DE it concred iv the Crosse's block Excellent Majority, by and with the netrics and consent of the Legislative Conneil and Legislative Assembly of New South Wales in Parliament assembled, and by the authority of the same, a follows : ---

No. , 1971.

ABILL

To make further provisions with respect to the powers, authorities, duties and functions of the Council of Auctioneers and Agents and the carrying on of the business of an auctioneer, stock and station agent, real estate agent or business agent; to vary in certain respects the procedure for the issue of licenses and certificates of registration under the Auctioneers, Stock and Station and Real Estate Agents Act, 1941; for these and other purposes to amend that Act; and for purposes connected therewith.

[MR MADDISON—28 October, 1971.]

66881 134—A

BE

B^E it enacted by the Queen's Most Excellent Majesty, by and with the advice and consent of the Legislative Council and Legislative Assembly of New South Wales in Parliament assembled, and by the authority of the same, as follows:—

1. (1) This Act may be cited as the "Auctioneers and Short title, Agents (Amendment) Act, 1971".

ment and construction.

(2) This Act shall commence upon a day to be appointed by the Governor and notified by proclamation10 published in the Gazette.

(3) The Auctioneers, Stock and Station and Real Estate Agents Act, 1941, is, in this Act, referred to as the Principal Act.

2. Part I of the Principal Act is amended—

Amendment of Act No. 28, 1941. (Part I.— Preliminary.)

(a) by omitting subsection one of section one and by Sec. 1. inserting in lieu thereof the following subsection :--- (Short

(1) This Act may be cited as the "Auctioneers" and Agents Act, 1941".

(b) (i) by omitting from section two the figures "19" Sec. 2. and by inserting in lieu thereof the figures and (Division letter "19A"; Parts.)

(ii)

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Auctioneers and Agents (Amendment). (ii) by omitting from the same section the figures and letter "50H" where firstly occurring and by inserting in lieu thereof the figures and letter "501"; 5 (iii) by inserting next after the matter relating to Division 4 of Part III in the same section the following new matter :---DIVISION 5.—Stock and Station Agents s. 501. (iv) by omitting from the same section the figures 10 "63" wherever occurring and by inserting in lieu thereof the figures and letter "63A"; (c) (i) by omitting the definition of "Chattel Sec. 3. auctioneer" in subsection one of section three; (Definitions.) (ii) by inserting next after the definition of 15 "Employee" in the same subsection the following new definition :----"Farm produce" means cereals, grain, vegetables, potatoes, onions, other edible roots and tubers, tobacco leaf, fruit, 20 hay, chaff, dairy produce, live or dead poultry and game, and eggs. (iii) by omitting from the definition of "Land used for agricultural or pastoral purposes" in the same subsection the words "greater in area 25 than five acres"; (iv) by omitting from the definition of "Real estate agent" in the same subsection the words "in respect of land" and by inserting in lieu thereof the words "in respect of any parcel 30 of land that is greater in area than five acre. and that is";

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(v)

- (v) by inserting at the end of paragraph (c) of the definition of "Stock and station agent" in the same subsection the word "or";
- (vi) by omitting from subparagraph (i) of paragraph (b) of subsection three of the same section the word "or" where thirdly occurring;
- (vii) by inserting next after the same subparagraph the following new subparagraph :---
 - (ia) business as an agent for the collection of contributions levied pursuant to subsection two of section fifteen of the Conveyancing (Strata Titles) Act, 1961, or for the collection of amounts payable in relation to any premises to a company by a person who is the holder of shares in the company and who, by reason of his holding those shares, is entitled to possession of those premises; or
- (viii) by inserting in the same paragraph after the word "instalments" where secondly occurring the words ", contributions or amounts";
- (ix) by omitting from subsection six of the same section the words "sections thirty-nine and fifty-two" and by inserting in lieu thereof the words "sections 39AA and 52A";
- (x) by omitting from paragraph (c) of subsection eight of the same section the words "sections thirty-nine and fifty-two" and by inserting in lieu thereof the words "sections 39AA and 52A".

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3. Part II of the Principal Act is amended—

Further amendment of Act No. 28, 1941. (Part II.— The Council of Auctioneers and Agents.)

(a) (i) by inserting next after subsection one of Sec. 8.
 section eight the following new subsection :---- (Constitution of (1+)). In this section "about a subsection council.)

(1A) In this section, "chattel auctioneer" means an auctioneer who is the holder of a chattel auctioneer's license, but does not include an auctioneer who is the holder of a chattel auctioneer's license and any other auctioneer's license.

(ii) by inserting in subparagraph (i) of paragraph
(b) of subsection four of the same section after the word "if" the words "(whether or not he also holds a chattel auctioneer's license)";

(iii) by omitting from the same subparagraph the words ", other than a chattel auctioneer's license" and by inserting in lieu thereof the words "that is a general license, a country license, a district license or a primary products license";

- (b) (i) by omitting paragraph (b) of section nine and Sec. 9. by inserting in lieu thereof the following (Vacation paragraph :---
 - (b) he becomes a mentally ill person, a protected person or an incapable person within the meaning of the Mental Health Act, 1958; or

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(ii) by inserting at the end of paragraph (g) of the same section the following word and new paragraph :—

; or

- (h) being an elected member, he ceases to be eligible for enrolment—
 - (i) in the case of a member referred to in subparagraph (i) of paragraph (b) of subsection two of section eight of this Act —in the roll of auctioneers, other than chattel auctioneers;
 - (ia) in the case of a member referred to in subparagraph
 (ia) of that paragraph—in the roll of chattel auctioneers;
 - (ii) in the case of a member referred to in subparagraph
 (ii) of that paragraph—in the roll of stock and station agents;
 - (iii) in the case of a member referred to in subparagraph
 (iii) of that paragraph—in the roll of real estate agents;
 - (iv) in the case of a member referred to in subparagraph(iv) of that paragraph—in the roll of business agents.
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Act No. , 1971.

Auctioneers and Agents (Amendment).

4. Part III of the Principal Act is amended—

Further amendment of Act No. 28, 1941. (Part III.— Auctioneers, Stock and Station Agents, Real Estate Agents and Business Agents.)

- (a) (i) by omitting from paragraph (c) of subsection Sec. 22.
 two of section twenty-two the words "in (Licenses.) respect of any estate in land or goods," and by inserting in lieu thereof the words "except in respect of goods, not";
 - (ii) by omitting from the same paragraph the words "as defined in subsection one of section forty-four of this Act";

(iii) by inserting in the same paragraph after the word "prescribed" the words ", unless he holds or the corporation holds in respect of the employee some other auctioneer's license authorising him or it so to act";

(iv) by omitting paragraph (d) of the same subsection and by inserting in lieu thereof the following paragraph :—

(d) Nothing in this Act shall be construed so as to prevent a person from taking out or holding, or a corporation from taking out or holding in respect of an employee or different employees, a chattel auctioneer's license as well as any other auctioneer's license.

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(v) by inserting next after subsection six of the same section the following new subsection :---

(7) The registrar may, upon application made to him, issue a duplicate license in the place of a license that has been lost, destroyed or damaged.

 (b) (i) by inserting in subsection three of section Sec. 23. twenty-three after the word "application" (Prowhere firstly occurring the words "(not being ^{cedure.}) a prescribed application or a special application)";

- (ii) by omitting from the same subsection the words "or the renewal of a license" and by inserting in lieu thereof the words "of a license (not being a renewal of a license)";
- (iii) by inserting in subsection four of the same section after the word "may" the words ", in the case of an application for a license or for the renewal of a license,";
- (iv) by inserting in the same subsection after the word "shall" the words ", in the case of an application (not being a prescribed application or a special application) for a license (not being a renewal of a license),";
- (v) by omitting from paragraph (b) of the same subsection the words "or in respect of an employee" wherever occurring;

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Auctioneers and Agents (Amendment). (vi) by omitting subsection six of the same section and by inserting in lieu thereof the following subsection :---(6) (a) Where an application for the renewal of a license is made before the day on which the license would, but for this subsection, expire (which day is, in this subsection, referred to as "the date of expiry") and-(i) the renewal of the license is not issued before the date of expiry; and (ii) the application is not refused or withdrawn before the date of expiry, the license shall be deemed to continue in force after the date of expiry until-(iii) a renewal of license is issued: (iv) the application is refused or withdrawn; or (v) the expiration of twelve months after the date of expiry, whichever first occurs. (b) Where a renewal of license is.

after the date of expiry, issued in respect of the license referred to in paragraph (a) of this subsection, the renewal shall take effect as from the date of expiry of the license.

(vii) by inserting in paragraph (b) of subsection (6A) of the same section after the word "license" where firstly occurring the words "deemed by subsection six of this section to continue in force after the day on which the license would, but for that subsection, have expired";

(viii) by omitting from the same paragraph the words "provisional license had effect" and by inserting in lieu thereof the words "license was so deemed to continue in force";

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- (ix) by inserting in paragraph (a) of subsection seven of the same section after the word "licenses" the words ", may object to the grant of an application (not being a prescribed application or a special application) for a license (not being a renewal of a license)";
- (x) by omitting from the same paragraph the words "the application" and by inserting in lieu thereof the words "an application for a license or for the renewal of a license";
- (xi) by omitting from paragraph (b) of the same subsection the words "the statement" and by inserting in lieu thereof the words "a statement so lodged by a member of the police force";
- (xii) by inserting in subsection eight of the same section after the word "made" the words "pursuant to subsection seven of this section";
- (xiii) by inserting in subsection nine of the same section after the word "objects" the words "pursuant to subsection seven of this section";
- (xiv) by omitting from subsection (10A) of the same section the words "for an auctioneer's license (being a general license) or a business agent's license and the applicant is a person to whom such a license may be granted pursuant to the provisions of section twentysix or twenty-seven of this Act" and by inserting in lieu thereof the words "a special application";
- (xv) by inserting next after subsection (10AB) of the same section the following new subsection :—

(10AC) Where pursuant to subsection seven of this section an application for the grant of a license (not being a renewal of a

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license) is to be heard and determined by a court of petty sessions, references in subsections (10A) and (10AB) of this section to the council shall be read and construed as references to the court.

