

New South Wales

## Better Regulation Legislation Amendment (Miscellaneous) Bill 2021

### **Explanatory note**

This explanatory note relates to this Bill as introduced into Parliament.

### Overview of Bill

The object of this Bill is to amend various Acts and a regulation, and to repeal a regulation, administered by the Minister for Better Regulation and Innovation, including as follows—

- (a) to amend the Associations Incorporation Act 2009 for the following purposes—
  - (i) to provide that, on the winding up of an association or on the involuntary cancellation of the association's registration, the surplus property of the association must be distributed in accordance with—
    - (A) if the association's constitution addresses the distribution—the constitution, or
    - (B) a special resolution of the association, or
    - (C) a direction by the Secretary,
  - (ii) to provide that, on the voluntary cancellation of the registration of an association, the assets of the association must be distributed in accordance with a special resolution passed by the association,
  - (iii) to clarify that surplus property and assets must not be distributed to a member or former member of an association unless the member of former member is an entity or organisation, whether incorporated or unincorporated, that is prohibited from distributing property to its members,
  - (iv) to enable a committee of an association to appoint sufficient members to constitute a quorum,
  - (v) to remove the penalty for the offence of a former committee member or public officer failing to deliver documents belonging to an association after vacating office,

- (vi) to extend the Secretary's power to require the production of information and documents from an association to include the production of information and documents from a previously registered association,
- (vii) to provide that an audit of an association's financial records may be carried out by an authorised audit company or a qualified member of a professional accounting body,
- (b) to amend the *Biofuels Act 2007* to enable the Minister to appoint to the Expert Panel an additional person who has recent experience or expertise in the petroleum or biofuels industry,
- (c) to amend the *Building and Construction Industry Long Service Payments Act 1986* to permit the issuing of penalty notices under that Act,
- (d) to amend the *Building Products (Safety) Act 2017* to provide that the register of building product undertakings is to be made available, free of charge, on a NSW Government website,
- (e) to amend the Contract Cleaning Industry (Portable Long Service Leave Scheme) Act 2010 for the following purposes—
  - (i) to allow the issuing of penalty notices under that Act,
  - (ii) to require employers for the contract cleaning industry to keep a copy of the employment contract for each employee,
  - (iii) to empower inspectors to require the production of employee records and to take possession of records to be used as evidence,
  - (iv) to enable the Secretary to enter into information sharing arrangements with relevant agencies,
- (f) to amend the Design and Building Practitioners Act 2020 for the following purposes—
  - (i) to consolidate the concepts of 'equivalent authorisation' and 'relevant authorisation', as used in that Act and the *Design and Building Practitioners Regulation 2021*, by inserting a single definition of *relevant authorisation* to refer to certain qualifications or authorisations related to practitioners under that Act,
  - (ii) to provide that, if a practitioner is a registered body corporate, the Secretary may take disciplinary action under that Act, Part 6 against a director of the body corporate, including action intended to prevent the director from being a director of other registered body corporates,
- (g) to amend the *Electricity Supply Act 1995* to replace references to 'metering provider' with 'metering co-ordinator' to ensure consistency with how the terms are used in the national electricity laws,
- (h) to amend the *Fair Trading Act 1987* to provides that the register of enforceable undertakings is to be made available, free of charge, on a NSW Government website,
- (i) to amend the Funeral Funds Act 1979 for the following purposes—
  - (i) to provide that a registered company auditor or an authorised audit company may be appointed as an independent auditor of a pre-paid funeral fund,
  - (ii) to provide for the publication and provision of annual returns of pre-paid funeral funds lodged with the Secretary, and, as a consequence, remove the need for a person to attend a government office to request a copy of a return,
- (j) to amend the Funeral Funds Regulation 2016 as a consequence of the amendments made to the Funeral Funds Act 1979 by the proposed Act,
- (k) to amend the *Home Building Act 1989* for the following purposes—
  - (i) to clarify that the holder of a contractor licence is guilty of improper conduct if the holder does not comply with the requirements of a rectification order under that Act, Part 3A, Division 2 before the date specified in the order,

