION OF RECOMMENDATIONS

Transport for NSW

Implementing Performance Audit Recommendations

RECOMMENDATION		ACCEPTED OR REJECTED	ACTIONS TO BE TAKEN	DUE DATE	STATUS (completed, on track, delayed) and COMMENT	RESPONSIBILITY (Section of agency responsible for implementation)
We recommend that agencies use the Audit Office's better practice checklist to implement performance audit recommendations and in particular ensure that:			The recommendations have been completed. In TfNSW:			
1.	A unit or branch is nominated to coordinate implementation	ACCEPTED	1. For each audit recommendation a unit or branch is nominated and business managers in those areas coordinate implementation. Updates are reviewed by the appropriated Deputy Secretary before sending through to Internal Audit for reporting	24 Jun 2016	Completed	Executive Director, Office of the Secretary Chief Audit Executive
2.	Proposed actions are regularly reviewed to ensure that they remain relevant	ACCEPTED	2. On a monthly basis management reviews action plans for relevance as part of status update reporting	24 Jun 2016	Completed	Executive Director, Office of the Secretary Chief Audit Executive
3.	The Audit and Risk Committee monitors performance audit recommendations	ACCEPTED	3. A briefing note is prepared by Internal Audit for the Secretary to approve/reject requests for any material changes to the Register of Audit Recommendations (e.g. closure of action or time extension). This is then circulated and reviewed by the Audit and Risk Committee for its monitoring	24 Jun 2016	Completed	Executive Director, Office of the Secretary Chief Audit Executive
4.	Senior management makes decisions on proposed actions such as closure of recommendations	ACCEPTED	4. Deputy Secretaries are responsible for endorsing any amendments to proposed actions. A briefing note is prepared monthly by Internal Audit for the Secretary to approve/reject requests for any material changes to the Register of Audit Recommendations (e.g. closure of action or time extension).	24 Jun 2016	Completed	Executive Director, Office of the Secretary Chief Audit Executive
5.	Progress against performance audit recommendations is included in the agency's annual report.	ACCEPTED	5. Progress against performance audit recommendations has been sent to Finance for inclusion in TfNSW's 2015/16 annual report (appendix 7).	24 Jun 2016	Completed	Executive Director, Office of the Secretary Chief Audit Executive