(xvi) by inserting next after subsection (10c) of the same section the following new subsection :---

> (10D) A license or renewal of a license shall not be granted in pursuance of an application if the application, or the statement referred to in the definition of "special application" in subsection thirteen of this section accompanying a special application, contains any matter that is false or misleading in a material particular.

(xvii) by inserting next after subsection twelve of the same section the following new subsection :-

(13) In this section—

"interstate license" has the meaning ascribed thereto by subsection one of section twenty-six of this Act;

- "prescribed application" means an application for a license (not being a renewal of a license) that is made by a person who is the holder of a license;
- "special application" means an application for a license (not being a renewal of a license) that is accompanied by a statement containing the prescribed particulars and containing a declaration to the effect that, at all times during the period of five years immediately preceding the making of the application-
 - (a) where the application is made by an individual or by a corporation in respect of an

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employee—the individual was the holder of, or an employee of a corporation that was, in respect of the employee, the holder of, an interstate license that is, under section twentysix of this Act, deemed to be equivalent to a license of the same class as that applied for; or

(b) where the application is made by a corporation on its own behalf—the corporation was the holder of an interstate license that is, under section twenty-six of this Act, deemed to be equivalent to a license of the same class as that applied for.

(c) (i) by omitting from subsection three of section Sec. 23A. 23A the words "paragraphs (a) and (b) of"; (Restoration of license not

license not renewed.)

(ii) by omitting from the same subsection the words "license or a renewal of a license" and by inserting in lieu thereof the words "renewal of a license, and shall so apply as if paragraph (b) of subsection (6A) of that section were amended by omitting the words 'license was so deemed to continue in force' and by inserting in lieu thereof the words 'provisional license had effect'";

(d) (i) by omitting subsection one of section twenty- Sec. 24. four and by inserting in lieu thereof the (Variation following subsection :---

> (1) Where a corporation which has taken out a license in respect of an employee makes an application for the license to be varied by the

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the substitution of the name of some other employee nominated by the corporation for the purpose, the license may, subject to subsection two of this section, be so varied.

- (ii) by omitting from subsection two of the same section the words "or a renewal of a license";
- (e) by omitting section twenty-six and by inserting in Subst. lieu thereof the following section :— sec. 26.

26. (1) In this section—

Interstate licenses.

- "interstate license" means a license issued under ^{lic} the law of another State, or of a Territory, of the Commonwealth;
- "State license" means a license issued under this Act.

(2) The registrar, if authorised to do so by the council, may, from time to time, by order published in the Gazette, declare a class of interstate licenses specified or described in the order to be, for the purposes of this section, equivalent to a class of State licenses so specified or described.

(3) For the purposes of the definition of "special application" in subsection thirteen of section twenty-three of this Act, an interstate license shall be deemed to be equivalent to a State license if the interstate license is of a class of interstate licenses declared, under subsection two of this section, to be, for the purposes of this section, equivalent to the class of State licenses to which the State license belongs.

(4) Where during the period of five years immediately preceding the making of an application referred to in the definition of "special application" in subsection thirteen of section twenty-three of this Act, a person was, for any part of that period, the holder of, or an employee of a corporation that was, in respect of the employee, the holder of, a State

license

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license of the same class as that applied for, that person shall, for the purposes of that definition, be deemed to have been, for that part of that period, the holder of an interstate license that is equivalent to the license applied for.

(f) by omitting section twenty-seven;

Sec. 27. (Grant of auctioneers' and business agents' licenses to persons resident in the Australian Capital Territory.)

(g) by omitting section twenty-eight;

Sec. 28. (Applicant for auctioneer's or business agent's license to be resident in New South Wales or reciprocating State.)

30A. Notwithstanding anything in this Act, Commencement of the Auctioneers determinaand Agents (Amendment) Act, 1971, the court determinations and orders.

(a) a determination refusing-

(i) an application for the renewal of a license under section twenty-three of this Act; or

- (ii) an application made under section 23A of this Act; or
- (b) an order under section twenty-nine of this Act,

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the determination or order shall have no force or effect until the expiration of twenty-one days after the making thereof and, where within that period of twenty-one days an appeal to a District Court is commenced under section thirty-one of this Act in relation to the determination or order, until the Court confirms the determination or order or dismisses the appeal.

- (i) by omitting from subsection (4A) of section thirty-Sec. 31.
 one the words "refusal of" and by inserting in lieu (Appeal.)
 thereof the words "the determination refusing";
- (j) (i) by omitting from section 36A the word Sec. 36A.
 "Where" and by inserting in lieu thereof the (Unclaimed words "Subject to subsection two of this trust moneys section, where"; held by a licensee.)

(ii) by inserting at the end of the same section the following new subsections :---

(2) Subsection one of this section does not apply to moneys that are of a class specified or described in the regulations.

(3) The regulations may make provision for or with respect to prescribing a written record to be kept in relation to moneys referred to in subsection two of this section by a licensee who holds any such moneys.

(4) Without affecting the generality of section thirty-eight of this Act, a written record referred to in subsection three of this section shall be deemed to be a written record required to be made in accordance with that section.

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(k)

Act No. , 1971.

Auctioneers and Agents (Amendment).

(k) by omitting section 38c;

Sec. 38c. (Statement of employees, etc., and their wages to be kept by business agents.)

(1) (i) by omitting from paragraph (b) of subsection Sec. 38D. two of section 38D the word "forthwith"; (Audit of

licensees' books,

(ii) by inserting next after the same subsection records, etc.) the following new subsections :---

(2A) Where a person to whom this section applies receives the report referred to in subsection two of this section earlier than fourteen days before the expiration of three months after the prescribed day in any year applicable to him, he shall lodge it under that subsection not later than fourteen days after so receiving it.

(2B) The report referred to in subsection two of this section shall be in or to the effect of the form prescribed.

(m) by omitting from paragraph (b) of subsection one Sec. 38E. of section 38E the words "where the registrar is (Qualisatisfied that a person so registered is not con-fications and duties veniently available,":

of auditors.)

(ii)

(i) by omitting from subsection one of section Sec. 39. (n) thirty-nine the words "a court of petty sessions (Certain holden before a stipendiary magistrate, and persons not to be subject to such conditions as the court may employed by impose" and by inserting in lieu thereof the licensees.) words "the council";

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(ii) by inserting next after the same subsection the following new subsection :---

(1A) A licensee whose application for approval under section 39AA of this Act is granted shall comply with such conditions as are imposed pursuant to that section in relation to the approval.

(o) by inserting next after section thirty-nine the fol-New sec. 39_{AA} . lowing new section :---

39AA. (1) An application for the approval of Procedure the council referred to in subsection one of section for approvals thirty-nine of this Act, or for the removal or varia- under tion of any conditions imposed under this section section 39. in relation to the approval, shall be made by the licensee concerned in or to the effect of the form prescribed and shall be lodged with the registrar.

(2) The council may require an applicant under this section to supply it with any further information it considers necessary in relation to the application.

(3) The council may—

(a) in the case of an application for the approval referred to in subsection one of section thirty-nine of this Act-grant the application conditionally or unconditionally or refuse the application; or

(b) in the case of an application for the removal or variation of any conditions imposed under this section-grant or refuse the application and in either case, if it thinks fit, impose other conditions,

and the registrar shall in writing notify the applicant as soon as practicable of the council's decision.

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(4) An applicant under this section aggrieved by a decision of the council under this section may, in the manner prescribed and within twenty-one days of being notified by the registrar of the decision, appeal to the court of petty sessions having jurisdiction under subsection eight of section three of this Act.

(5) An appeal under this section shall not be determined except by a court of petty sessions held before a stipendiary magistrate.

(6) An appeal under this section shall not be entertained unless at least ten days' notice, in writing, of the appeal has been given to the registrar, setting out the grounds of the appeal.

(7) The court may, on an appeal under this section, confirm the decision appealed against or give such directions in the matter as seem proper or otherwise determine the matter.

(8) The decision of the court on an appeal under this section shall be final and shall be deemed to be the decision of the council.

(9) Upon determination of an appeal under this section, the clerk of the court shall notify the decision of the court to the registrar.

(10) An approval given, and any conditions imposed, by a court of petty sessions under section thirty-nine of this Act and in force immediately before the commencement of the Auctioneers and Agents (Amendment) Act, 1971, shall be deemed to be an approval given, and conditions imposed, by the council under this section.

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Act No. , 1971.

Auctioneers and Agents (Amendment).