- (ii) to provide that, if the holder of an authority is a partnership or corporation, the Secretary may take disciplinary action against members of the partnership, officers of corporations that are members of the partnership and officers of the corporation,
- (l) to amend the Residential Apartment Buildings (Compliance and Enforcement) Act 2020 for the following purposes—
  - (i) to provide that the Secretary may accept an undertaking from a developer to provide a bank guarantee, bond or other form of security (a *rectification bond*) to the Secretary that may be applied to the costs of eliminating, minimising or remediating a serious defect or a potential serious defect in a residential apartment building,
  - (ii) to enable the Secretary to make an order prohibiting the issue of an occupation certificate in relation to a residential apartment building if a developer fails to provide the Secretary with a rectification bond required under the terms of an undertaking given by the developer,
  - (iii) to enable the Secretary to give a building work rectification order to a developer if the Secretary has a reasonable belief that a residential apartment building has a serious defect or that building work was or is being carried out in a way that could result in a serious defect in a residential apartment building,
  - (iv) to remove the requirement for the Registrar-General to be notified of, and given the opportunity to make written representations about, a proposed building work rectification order,
  - (v) to enable a court order to be made requiring a person convicted of an offence under that Act, or the regulations under that Act, to publicise the offence and require the person to publicise other matters, including if a prohibition order, building work rectification order or stop work order has been made in connection with the person,
- (m) to amend the Retirement Villages Act 1999 for the following purposes—
  - (i) to provide that a surplus, or part of a surplus, in the annual accounts of a retirement village may, with the agreement of the existing residents of the village, be distributed equally based on the number of existing residential premises in the village rather than the number of existing residents,
  - (ii) to clarify that if a former occupant of residential premises in a retirement village applies for an exit entitlement order and the Secretary does not make the order, the former occupant may not make another application for an exit entitlement order until the expiration of a further prescribed period or a longer period approved by the Secretary,
  - (iii) to impose a maximum penalty of 50 penalty units for the failure of a person appointed by a resident of a retirement village to sell the resident's premises to notify the resident of offers to purchase the premises or give the resident reports on the marketing of, and inquiries relating to, the premises,
  - (iv) to enable the regulations to prescribe additional information that a valuer must include in a valuation of residential premises in a retirement village,
- (n) to amend the *Storage Liens Act 1935* to relocate the requirements for a notice served on a storer by a person claiming to be the owner of goods or to have an interest in goods from the *Storage Liens Regulation 2019*,
- (o) to repeal the *Storage Liens Regulation 2019* as a consequence of the amendments to the *Storage Liens Act 1935* by the proposed Act,
- (p) to amend the *Tow Truck Industry Act 1998* for the following purposes—
  - (i) to provide additional licence conditions for tow truck operators, which require a licensee to release a vehicle from their holding yard after the vehicle's owner or owner's agent has paid the fees charged by the licensee for the licensee's dealings with the vehicle, and prohibit a licensee from sending a vehicle away from the holding yard for repairs without the vehicle owner's or owner's agent's permission,

- (ii) to enable payments to be made from the Tow Truck Industry Fund to fund the prosecution of offences under other legislation that may be committed by tow truck operators in the course of operating their business,
- (q) to make other necessary consequential and related amendments to instruments listed in this overview, including savings and transitional amendments.

### Outline of provisions

Clause 1 sets out the name, also called the short title, of the proposed Act.

Clause 2 provides for the commencement of the proposed Act.

Clause 3 makes it clear that explanatory notes in Schedule 1 do not form part of the proposed Act.

### Schedule 1 Amendments

Schedule 1 amends the following Acts and instrument—

- (a) Associations Incorporation Act 2009 No 7,
- (b) Biofuels Act 2007 No 23,
- (c) Building and Construction Industry Long Service Payments Act 1986 No 19,
- (d) Building Products (Safety) Act 2017 No 69,
- (e) Contract Cleaning Industry (Portable Long Service Leave Scheme) Act 2010 No 122,
- (f) Design and Building Practitioners Act 2020 No 7,
- (g) Electricity Supply Act 1995 No 94,
- (h) Fair Trading Act 1987 No 68,
- (i) Funeral Funds Act 1979 No 106,
- (j) Funeral Funds Regulation 2016,
- (k) Home Building Act 1989 No 147,
- (1) Residential Apartment Buildings (Compliance and Enforcement) Act 2020 No 9,
- (m) Retirement Villages Act 1999 No 81,
- (n) Storage Liens Act 1935 No 19,
- (o) Tow Truck Industry Act 1998 No 111.