(p)	months" wherever occurring and by inserting (Re in lieu thereof the words "twenty-one days"; mis	
	(4A) The regulations may make provision for or with respect to requiring the inclusion, in statements of claim or itemised accounts referred to in this section, of a notice, in the prescribed form and manner, drawing atten- tion to the provisions of subsection four of this section.	
(q)	forty-three the word "or" where lastly occur- (P tion ring;	ublica- n of ne and ce of siness in
	 (c) where such licensee is a corporation or employee of a corporation and the cor- poration is carrying on business in its own name, the name of the corpora- tion and the address of its place of business; or 	nts.)
	 (d) where such licensee is a corporation or employee of a corporation and the cor- poration is carrying on business under a business name registered or deemed to be registered under any Act for the time being in force relating to the reg- istration of business names, then either its own name or such business name, and the address of its place of business; 	

(r)

- (r) (i) by omitting subsection one of section forty-four Sec. 44. and by inserting in lieu thereof the following (Definition.) subsection :---(1) In this Division, "property", except as provided in subsection two of this section, does not include wool. (ii) by omitting from subsection two of the same section the words "the expression 'farm produce', as defined in subsection one of this section" and by inserting in lieu thereof the words "the expression 'property' in this Division": (s) (i) by omitting from subsection one of section Sec. 45. forty-five the words "cattle or farm produce" (Splitting of lots purwhere firstly occurring and by inserting in lieu chased at thereof the words "any property"; auction.) (ii) by omitting from the same subsection the words "cattle or farm produce" where
 - words "cattle or farm produce" where secondly, thirdly and fourthly occurring and by inserting in lieu thereof the word "property";
 - (iii) by omitting from subsection two of the same section the words "cattle or farm produce" where firstly occurring and by inserting in lieu thereof the words "any property";
 - (iv) by omitting from the same subsection the words "cattle or farm produce" where secondly and thirdly occurring and by inserting in lieu thereof the word "property";
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(t) by omitting from section forty-six the words "cattle Sec. 46. or farm produce" wherever occurring and by (Entry by inserting in lieu thereof the word "property"; auctioneer

or employee in record or book kept by auctioneer as purchaser of property sold by auction of any name other than the name of the actual successful bidder.)

(u) (i) by omitting from subsection one of section Sec. 47. forty-seven the words "cattle or farm produce" (Material where firstly occurring and by inserting in lieu sections thereof the word "property"; to be read

- or recited (ii) by omitting from the same subsection the aloud at sales by words "cattle or farm produce" where auction.) secondly occurring and by inserting in lieu thereof the words "any property";
- (v) by omitting from section forty-eight the words "of Sec. 48. any goods or chattels" and by inserting in lieu (Misreprethereof the words ", of any property or of wool"; to quality,

etc.)

(w) by omitting from subsection one of section 50A the Sec. 50A. words "and shall be liable to a penalty of not less (Misreprethan twenty dollars and not exceeding four hundred business dollars or to imprisonment for a term not exceeding agent or salesman.) two years, or both" and by inserting in lieu thereof the words "against this Act";

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DIVISION 5.—Stock and Station Agents.

501. (1) Any stock and station agent or stock Misrepresentation salesman, as defined in section fifty-one by stock of this Act, who by any statement, representation and or promise that is false, misleading or deceptive agent or (whether to his knowledge or not) or by any con-salesman. cealment of material fact (whether intended or not), induces or attempts to induce any person to enter into an agreement or contract for—

- (a) the sale, purchase, exchange, letting or taking on lease of land used for agricultural or pastoral purposes;
- (b) the collection of rents payable in respect of any lease or letting of land used for agricultural or pastoral purposes;
- (c) the sale, purchase or exchange of live stock; or
- (d) the provision of agistment for live stock or the collection of fees for such agistment,

shall be guilty of an offence against this Act.

(2) Without limiting the generality of subsection one of this section a statement, representation or promise shall, for the purposes of that subsection, be deemed to be false, misleading or deceptive if the statement, representation or promise is of such a nature that it would reasonably tend to lead to a belief in the existence of a state of affairs that does not in fact exist, whether or not the statement, representation or promise indicates that that state of affairs does exist.

Sec.

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Act No. , 1971.

Auctioneers and Agents (Amendment).

(3) It is a sufficient defence to a prosecution under subsection one of this section if the defendant proves that the statement, representation or promise arising out of the making of a statement, representation or promise that is false, misleading or deceptive was based upon a statement in writing made to the defendant by the owner of the land referred to in paragraph (a) or (b) of subsection one of this section or of the land to which the agistment referred to in paragraph (d) of that subsection relates or by the owner of the live stock referred to in paragraph (c) of that subsection, as the case may require.

5. Part IV of the Principal Act is amended—

Further amendment of Act No. 28, 1941. (Part IV.— Real Estate Dealers, Real Estate Salesmen, Trainee Auctioneers, Business Salesmen and Stock and Station Salesmen.)

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(a) (i) by omitting from subparagraph (i) of para-Sec. 51.
 graph (b) of the definition of "Real estate (Interpresalesman" in section fifty-one the word "or" tation.)
 where secondly occurring;

- (ii) by inserting next after subparagraph (ii) of the same paragraph the following new subparagraph :—
 - (iii) collects contributions levied pursuant to subsection two of section fifteen of the Conveyancing (Strata Titles) Act, 1961,

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1961, or amounts payable in relation to any premises to a company by a person who is the holder of shares in the company and who, by reason of his holding those shares, is entitled to possession of those premises; or;

(b) (i) by omitting from subsection one of section Sec. 52. fifty-two the words "a court of petty sessions (Provisions holden before a stipendiary magistrate, and, ployment of subject to such conditions as the court may certain perimpose" and by inserting in lieu thereof the estate words "the council";

sons by real dealers.)

(ii) by inserting next after the same subsection the following new subsection :---

(1A) A real estate dealer whose application for approval under section 52A of this Act is granted shall comply with such conditions as are imposed pursuant to that section in relation to the approval.

(c) by inserting next after section fifty-two the following New sec. 52A. new section :---

52A. (1) An application for the approval of Procedure the council referred to in subsection one of section for approvals fifty-two of this Act, or for the removal or variation under secof any conditions imposed under this section in tion 52. relation to the approval, shall be made by the real estate dealer concerned in or to the effect of the form prescribed and shall be lodged with the registrar.

(2) The council may require an applicant under this section to supply it with any further information it considers necessary in relation to the application.

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(3) The council may—

- (a) in the case of an application for the approval referred to in subsection one of section fifty-two of this Act—grant the application conditionally or unconditionally or refuse the application; or
- (b) in the case of an application for the removal or variation of any conditions imposed under this section—grant or refuse the application and in either case, if it thinks fit, impose other conditions,

and the registrar shall in writing notify the applicant as soon as practicable of the council's decision.

(4) An applicant under this section aggrieved by a decision of the council under this section may, in the manner prescribed and within twenty-one days of being notified by the registrar of the decision, appeal to the court of petty sessions having jurisdiction under subsection eight of section three of this Act.

(5) An appeal under this section shall not be determined except by a court of petty sessions held before a stipendiary magistrate.

(6) An appeal under this section shall not be entertained unless at least ten days' notice, in writing, of the appeal has been given to the registrar, setting out the grounds of the appeal.

(7) The court may, on an appeal under this section, confirm the decision appealed against or give such directions in the matter as seem proper or otherwise determine the matter.

(8) The decision of the court on an appeal under this section shall be final and shall be deemed to be the decision of the council.

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(9) Upon determination of an appeal under this section, the clerk of the court shall notify the decision of the court to the registrar.

(10) An approval given, and any conditions imposed, by a court of petty sessions under section fifty-two of this Act and in force immediately before the commencement of the Auctioneers and Agents (Amendment) Act, 1971, shall be deemed to be an approval given, and conditions imposed, by the council under this section.

- (d) by omitting from paragraph (d) of subsection two Sec. 54.
 of section fifty-four the words "with the registrar, (Real estate dealer to have a real estate dealer with the registrar within the presence of business.)
- (e) (i) by omitting from subsection three of section Sec. 57. fifty-seven the words "the application for (Procedure.) registration or for the renewal of registration" and by inserting in lieu thereof the words "an application for registration (not being a prescribed application or an application for renewal of registration)";
 - (ii) by inserting in subsection four of the same section after the word "may" the words ", in the case of an application for registration or renewal of registration,";
 - (iii) by omitting from the same subsection the word "shall";
 - (iv) by inserting in the same subsection after the word "particulars" the words ", shall, in the case of an application for registration (not being a prescribed application or an application for renewal of registration)";

(v)

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Auctioneers and Agents (Amendment). (v) by inserting in subsection (4B) of the same section after the word "registration" where firstly occurring the words "issued under subsection (4A) of this section"; (vi) by omitting from subsection (4c) of the same 5 section the words "Where a" and by inserting in lieu thereof the words "Subject to subsection (4ca) of this section, where a"; (vii) by inserting in the same subsection after the 10 word "refused" the words "or withdrawn"; (viii) by omitting from the same subsection the word "twelve" and by inserting in lieu thereof the word "three"; (ix) by inserting next after the same subsection the 15 following new subsection :---(4ca) (a) Subject to paragraph (d) of this subsection, where-(i) a provisional certificate of registration (in this subsection referred to as "the firstmentioned certificate") has been 20 issued under subsection (4A) of this section, or under this subsection, to an applicant for registration or renewal of registration; 25 (ii) a certificate of registration or renewal of registration has not been granted in pursuance of his application; and (iii) his application has not been refused or withdrawn, the registrar may issue to the applicant a 30 further provisional certificate of registration (in this subsection referred to as "the lastmentioned certificate").

(b)

(b) The lastmentioned certificate shall take effect or be deemed to have taken effect on and from the expiration of three months after the firstmentioned certificate took effect.