**Schedule 1** also repeals the *Storage Liens Regulation 2019*.

The amendments to each Act and instrument are explained in detail in the explanatory note relating to the Act or instrument concerned set out in Schedule 1.



### New South Wales

# Better Regulation Legislation Amendment (Miscellaneous) Bill 2021

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### New South Wales

## Better Regulation Legislation Amendment (Miscellaneous) Bill 2021

No , 2021

### A Bill for

An Act to amend various Acts, and to amend or repeal regulations, administered by the Minister for Better Regulation and Innovation; and for related purposes.

The Legislature of New South Wales enacts—		1				
1	1 Name of Act					
		This Act is the Better Regulation Legislation Amendment (Miscellaneous) Act 2021.	3			
2	Com	mencement	4			
	(1)	This Act commences on the date of assent to this Act, except as provided by subsection (2).	5			
	(2)	Schedule 1.6 and 1.13[4] and [5] commence on a day or days to be appointed by proclamation.	<del>,</del>			
3	Expl	anatory notes	9			
		The matter appearing under the heading "Explanatory note" in Schedule 1 does not form part of this Act	1( 11			

Scl	hedul	e 1	Α	mendments	1
1.1	Asso	ociat	ions I	ncorporation Act 2009 No 7	2
[1]	Secti	on 28	Comm	nittee to be established	3
	Omit	"Max	imum p	penalty—1 penalty unit." from section 28(5).	4
[2]	Secti	on 28	A		5
	Insert	after	section	28—	6
	28A	Fillin	g of va	acancies on committee to constitute quorum	7
		(1)		number of members of a committee is less than the number of members red to constitute a quorum of the committee—	8 9
			(a)	the committee may appoint sufficient members to constitute a quorum, and	10 11
			(b)	for the purpose only of enabling the committee to make the appointment, the number of members required to constitute a quorum is the number of members at that time.	12 13 14
		(2)	under	erm of office of a member appointed by the committee to fill a vacancy this section ends at the start of the next annual general meeting of the iation.	15 16 17
[3]	Secti	on 35	Vacati	ion of office of public officer	18
	Omit	"Max	imum p	penalty—1 penalty unit." from section 35(2).	19
[4]	Secti	on 52	Audito	or to be qualified and independent	20
	Omit section			meaning of the Corporations Act 2001 of the Commonwealth" from	21 22
[5]	Secti	on 52	(1)(a1)	and (a2)	23
	Insert	after	section	1 52(1)(a)—	24
			(a1)	an authorised audit company, or	25
			(a2)	a member of a professional accounting body who holds a Public Practice Certificate or Certificate of Public Practice issued by the body, or	26 27 28
[6]	Secti	on 52	(3)		29
	Insert	after	section	52(2)—	30
		(3)	In this	s section—	31
			2001	orised audit company has the same meaning as in the Corporations Act of the Commonwealth.	32 33
			<b>profe</b> s Secur	ssional accounting body has the same meaning as in the Australian rities and Investments Commission Act 2001 of the Commonwealth.	34 35
				tered company auditor has the same meaning as in the Corporations Act of the Commonwealth.	36 37
[7]	Secti	on 65			38
	Omit	the se	ction. I	Insert instead—	39