(c) Subject to this subsection, the holder of the lastmentioned certificate shall, for all purposes of this Act, be deemed to be, and, where the certificate was issued after the expiration of the period of three months after the firstmentioned certificate took effect, to have been, as from the expiration of that period, the holder of the certificate of registration specified in his application until such time as a certificate of registration or renewal of a certificate of registration is issued to him pursuant to this section or until his application is refused or withdrawn or until the expiration of three months after the date on which the lastmentioned certificate took effect, whichever first occurs.

(d) One or more, but not more than three, provisional certificates of registration may be issued under this subsection in relation to one application.

(x) by inserting in subsection (4E) of the same section after the words "provisional certificate of registration" the words "issued under subsection (4A) of this section in relation to the application and any provisional certificates of registration issued under subsection (4CA) of this section in relation thereto";

(xi) by inserting in paragraph (a) of subsection (4F) of the same section after the word "licenses" the words ", may object to the grant of an application for registration (not being a prescribed application or an application for renewal of registration)";

(xii)

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	Auctioneers and Agents (Amendment).
	(xii) by omitting from the same paragraph the words "the application" and by inserting in lieu thereof the words "an application for registration or renewal of registration";
5	(xiii) by omitting from paragraph (b) of the same subsection the words "the statement" and by inserting in lieu thereof the words "a state- ment so lodged by a member of the police force";
10	(xiv) by inserting in subsection (4G) of the same section after the word "made" the words "pursuant to subsection (4F) of this section";
15	(xv) by inserting in subsection seven of the same section after the word "objects" the words "pursuant to subsection (4F) of this section";
	(xvi) by inserting next after paragraph (b) of sub- section nine of the same section the following new paragraph :—
20	(b1) Subject to this Act, but notwithstand- ing anything in paragraph (a) or (b) of this subsection, where—
25	 (i) an application for the grant or renewal of a certificate of registration (in this paragraph referred to as "the first- mentioned certificate") is granted after the commencement of the Auctioneers and Agents (Amendment) Act, 1971; and

(ii)

(ii) the applicant is, at the time of the grant, the holder of one or more other certificates of registration,

the firstmentioned certificate shall be in force, pursuant to the grant of the application, for a period expiring on the day on which that other certificate or those other certificates are due to expire, or where two or more of those other certificates are due to expire on different days, on the later or latest of those days.

(xvii) by inserting next after subsection ten of the same section the following new subsections :----

(11) A certificate of registration shall not be issued if the application therefor contains any matter that is false or misleading in a material particular.

(12) The registrar may, upon application made to him and accompanied by a fee of fifty cents, issue a duplicate certificate of registration in the place of a certificate of registration that has been lost, destroyed or damaged.

(13) In this section, "prescribed application" means an application for a certificate of registration (not being an application for renewal of registration) that is made by a person to whom has been issued, and who is the holder of, a certificate of registration (not being a provisional certificate of registration).

(f) by inserting next after subsection six of section fifty- sec. 59. nine the following new subsection :---(Registered

(7) Where there is a change of address of the and registered registered office of the registered employer by address.) whom or by which the holder of a certificate of registration under this Part is, or is to be, employed,

the

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the holder of the certificate shall, within the prescribed time after the change or the issue of the certificate (whichever last occurs), make application under subsection five of this section for the certificate to be varied accordingly, unless within that time he makes application under that subsection for the name of the registered employer specified therein to be substituted.

(g) by inserting next after subsection three of section Sec. 60.
 sixty the following new subsection :- (Cancellation of certificate of registration)

(4) Upon cancellation of a certificate of regis- registration.) tration under this section, the clerk of the court by which the certificate is cancelled shall notify the decision of the court to the registrar.

(h) by inserting next after section sixty the following New sec. new section : —

> 60A. Notwithstanding anything in this Act, Commencement of certain and Agents (Amendment) Act, 1971, the court determinamakes—

(a) a determination refusing an application for the renewal of registration under section fifty-seven of this Act; or

(b) an order under section sixty of this Act,

the determination or order shall have no force or effect until the expiration of twenty-one days after the making thereof and, where within that period of twenty-one days an appeal to a District Court is commenced under section sixty-one of this Act in relation to the determination or order, until the Court confirms the determination or order or dismisses the appeal.

(i)

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- (i) by omitting from subsection (4A) of section sixty- Sec. 61. one the words "refusal of" and by inserting in lieu (Appeal.) thereof the words "the determination refusing";
- (j) by inserting next after section sixty-three the New sec. 63A. following new section : ---

63A. Where the court has made an order that Failure to a certificate of registration be delivered up to the deliver up certificate. registrar the holder of such certificate shall, if he refuses, neglects or fails to comply with such order, be guilty of an offence against this Act.

Part VII of the Principal Act is amended— 6.

Further amendment of Act No. 28, 1941. (Part VII.-General.)

(a) by inserting in subsection two of section eighty-four Sec. 84. after the word "land" where secondly occurring (Offences in the words "or any interest in such allotment"; with the

connection sale of allotments of land.)

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(b) by omitting from paragraph (a) of subsection one Sec. 84A. of section 84A the words "land or livestock or any (Penalty for business" and by inserting in lieu thereof the word false or "property";

misleading advertisements.)

(c)

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(c) by inserting in subsection one of section eighty-six Sec. 86. after the word "Act" where firstly occurring the (Furnishing words ", or in any statement, referred to in sub- incorrect particulars in section thirteen of section twenty-three of this Act, arplications.) accompanying a special application (as defined in that subsection),".

7. (1) Where an application, made under section Savings and twenty-three of the Principal Act for the renewal of a license, transitional provisions. or made under section twenty-four of the Principal Act for
 10 the variation of a license, or made under section fifty-seven

of the Principal Act for the renewal of registration, was pending immediately before the commencement of this Act, the provisions of the Principal Act shall continue to apply to and in respect of the application in the same manner as if 15 this Act had not been enacted.

(2) Notwithstanding anything in subsection one of this section, the provisions of subsection six of section twenty-three of the Principal Act (as amended by this Act), in addition to applying to and in respect of a licensee who

20 applies for a renewal of a license after the commencement of this Act, apply to and in respect of a licensee whose application for a renewal of a license was pending immediately before that commencement, whether or not a provisional license was, in relation to that application, issued under sub-

25 section six of that section (as enacted immediately before that commencement), in the same manner as if this Act had been in force at the time when that application was made.

(3) Where a statement of claim was served, or an itemised account was received or furnished, under section 30 42A of the Principal Act before the commencement of this Act, the provisions of that section shall continue to apply to and in respect of the statement of claim or itemised account in the same manner as if this Act had not been enacted.

134—C

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(4)

Act No. , 1971.

Auctioneers and Agents (Amendment).

(4) Where a provisional certificate of registration issued under section fifty-seven of the Principal Act was in force immediately before the commencement of this Act, the provisions of that section shall continue to apply to and in respect of the provisional certificate in the same manner as if this Act had not been enacted.

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BY AUTHORITY: V. C. N. BLIGHT, GOVERNMENT PRINTER. NEW SOUTH WALES—1971 [30c]

He was that

PROOF

AUCTIONEERS AND AGENTS (AMENDMENT) BILL, 1971

EXPLANATORY NOTE

THE objects of this Bill are-

- (a) to alter the short title of the Auctioneers, Stock and Station and Real Estate Agents Act, 1941 (hereinafter referred to as "the Principal Act"), to the "Auctioneers and Agents Act, 1941";
- (b) to enable a stock and station agent or stock and station salesman to act as such in respect of any allotment of land used for agricultural or pastoral purposes and smaller than five acres in area;
- (c) to extend the scope of the Principal Act in so far as it relates to the business of a real estate agent so as to include, in certain circumstances, the collection of maintenance and other contributions in respect of strata title and similar premises;
- (d) to permit a person to hold a chattel auctioneer's license at the same time as he holds any other class of auctioneer's license;
- (e) to provide that the holder of a chattel auctioneer's license-
 - (i) is eligible to be enrolled in the roll of chattel auctioneers only if he does not also hold any other class of auctioneer's license; or
 - (ii) is eligible to be enrolled in the roll of auctioneers, other than chattel auctioneers, if he also holds any other class of auctioneer's license;
- (f) to provide that a member of the Council of Auctioneers and Agents (hereinafter referred to as "the Council") is deemed to vacate his office if he ceases to be eligible for enrolment in the relevant roll;
- (g) to remove the requirement that the superintendent of licenses inquire into the fame and character of an applicant, or an employee of an applicant corporation, in the case of an application for the renewal of a license or in the case of an application for a license made by a person who is already the holder of a license;
- (h) to restrict the circumstances in which an objection to the grant of an application for the renewal of a license or certificate of registration may be made;
- (i) to provide that, where an application is made by a licensed corporation for a license to be held in respect of an employee, inquiries as to the fame and character of the employee need only be made;
- (j) to facilitate the issue of a license to an applicant therefor who has held an equivalent license under the Principal Act, or under the law of another State or of a Territory of the Commonwealth, for the five years immediately preceding the application;

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- (k) to deem a license, the renewal of which has been applied for before its expiration, to continue in force until the application is granted, refused or withdrawn or until the expiration of twelve months, whichever first occurs;
- to enable the registrar in certain circumstances to vary a license held by a corporation in respect of an employee by substituting for the name of the employee specified in the license the name of another employee;
- (m) to provide that certain determinations and orders of courts of petty sessions are not to take effect for twenty-one days or during the pendency of an appeal;
- (n) to enable regulations to be made exempting any class of moneys held by a licensee for more than two years from being required to be included in a statement of unclaimed moneys;
- (o) to repeal section 38c of the Principal Act which imposes requirements as to the keeping by business agents of certain statements relating to their employees;
- (p) to require that an auditor's report under section 38D of the Principal Act is to be in or to the effect of a form prescribed by regulations and that the report is to be furnished to the registrar within fourteen days after its receipt;
- (q) to enable the Council, subject to appeal to a court of petty sessions, to approve of the employment of certain disqualified persons by a licensee or registered person;
- (r) to reduce to twenty-one days—
 - (i) the period after which proceedings may be commenced by a licensee to recover commission, fees or other sums specified in a statement of claim duly served; and
 - (ii) the period during which an application for a review of such a statement of claim or an itemised account may be made to the Council;
- (s) to extend certain provisions of the Principal Act relating to offences in respect of auction sales so as to cover all property that may be sold by auction;
- (t) to make it an offence for a stock and station agent or stock and station salesman to induce or attempt to induce persons to enter into certain contracts or agreements by making false, misleading, or deceptive representations;
- (u) to remove the requirement that the superintendent of licenses inquire into the fame and character of an applicant in the case of an application for the renewal of a certificate of registration or in the case of an application for a certificate of registration made by a person who is already the holder of such a certificate;
- (v) to reduce to three months the period during which a provisional certificate of registration is to have effect;
- (w) to enable not more than four provisional certificates of registration to be issued in relation to any one application for registration or renewal of registration;

- (x) to provide a common expiry date for two or more certificates of registration held by one person;
- (y) to enable the registrar to grant duplicate certificates of registration;
- (z) to make it an offence to fail to comply with an order of a court requiring the delivery up of a certificate of registration;
- (aa) to make it an offence for a real estate agent retained by a person to sell land at a stipulated price to negotiate, on behalf of some other person, for the sale of an interest in the land at a higher price;
- (bb) to extend the provisions of the Principal Act relating to false advertising by licensees so as to cover advertisements published by them promoting any sale in the course of business; and
- (cc) to enact other provisions of a minor, consequential or ancillary character.

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No. , 1971.

A BILL

To make further provisions with respect to the powers, authorities, duties and functions of the Council of Auctioneers and Agents and the carrying on of the business of an auctioneer, stock and station agent, real estate agent or business agent; to vary in certain respects the procedure for the issue of licenses and certificates of registration under the Auctioneers, Stock and Station and Real Estate Agents Act, 1941; for these and other purposes to amend that Act; and for purposes connected therewith.

[MR MADDISON-28 October, 1971.]

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 \mathbf{B}^{E} it enacted by the Queen's Most Excellent Majesty, by and with the advice and consent of the Legislative Council and Legislative Assembly of New South Wales in Parliament assembled, and by the authority of the same, as follows:—

1. (1) This Act may be cited as the "Auctioneers and Short title, Agents (Amendment) Act, 1971".

commencement and construc-

(2) This Act shall commence upon a day to be ^{tion}.
 appointed by the Governor and notified by proclamation
 10 published in the Gazette.

(3) The Auctioneers, Stock and Station and Real Estate Agents Act, 1941, is, in this Act, referred to as the Principal Act.

2. Part I of the Principal Act is amended—

ment of Act No. 28, 1941. (Part I.— Preliminary.)

Amend-

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(a) by omitting subsection one of section one and by Sec. 1.
 inserting in lieu thereof the following subsection :--- (Short title.)

- (1) This Act may be cited as the "Auctioneers" and Agents Act, 1941".
- (b) (i) by omitting from section two the figures "19" Sec. 2. and by inserting in lieu thereof the figures and (Division letter "19A"; "Parts.)

(ii)

COLUMN TWO IS NOT			
	Auc	tioneers and Agents (Amendment).	
	(ii)	by omitting from the same section the figures and letter "50H" where firstly occurring and by inserting in lieu thereof the figures and letter "50I";	
5	(iii)	by inserting next after the matter relating to Division 4 of Part III in the same section the following new matter :	
		DIVISION 5.—Stock and Station Agents— s. 501.	
10	(iv)	by omitting from the same section the figures "63" wherever occurring and by inserting in lieu thereof the figures and letter "63A";	
	(c) (i)	by omitting the definition of "Chattel Sec. 3. auctioneer" in subsection one of section three; (Defini- tions.)	
15	(ii)	by inserting next after the definition of "Employee" in the same subsection the following new definition :—	
20		"Farm produce" means cereals, grain, vege- tables, potatoes, onions, other edible roots and tubers, tobacco leaf, fruit, hay, chaff, dairy produce, live or dead poultry and game, and eggs.	
25	(iii)	by omitting from the definition of "Land used for agricultural or pastoral purposes" in the same subsection the words "greater in area than five acres";	
30	(iv)	by omitting from the definition of "Real estate agent" in the same subsection the words "in respect of land" and by inserting in lieu thereof the words "in respect of any parcel of land that is greater in area than five acres and that is";	
	i de	(v)	

Auctioneers and Agents (Amendment). (v) by inserting at the end of paragraph (c) of the definition of "Stock and station agent" in the same subsection the word "or"; (vi) by omitting from subparagraph (i) of paragraph (b) of subsection three of the same section the word "or" where thirdly occurring; (vii) by inserting next after the same subparagraph the following new subparagraph :----(ia) business as an agent for the collection of contributions levied pursuant to subsection two of section fifteen of the Conveyancing (Strata Titles) Act, 1961, or for the collection of amounts payable in relation to any premises to a company by a person who is the holder of shares in the company and who, by reason of his holding those shares, is entitled to possession of those premises ; or (viii) by inserting in the same paragraph after the word "instalments" where secondly occurring the words ", contributions or amounts"; (ix) by omitting from subsection six of the same section the words "sections thirty-nine and fifty-two" and by inserting in lieu thereof the words "sections 39AA and 52A";

(x) by omitting from paragraph (c) of subsection eight of the same section the words "sections thirty-nine and fifty-two" and by inserting in lieu thereof the words "sections 39AA and 52A".

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3. Part II of the Principal Act is amended—

Further amendment of Act No. 28, 1941. (Part II.— The Council of Auctioneers and Agents.)

(a) (i) by inserting next after subsection one of Sec. 8.
 section eight the following new subsection :--- (Constitution of (1A) In this section, "chattel auctioneer," council.)

means an auctioneer who is the holder of a chattel auctioneer's license, but does not include an auctioneer who is the holder of a chattel auctioneer's license and any other auctioneer's license.

(ii) by inserting in subparagraph (i) of paragraph
(b) of subsection four of the same section after the word "if" the words "(whether or not he also holds a chattel auctioneer's license)";

(iii) by omitting from the same subparagraph the words ", other than a chattel auctioneer's license" and by inserting in lieu thereof the words "that is a general license, a country license, a district license or a primary products license";

(b) he becomes a mentally ill person, a protected person or an incapable person within the meaning of the Mental Health Act, 1958; or

(ii)

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 ⁽b) (i) by omitting paragraph (b) of section nine and Sec. 9.
 by inserting in lieu thereof the following (Vacation paragraph :--

(ii) by inserting at the end of paragraph (g) of the same section the following word and new paragraph :—

; or

- (h) being an elected member, he ceases to be eligible for enrolment—
- (i) in the case of a member referred to in subparagraph (i) of paragraph (b) of subsection two of section eight of this Act —in the roll of auctioneers, other than chattel auctioneers;
 - (ia) in the case of a member referred to in subparagraph
 (ia) of that paragraph—in the roll of chattel auctioneers;
 - (ii) in the case of a member referred to in subparagraph
 (ii) of that paragraph—in the roll of stock and station agents;
 - (iii) in the case of a member referred to in subparagraph
 (iii) of that paragraph—in the roll of real estate agents;
- (iv) in the case of a member referred to in subparagraph
 (iv) of that paragraph—in the roll of business agents.

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(ii)

4. Part III of the Principal Act is amended—

Further amendment of Act No. 28, 1941. (Part III.— Auctioneers, Stock and Station Agents, Real Estate Agents and Business Agents.)

- (a) (i) by omitting from paragraph (c) of subsection Sec. 22.
 two of section twenty-two the words "in (Licenses.) respect of any estate in land or goods," and by inserting in lieu thereof the words "except in respect of goods, not";
 - (ii) by omitting from the same paragraph the words "as defined in subsection one of section forty-four of this Act";

(iii) by inserting in the same paragraph after the word "prescribed" the words ", unless he holds or the corporation holds in respect of the employee some other auctioneer's license authorising him or it so to act";

(iv) by omitting paragraph (d) of the same subsection and by inserting in lieu thereof the following paragraph :—

(d) Nothing in this Act shall be construed so as to prevent a person from taking out or holding, or a corporation from taking out or holding in respect of an employee or different employees, a chattel auctioneer's license as well as any other auctioneer's license.

(v)

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(17)

(v) by inserting next after subsection six of the same section the following new subsection :---

(7) The registrar may, upon application made to him, issue a duplicate license in the place of a license that has been lost, destroyed or damaged.