65	Distribution of surplus property					
	(1)	In a winding up of an association, the surplus property of the association must be distributed in accordance with the following—				
		(a) if the constitution of the association contains provisions addressing the distribution of surplus property—the constitution of the association,	4 5			
		(b) if paragraph (a) does not apply—a special resolution passed by the association,	6 7			
		(c) if paragraph (a) does not apply and the association has not or cannot pass a special resolution—a direction of the Secretary.	8 9			
	(2)	Subject to subsection (3), the distribution of surplus property of an association—	10 11			
		(a) must not be made to, or for the benefit of—	12			
		(i) a member or former member of the association, or	13			
		(ii) a person to be held on trust for a member or former member of the association, and	14 15			
		(b) is subject to any trust affecting the property or a part of the property, and	16			
		(c) must be approved by the Secretary unless the property is distributed in accordance with a direction of the Secretary.	17 18			
	(3)	Surplus property that is property supplied by a government department or public authority, including an unexpended portion of a grant, if any, must be returned—	19 20 21			
		(a) to the department or authority that supplied it, or	22			
		(b) to a body nominated by the department or authority.	23			
	(4)	A person aggrieved by the operation of this section in relation to an association's surplus property may apply to the Supreme Court for an order as to its disposal.				
	(5)	The Supreme Court may deal with an application by making the orders it thinks fit in relation to the disposal of the association's surplus property.				
	(6)	In this section—	29			
	(-)	<i>member or former member</i> does not include a member or former member who is—				
		(a) an entity or organisation, whether incorporated or unincorporated, and	32			
		(b) at the time of the distribution, prohibited from distributing property to its members.	33 34			
		surplus property means the property of an association remaining after satisfaction of the debts and liabilities of the association and the costs, charges and expenses of the winding up of the association.	35 36 37			
Sect	ion 75		38			
Omit	the se	ction. Insert instead—	39			
75	Distr	ibution of assets	40			
	(1)	On the cancellation of an association's registration under this Division, the association's assets must be distributed in accordance with a special resolution passed by the association.	41 42 43			
	(2)	Subject to subsection (3), the distribution of the assets of the association—	44			
		(a) must not be made to, or for the benefit of—	45			

[8]

		(i) a member or former member of the association, or	1
		(ii) a person to be held on trust for a member or former member of the association, and	2
	(b)	is subject to any trust affecting the assets or part of the assets, and	4
	(c)	must be approved by the Secretary.	5
(3)	` ′	asset that has been supplied by a government department or public	
(3)	autho	ority, including an unexpended portion of a grant, if any, must be ned—	6 7 8
	(a)	to the department or authority that supplied it, or	9
	(b)	to a person or body nominated by the department or authority.	10
(4)		erson aggrieved by the operation of this section in relation to an ciation's assets may apply to the Supreme Court for an order as to their sal.	11 12 13
(5)	The think	Supreme Court may deal with an application by making the orders it is fit in relation to the disposal of the association's assets.	14 15
(6)	In thi	is section—	16
	<i>mem</i> who	ber or former member does not include a member or former member is—	17 18
	(a)	an entity or organisation, whether incorporated or unincorporated, and	19
	(b)	at the time of the distribution, prohibited from distributing property to its members.	20 21
Section 85	Powe	r to require information and documents	22
Insert "or a section 85(1		ously registered association" after "association" wherever occurring in nd (b).	23 24
Section 85	(4)		25
Insert after	section	n 85(3)—	26
(4)	In thi	is section—	27
( )	previ	ously registered association means an association—	28
	(a)	that is not currently registered under this Act, and	29
	(b)	that has been, or has been taken to be, previously registered under this Act.	30 31
Explanatory			32
	ember	f the proposed amendments remove the penalty for the offence of a former or public officer failing to deliver documents belonging to an association after	33 34 35
members to	constitu	posed section 28A to enable a committee of an association to appoint sufficient ute a quorum.	36 37
authorised a	udit cor	hat an audit of an association's financial records may be carried out by an npany or a qualified member of a professional accounting body. Items [4] and [6] I amendments.	38 39 40
cancellation accordance passed by th that the asse by the assoc	of its with the e asso ts of ar iation o	section 65 to provide that, on the winding up of an association or on the involuntary registration, the surplus property of an association must be distributed in econstitution, if the constitution addresses the distribution, a special resolution ciation, or a direction of the Secretary. Item [8] substitutes section 75 to provide association must be distributed in accordance with a special resolution passed in the voluntary cancellation of the registration of an association.  In the voluntary cancellation of the registration of an association.	41 42 43 44 45 46
		ner member of an association unless the member or former member is an entity	48

[9]

[10]