- (b) (i) by inserting in subsection three of section Sec. 23. twenty-three after the word "application" (Prowhere firstly occurring the words "(not being a prescribed application or a special application)";
 - (ii) by omitting from the same subsection the words "or the renewal of a license" and by inserting in lieu thereof the words "of a license (not being a renewal of a license)";
 - (iii) by inserting in subsection four of the same section after the word "may" the words ", in the case of an application for a license or for the renewal of a license,";
 - (iv) by inserting in the same subsection after the word "shall" the words ", in the case of an application (not being a prescribed application or a special application) for a license (not being a renewal of a license),";
 - (v) by omitting from paragraph (b) of the same subsection the words "or in respect of an employee" wherever occurring;
 - (vi)

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	Auctioneers and Agents (Amendment).
	 (vi) by omitting subsection six of the same section and by inserting in lieu thereof the following subsection :—
5	(6) (a) Where an application for the renewal of a license is made before the day on which the license would, but for this subsection, expire (which day is, in this subsection, referred to as "the date of expiry") and—
0	(i) the renewal of the license is not issued before the date of expiry; and
	(ii) the application is not refused or with- drawn before the date of expiry,
	the license shall be deemed to continue in force after the date of expiry until—
5	(iii) a renewal of license is issued;
	(iv) the application is refused or with- drawn; or
	(v) the expiration of twelve months after the date of expiry,
0	whichever first occurs
5	(b) Where a renewal of license is, after the date of expiry, issued in respect of the license referred to in paragraph (a) of this subsection, the renewal shall take effect as from the date of expiry of the license.
0	 (vii) by inserting in paragraph (b) of subsection (6A) of the same section after the word "license" where firstly occurring the words "deemed by subsection six of this section to continue in force after the day on which the license would, but for that subsection, have arrived"
	expired"; (viii) by omitting from the same paragraph the
5	words "provisional license had effect" and by inserting in lieu thereof the words "license was so deemed to continue in force";

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(ix)

	Auctioneers and Agents (Amendment).
5	 (ix) by inserting in paragraph (a) of subsection seven of the same section after the word "licenses" the words ", may object to the grant of an application (not being a prescribed application or a special application) for a license (not being a renewal of a license)";
10	 (x) by omitting from the same paragraph the words "the application" and by inserting in lieu thereof the words "an application for a license or for the renewal of a license";
	(xi) by omitting from paragraph (b) of the same subsection the words "the statement" and by inserting in lieu thereof the words "a statement so lodged by a member of the police force";
15	(xii) by inserting in subsection eight of the same section after the word "made" the words "pursuant to subsection seven of this section";
20	(xiii) by inserting in subsection nine of the same section after the word "objects" the words "pursuant to subsection seven of this section";
25	(xiv) by omitting from subsection (10A) of the same section the words "for an auctioneer's license (being a general license) or a business agent's license and the applicant is a person to whom such a license may be granted pursuant to the provisions of section twenty- six or twenty-seven of this Act" and by insert- ing in lieu thereof the words "a special application";
30	(xv) by inserting next after subsection (10AB) of the same section the following new subsection :—

(10AC) Where pursuant to subsection seven of this section an application for the grant of a license (not being a renewal of a

license)

license) is to be heard and determined by a court of petty sessions, references in subsections (10A) and (10AB) of this section to the council shall be read and construed as references to the court.

(xvi) by inserting next after subsection (10c) of the same section the following new subsection :---

(10D) A license or renewal of a license shall not be granted in pursuance of an application if the application, or the statement referred to in the definition of "special application" in subsection thirteen of this section accompanying a special application, contains any matter that is false or misleading in a material particular.

(xvii) by inserting next after subsection twelve of the same section the following new subsection :---

(13) In this section—

"interstate license" has the meaning ascribed thereto by subsection one of section twenty-six of this Act;

"prescribed application" means an application for a license (not being a renewal of a license) that is made by a person who is the holder of a license;

"special application" means an application for a license (not being a renewal of a license) that is accompanied by a statement containing the prescribed particulars and containing a declaration to the effect that, at all times during the period of five years immediately preceding the making of the application—

> (a) where the application is made by an individual or by a corporation in respect of an

> > employee

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employee—the individual was the holder of, or an employee of a corporation that was, in respect of the employee, the holder of, an interstate license that is, under section twentysix of this Act, deemed to be equivalent to a license of the same class as that applied for; or

(b) where the application is made by a corporation on its own behalf—the corporation was the holder of an interstate license that is, under section twenty-six of this Act, deemed to be equivalent to a license of the same class as that applied for.

(c) (i) by omitting from subsection three of section Sec. 23A.
 23A the words "paragraphs (a) and (b) of"; (Restoration of license not

(ii) by omitting from the same subsection the words "license or a renewal of a license" and by inserting in lieu thereof the words "renewal of a license, and shall so apply as if paragraph (b) of subsection (6A) of that section were amended by omitting the words 'license was so deemed to continue in force' and by inserting in lieu thereof the words 'provisional license had effect";

(d) (i) by omitting subsection one of section twenty- Sec. 24. four and by inserting in lieu thereof the (Variation following subsection :---

> (1) Where a corporation which has taken out a license in respect of an employee makes an application for the license to be varied by the

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the substitution of the name of some other employee nominated by the corporation for the purpose, the license may, subject to subsection two of this section, be so varied.

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(ii) by omitting from subsection two of the same section the words "or a renewal of a license";

26. (1) In this section—

Interstate licenses.

- "interstate license" means a license issued under ^{li} the law of another State, or of a Territory, of the Commonwealth;
- "State license" means a license issued under this Act.

(2) The registrar, if authorised to do so by the council, may, from time to time, by order published in the Gazette, declare a class of interstate licenses specified or described in the order to be, for the purposes of this section, equivalent to a class of State licenses so specified or described.

(3) For the purposes of the definition of "special application" in subsection thirteen of section twenty-three of this Act, an interstate license shall be deemed to be equivalent to a State license if the interstate license is of a class of interstate licenses declared, under subsection two of this section, to be, for the purposes of this section, equivalent to the class of State licenses to which the State license belongs.

(4) Where during the period of five years immediately preceding the making of an application referred to in the definition of "special application" in subsection thirteen of section twenty-three of this Act, a person was, for any part of that period, the holder of, or an employee of a corporation that was, in respect of the employee, the holder of, a State

license

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license of the same class as that applied for, that person shall, for the purposes of that definition, be deemed to have been, for that part of that period, the holder of an interstate license that is equivalent to the license applied for.

(f) by omitting section twenty-seven;

Sec. 27. (Grant of auctioneers' and business agents' licenses to persons resident in the Australian Capital Territory.)

(g) by omitting section twenty-eight;

Sec. 28. (Applicant for auctioneer's or business agent's license to be resident in New South Wales or reciprocating State.)

- (h) by inserting next after section thirty the following New sec. 30A. new section :---
 - 30A. Notwithstanding anything in this Act, Commencewhere, after the commencement of the Auctioneers certain and Agents (Amendment) Act, 1971, the court determinamakes-

tions and orders.

- (a) a determination refusing-
 - (i) an application for the renewal of a license under section twenty-three of this Act; or
 - (ii) an application made under section 23A of this Act; or
- (b) an order under section twenty-nine of this Act,

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the determination or order shall have no force or effect until the expiration of twenty-one days after the making thereof and, where within that period of twenty-one days an appeal to a District Court is commenced under section thirty-one of this Act in relation to the determination or order, until the Court confirms the determination or order or dismisses the appeal.

(i) by omitting from subsection (4A) of section thirty- sec. 31. one the words "refusal of" and by inserting in lieu (Appeal.) thereof the words "the determination refusing";

(i) by omitting from section 36A the word Sec. 36A. (\mathbf{i}) "Where" and by inserting in lieu thereof the (Unclaimed words "Subject to subsection two of this trust moneys section, where"; held by a

licensee.)

(k)

(ii) by inserting at the end of the same section the following new subsections :---

(2) Subsection one of this section does not apply to moneys that are of a class specified or described in the regulations.

(3) The regulations may make provision for or with respect to prescribing a written record to be kept in relation to moneys referred to in subsection two of this section by a licensee who holds any such moneys.

(4) Without affecting the generality of section thirty-eight of this Act. a written record referred to in subsection three of this section shall be deemed to be a written record required to be made in accordance with that section.

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(k) by omitting section 38c;

Sec. 38c. (Statement of employees, etc., and their wages to be kept by business agents.)

(i) by omitting from paragraph (b) of subsection Sec. 38D. (1)two of section 38D the word "forthwith"; (Audit of licensees'

(ii) by inserting next after the same subsection records, the following new subsections :---

(2A) Where a person to whom this section applies receives the report referred to in subsection two of this section earlier than fourteen days before the expiration of three months after the prescribed day in any year applicable to him, he shall lodge it under that subsection not later than fourteen days after so receiving it.

(2B) The report referred to in subsection two of this section shall be in or to the effect of the form prescribed.

(m) by omitting from paragraph (b) of subsection one Sec. 38E. of section 38E the words "where the registrar is (Qualisatisfied that a person so registered is not con-fications and duties veniently available,";

of auditors.)

(n) (i) by omitting from subsection one of section Sec. 39. thirty-nine the words "a court of petty sessions (Certain holden before a stipendiary magistrate, and not to be subject to such conditions as the court may employed by impose" and by inserting in lieu thereof the licensees.) words "the council";

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(ii) by inserting next after the same subsection the following new subsection :---

(1A) A licensee whose application for approval under section 39AA of this Act is granted shall comply with such conditions as are imposed pursuant to that section in relation to the approval.

(o) by inserting next after section thirty-nine the fol-New sec. lowing new section :—

39AA. (1) An application for the approval of Procedure the council referred to in subsection one of section for thirty-nine of this Act, or for the removal or varia- under tion of any conditions imposed under this section $\frac{39}{39}$.

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(2) The council may require an applicant under this section to supply it with any further information it considers necessary in relation to the application.

in relation to the approval, shall be made by the licensee concerned in or to the effect of the form

prescribed and shall be lodged with the registrar.

(3) The council may—

(a) in the case of an application for the approval referred to in subsection one of section thirty-nine of this Act—grant the application conditionally or unconditionally or refuse the application; or

(b) in the case of an application for the removal or variation of any conditions imposed under this section—grant or refuse the application and in either case, if it thinks fit, impose other conditions,

and the registrar shall in writing notify the applicant as soon as practicable of the council's decision.

134—B (g)

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Auctioneers and Agents (Amendment).

(4) An applicant under this section aggrieved by a decision of the council under this section may, in the manner prescribed and within twenty-one days of being notified by the registrar of the decision, appeal to the court of petty sessions having jurisdiction under subsection eight of section three of this Act.

(5) An appeal under this section shall not be determined except by a court of petty sessions held before a stipendiary magistrate.

(6) An appeal under this section shall not be entertained unless at least ten days' notice, in writing, of the appeal has been given to the registrar, setting out the grounds of the appeal.

(7) The court may, on an appeal under this section, confirm the decision appealed against or give such directions in the matter as seem proper or otherwise determine the matter.

(8) The decision of the court on an appeal under this section shall be final and shall be deemed to be the decision of the council.

(9) Upon determination of an appeal under this section, the clerk of the court shall notify the decision of the court to the registrar.

(10) An approval given, and any conditions imposed, by a court of petty sessions under section thirty-nine of this Act and in force immediately before the commencement of the Auctioneers and Agents (Amendment) Act, 1971, shall be deemed to be an approval given, and conditions imposed, by the council under this section.

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Act No. , 1971.

Auc	tioneers and Agents (Amendment).	
(p) (i)	by omitting from section 42A the words "two Sec. 42A. months" wherever occurring and by inserting (Review in lieu thereof the words "twenty-one days"; fees, etc.)	
(ii)	by inserting next after subsection four of the same section the following new subsection :	
	(4A) The regulations may make provision for or with respect to requiring the inclusion, in statements of claim or itemised accounts referred to in this section, of a notice, in the prescribed form and manner, drawing atten- tion to the provisions of subsection four of this section.	
(q) (i)	by omitting from paragraph (b) of section Sec. 43. forty-three the word "or" where lastly occur- (Publica- tion of name and name and place of	
(ii)	by omitting paragraph (c) of the same section business in and by inserting in lieu thereof the following ments.) paragraphs :—	
	 (c) where such licensee is a corporation or employee of a corporation and the cor- poration is carrying on business in its own name, the name of the corpora- tion and the address of its place of business; or 	
	 (d) where such licensee is a corporation or employee of a corporation and the cor- poration is carrying on business under a business name registered or deemed to be registered under any Act for the time being in force relating to the reg- istration of business names, then either its own name or such business name, and the address of its place of business; 	

(r)

(r) (i) by omitting subsection one of section forty-four Sec. 44.
 and by inserting in lieu thereof the following (Definition.) subsection :—

(1) In this Division, "property", except as provided in subsection two of this section, does not include wool.

 (ii) by omitting from subsection two of the same section the words "the expression 'farm produce', as defined in subsection one of this section" and by inserting in lieu thereof the words "the expression 'property' in this Division";

- (s) (i) by omitting from subsection one of section Sec. 45. forty-five the words "cattle or farm produce" (Splitting of lots purchased at thereof the words "any property";
 - (ii) by omitting from the same subsection the words "cattle or farm produce" where secondly, thirdly and fourthly occurring and by inserting in lieu thereof the word "property";
 - (iii) by omitting from subsection two of the same section the words "cattle or farm produce" where firstly occurring and by inserting in lieu thereof the words "any property";
 - (iv) by omitting from the same subsection the words "cattle or farm produce" where secondly and thirdly occurring and by inserting in lieu thereof the word "property";

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(t) by omitting from section forty-six the words "cattle Sec. 46. or farm produce" wherever occurring and by (Entry by auctioneer inserting in lieu thereof the word "property";

or employee in record or book kept by auctioneer as purchaser of property sold by auction of any name other than the name of the actual successful bidder.)

(u) (i) by omitting from subsection one of section Sec. 47. forty-seven the words "cattle or farm produce" (Material where firstly occurring and by inserting in lieu sections thereof the word "property"; to be read

- or recited (ii) by omitting from the same subsection the aloud at sales by words "cattle or farm produce" where auction.) secondly occurring and by inserting in lieu thereof the words "any property";
- (v) by omitting from section forty-eight the words "of Sec. 48. any goods or chattels" and by inserting in lieu (Misreprethereof the words ", of any property or of wool"; to quality,

etc.)

(w) by omitting from subsection one of section 50A the Sec. 50A. words "and shall be liable to a penalty of not less (Misrepresentation by than twenty dollars and not exceeding four hundred business dollars or to imprisonment for a term not exceeding agent or salesman.) two years, or both" and by inserting in lieu thereof the words "against this Act";

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DIVISION 5.—Stock and Station Agents.

501. (1) Any stock and station agent or stock Misrepresentation salesman, as defined in section fifty-one by stock of this Act, who by any statement, representation and or promise that is false, misleading or deceptive agent or (whether to his knowledge or not) or by any con-salesman. cealment of material fact (whether intended or not), induces or attempts to induce any person to enter into an agreement or contract for—

- (a) the sale, purchase, exchange, letting or taking on lease of land used for agricultural or pastoral purposes;
- (b) the collection of rents payable in respect of any lease or letting of land used for agricultural or pastoral purposes;
- (c) the sale, purchase or exchange of live stock; or
- (d) the provision of agistment for live stock or the collection of fees for such agistment,

shall be guilty of an offence against this Act.

(2) Without limiting the generality of subsection one of this section a statement, representation or promise shall, for the purposes of that subsection, be deemed to be false, misleading or deceptive if the statement, representation or promise is of such a nature that it would reasonably tend to lead to a belief in the existence of a state of affairs that does not in fact exist, whether or not the statement, representation or promise indicates that that state of affairs does exist.

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(3) It is a sufficient defence to a prosecution under subsection one of this section if the defendant proves that the statement, representation or promise arising out of the making of a statement, representation or promise that is false, misleading or deceptive was based upon a statement in writing made to the defendant by the owner of the land referred to in paragraph (a) or (b) of subsection one of this section or of the land to which the agistment referred to in paragraph (d) of that subsection relates or by the owner of the live stock referred to in paragraph (c) of that subsection, as the case may require.

Part IV of the Principal Act is amended-5.

Further amendment of Act No. 28, 1941. (Part IV.-**Real Estate** Dealers, **Real Estate** Salesmen. Trainee Auctioneers. Business Salesmen and Stock and Station Salesmen.)

15 (a) (i) by omitting from subparagraph (i) of para- Sec. 51. graph (b) of the definition of "Real estate (Interpresalesman" in section fifty-one the word "or" tation.) where secondly occurring;

- (ii) by inserting next after subparagraph (ii) of the same paragraph the following new subparagraph :---
 - (iii) collects contributions levied pursuant to subsection two of section fifteen of the Conveyancing (Strata Titles) Act, 1961.

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1961, or amounts payable in relation to any premises to a company by a person who is the holder of shares in the company and who, by reason of his holding those shares, is entitled to possession of those premises; or;

- (b) (i) by omitting from subsection one of section Sec. 52. fifty-two the words "a court of petty sessions (Provisions holden before a stipendiary magistrate, and, ployment of subject to such conditions as the court may certain perimpose" and by inserting in lieu thereof the estate words "the council"; dealers.)
 - (ii) by inserting next after the same subsection the following new subsection :---

(1A) A real estate dealer whose application for approval under section 52A of this Act is granted shall comply with such conditions as are imposed pursuant to that section in relation to the approval.

(c) by inserting next after section fifty-two the following New sec. 52A. new section :---

52A. (1) An application for the approval of Procedure the council referred to in subsection one of section for approvals fifty-two of this Act, or for the removal or variation under secof any conditions imposed under this section in tion 52. relation to the approval, shall be made by the real estate dealer concerned in or to the effect of the form prescribed and shall be lodged with the registrar.

(2) The council may require an applicant under this section to supply it with any further information it considers necessary in relation to the application.

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(3) The council may—

- (a) in the case of an application for the approval referred to in subsection one of section fifty-two of this Act—grant the application conditionally or unconditionally or refuse the application; or
- (b) in the case of an application for the removal or variation of any conditions imposed under this section—grant or refuse the application and in either case, if it thinks fit, impose other conditions,

and the registrar shall in writing notify the applicant as soon as practicable of the council's decision.

(4) An applicant under this section aggrieved by a decision of the council under this section may, in the manner prescribed and within twenty-one days of being notified by the registrar of the decision, appeal to the court of petty sessions having jurisdiction under subsection eight of section three of this Act.

(5) An appeal under this section shall not be determined except by a court of petty sessions held before a stipendiary magistrate.

(6) An appeal under this section shall not be entertained unless at least ten days' notice, in writing, of the appeal has been given to the registrar, setting out the grounds of the appeal.

(7) The court may, on an appeal under this section, confirm the decision appealed against or give such directions in the matter as seem proper or otherwise determine the matter.

(8) The decision of the court on an appeal under this section shall be final and shall be deemed to be the decision of the council.

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(9)

(9) Upon determination of an appeal under this section, the clerk of the court shall notify the decision of the court to the registrar.

(10) An approval given, and any conditions imposed, by a court of petty sessions under section fifty-two of this Act and in force immediately before the commencement of the Auctioneers and Agents (Amendment) Act, 1971, shall be deemed to be an approval given, and conditions imposed, by the council under this section.

- (d) by omitting from paragraph (d) of subsection two Sec. 54. of section fifty-four the words "with the registrar, (Real estate dealer to who" and by inserting in lieu thereof the words "by have a real estate dealer with the registrar within the pre- registered scribed time, and the registrar";
- (e) (i) by omitting from subsection three of section Sec. 57. fifty-seven the words "the application for (Procedure.) registration or for the renewal of registration" and by inserting in lieu thereof the words "an application for registration (not being a prescribed application or an application for renewal of registration)";
 - (ii) by inserting in subsection four of the same section after the word "may" the words ", in the case of an application for registration or renewal of registration,";
 - (iii) by omitting from the same subsection the word "shall";
 - (iv) by inserting in the same subsection after the word "particulars" the words ", shall, in the case of an application for registration (not being a prescribed application or an application for renewal of registration)";

place of business.)

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	1 de 110. , 1971.
	Auctioneers and Agents (Amendment).
	 (v) by inserting in subsection (4B) of the same section after the word "registration" where firstly occurring the words "issued under subsection (4A) of this section";
5	(vi) by omitting from subsection (4c) of the same section the words "Where a" and by inserting in lieu thereof the words "Subject to subsection (4cA) of this section, where a";
10	(vii) by inserting in the same subsection after the word "refused" the words "or withdrawn";
	(viii) by omitting from the same subsection the word "twelve" and by inserting in lieu thereof the word "three";
15	 (ix) by inserting next after the same subsection the following new subsection : (4CA) (a) Subject to paragraph (d) of this subsection, where
20	 (i) a provisional certificate of registration (in this subsection referred to as "the firstmentioned certificate") has been issued under subsection (4A) of this section, or under this subsection, to an applicant for registration or renewal of registration;
25	(ii) a certificate of registration or renewal of registration has not been granted in pursuance of his application; and
	(iii) his application has not been refused or withdrawn,
30	the registrar may issue to the applicant a further provisional certificate of registration (in this subsection referred to as "the last- mentioned certificate").

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(b)

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Auctioneers and Agents (Amendment).

(b) The lastmentioned certificate shall take effect or be deemed to have taken effect on and from the expiration of three months after the firstmentioned certificate took effect.

(c) Subject to this subsection, the holder of the lastmentioned certificate shall, for all purposes of this Act, be deemed to be, and, where the certificate was issued after the expiration of the period of three months after the firstmentioned certificate took effect, to have been, as from the expiration of that period, the holder of the certificate of registration specified in his application until such time as a certificate of registration or renewal of a certificate of registration is issued to him pursuant to this section or until his application is refused or withdrawn or until the expiration of three months after the date on which the lastmentioned certificate took effect, whichever first occurs.

(d) One or more, but not more than three, provisional certificates of registration may be issued under this subsection in relation to one application.

(x) by inserting in subsection (4E) of the same section after the words "provisional certificate of registration" the words "issued under subsection (4A) of this section in relation to the application and any provisional certificates of registration issued under subsection (4CA) of this section in relation thereto";

(xi) by inserting in paragraph (a) of subsection (4F) of the same section after the word "licenses" the words ", may object to the grant of an application for registration (not being a prescribed application or an application for renewal of registration)";

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- (xii) by omitting from the same paragraph the words "the application" and by inserting in lieu thereof the words "an application for registration or renewal of registration";
- (xiii) by omitting from paragraph (b) of the same subsection the words "the statement" and by inserting in lieu thereof the words "a statement so lodged by a member of the police force";
- (xiv) by inserting in subsection (4G) of the same section after the word "made" the words "pursuant to subsection (4F) of this section";
- (xv) by inserting in subsection seven of the same section after the word "objects" the words "pursuant to subsection (4F) of this section";
- (xvi) by inserting next after paragraph (b) of subsection nine of the same section the following new paragraph :---

(b1) Subject to this Act, but notwithstanding anything in paragraph (a) or (b) of this subsection, where—

 (i) an application for the grant or renewal of a certificate of registration (in this paragraph referred to as "the firstmentioned certificate") is granted after the commencement of the Auctioneers and Agents (Amendment) Act, 1971; and

(ii)

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(ii) the applicant is, at the time of the grant, the holder of one or more other certificates of registration,

the firstmentioned certificate shall be in force, pursuant to the grant of the application, for a period expiring on the day on which that other certificate or those other certificates are due to expire, or where two or more of those other certificates are due to expire on different days, on the later or latest of those days.

(xvii) by inserting next after subsection ten of the same section the following new subsections :---

(11) A certificate of registration shall not be issued if the application therefor contains any matter that is false or misleading in a material particular.

(12) The registrar may, upon application made to him and accompanied by a fee of fifty cents, issue a duplicate certificate of registration in the place of a certificate of registration that has been lost, destroyed or damaged.

(13) In this section, "prescribed application" means an application for a certificate of registration (not being an application for renewal of registration) that is made by a person to whom has been issued, and who is the holder of, a certificate of registration (not being a provisional certificate of registration).

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(f) by inserting next after subsection six of section fifty- Sec. 59. nine the following new subsection :---(Registered

employer

(7) Where there is a change of address of the and registered registered office of the registered employer by address.) whom or by which the holder of a certificate of registration under this Part is, or is to be, employed,

the

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the holder of the certificate shall, within the prescribed time after the change or the issue of the certificate (whichever last occurs), make application under subsection five of this section for the certificate to be varied accordingly, unless within that time he makes application under that subsection for the name of the registered employer specified therein to be substituted.

(Cancellation of certificate of registration.)

(4) Upon cancellation of a certificate of regis- registration.) tration under this section, the clerk of the court by which the certificate is cancelled shall notify the decision of the court to the registrar.

(h) by inserting next after section sixty the following New sec. new section : —

60A. Notwithstanding anything in this Act, Commencewhere, after the commencement of the Auctioneers determinaand Agents (Amendment) Act, 1971, the court determinamakes—

(a) a determination refusing an application for the renewal of registration under section fifty-seven of this Act; or

(b) an order under section sixty of this Act,

the determination or order shall have no force or effect until the expiration of twenty-one days after the making thereof and, where within that period of twenty-one days an appeal to a District Court is commenced under section sixty-one of this Act in relation to the determination or order, until the Court confirms the determination or order or dismisses the appeal.

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- (i) by omitting from subsection (4A) of section sixty- Sec. 61. one the words "refusal of" and by inserting in lieu (Appeal.) thereof the words "the determination refusing";
- (i) by inserting next after section sixty-three the New sec. 63A. following new section : ---

63A. Where the court has made an order that Failure to a certificate of registration be delivered up to the deliver up certificate. registrar the holder of such certificate shall, if he refuses, neglects or fails to comply with such order, be guilty of an offence against this Act.

6. Part VII of the Principal Act is amended-

Further amendment of Act No. 28, 1941. (Part VII.-General.)

(a) by inserting in subsection two of section eighty-four Sec. 84. after the word "land" where secondly occurring (Offences in the words "or any interest in such allotment"; with the

connection sale of allotments of land.)

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(b) by omitting from paragraph (a) of subsection one Sec. 84A. of section 84A the words "land or livestock or any (Penalty for business" and by inserting in lieu thereof the word false or "property";

misleading advertisements.)

(c)

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(c) by inserting in subsection one of section eighty-six Sec. 86. after the word "Act" where firstly occurring the (Furnishing words ", or in any statement, referred to in sub-^{incorrect} particulars in section thirteen of section twenty-three of this Act, applications.) accompanying a special application (as defined in that subsection),".

7. (1) Where an application, made under section Savings and twenty-three of the Principal Act for the renewal of a license, transitional or made under section twenty-four of the Principal Act for

10 the variation of a license, or made under section fifty-seven of the Principal Act for the renewal of registration, was pending immediately before the commencement of this Act, the provisions of the Principal Act shall continue to apply to and in respect of the application in the same manner as if 15 this Act had not been enacted.

(2) Notwithstanding anything in subsection one of this section, the provisions of subsection six of section twenty-three of the Principal Act (as amended by this Act), in addition to applying to and in respect of a licensee who
20 applies for a renewal of a license after the commencement of this Act, apply to and in respect of a licensee whose application for a renewal of a license was pending immediately before that commencement, whether or not a provisional license was, in relation to that application, issued under sub-25 section six of that section (as enacted immediately before that commencement), in the same manner as if this Act had been in force at the time when that application was made.

(3) Where a statement of claim was served, or an itemised account was received or furnished, under section 30 42A of the Principal Act before the commencement of this Act, the provisions of that section shall continue to apply to and in respect of the statement of claim or itemised account in the same manner as if this Act had not been enacted.

134—С

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(4)

(4) Where a provisional certificate of registration issued under section fifty-seven of the Principal Act was in force immediately before the commencement of this Act, the provisions of that section shall continue to apply to and in 5 respect of the provisional certificate in the same manner as if this Act had not been enacted.

BY AUTHORITY: V. C. N. BLIGHT, GOVERNMENT PRINTER, NEW SOUTH WALES-1971