		ganisati membe	ion, whether incorporated or unincorporated, that is prohibited from distributing property	1 2
	Items docu	[9] ar	from an association to include the production of information and registered association.	3 4 5
1.2	Bio	fuels	Act 2007 No 23	6
[1]	Sect	ion 24	Expert Panel	7
	Omi	t "Dep	artment of Industry" from section 24(1)(a1).	8
	Inser	t inste	ad "Department of Planning, Industry and Environment".	9
[2]	Sect	ion 24	d(1)(a3)	10
	Omi	t "the l	Department of Industry". Insert instead "Regional NSW".	11
[3]	Sect	ion 24	·(1)(c)	12
	Omi	t "3 pe	rsons". Insert instead "4 persons".	13
	•	anatory		14
			e proposed amendments enables the Minister to appoint to the Expert Panel an additional has recent experience or expertise in the petroleum or biofuels industry.	15 16
	Items	[1] and	d [2] update references to Departments.	17
1.3	Bui No		and Construction Industry Long Service Payments Act 1986	18 19
	Sect	ion 64	A	20
	Inser	t after	section 64—	21
	64A	Pena	alty notices	22
		(1)	An authorised person may issue a penalty notice to a person if it appears to the authorised person that the other person has committed a penalty notice offence.	23 24 25
		(2)	A penalty notice offence is an offence against this Act or the regulations that is prescribed by the regulations as a penalty notice offence.	26 27
		(3)	The <i>Fines Act 1996</i> applies to a penalty notice issued under this section. <b>Note—</b> The <i>Fines Act 1996</i> provides that, if a person issued with a penalty notice does not wish to have the matter determined by a court, the person may pay the amount specified in the notice and is not liable to further proceedings for the alleged offence.	28 29 30 31
		(4)	The amount payable under a penalty notice issued under this section is the amount prescribed for the alleged offence by the regulations, not exceeding the maximum amount of penalty that could be imposed for the offence by a court.	32 33 34 35
		(5)	This section does not limit the operation of any other provision of, or made under, this or any other Act relating to proceedings that may be taken in respect of offences.	36 37 38
		(6)	In this section—	39
	F		authorised person means a person authorised by the Corporation for the purposes of section 58.	40 41
		anatory		42
	Indus	stry Lon	ed amendment permits the issuing of penalty notices under the <i>Building and Construction</i> of Service Payments Act 1986.	43 44

1.4	Bui	lding	Products (Safety) Act 2017 No 69	1	
	Sect	ion 33	Register of undertakings	2	
	Omi	t sectio	on 33(3) and (4). Insert instead—	3	
		(3)	The register is to be made available, free of charge, on a NSW Government website.	4 5	
	The p	anatory propose able, fre	y note ed amendment provides that the register of building product undertakings is to be made ee of charge, on a NSW Government website.	6 7 8	
1.5		ntract 0 No	Cleaning Industry (Portable Long Service Leave Scheme) Act 122	9 10	
[1]	Sect	ion 3 [	Definitions	11	
	Inser	rt in alp	phabetical order in section 3(1)—	12	
			<i>inspector</i> means a person authorised under section 86 to be an inspector for the purposes of Part 8.	13 14	
[2]	Sect	ion 46	6 Employers to keep records	15	
	Omi	t sectio	on 46(1). Insert instead—	16	
		(1)	An employer for the contract cleaning industry must keep—	17	
			(a) a written record showing the relevant particulars for each employee who performs cleaning work for the employer, and	18 19	
			(b) a copy of the employment contract for each employee.	20	
			Maximum penalty—20 penalty units.	21	
[3]	Sect	ions 4	I7A and 47B	22	
	Inser	Insert after section 47—			
	47A Power to require production of employee records				
		(1)	An inspector may give a written notice to an employer or other person who the inspector reasonably believes has possession, custody or control of the employer's records requiring the employer or person to produce the employer's records specified in the notice at the time and place specified in the notice.	25 26 27 28 29	
		(2)	An inspector may—	30	
			(a) inspect a record produced in response to a notice under this section, and	31	
			(b) take copies of or extracts from, or make notes from, a record.	32	
		(3)	An employer does not contravene a provision of this Act if the employer is unable to comply with the provision because an inspector retained possession of a record or document under this section.	33 34 35	
	47B	Pow	rer to take possession of records to be used as evidence	36	
		(1)	An inspector to whom a record is produced under this Part may take possession of the record if the inspector considers it necessary to do so for the purpose of obtaining evidence or protecting evidence from destruction.	37 38 39	
		(2)	If an inspector takes possession of a record under this section, the record may be retained by the inspector until the completion of proceedings, including proceedings on appeal, in which the record may be evidence.	40 41 42	

42

		(3)	The person from whom the record was taken must be provided, within a reasonable time after the record is taken, with a copy of the record certified by an inspector as a true copy.	1 2 3
		(4)	A copy of a record provided under this section is, as evidence, of equal validity to the record of which it is certified to be a copy.	4 5
[4]	Sect	ion 10	3A	6
	Inser	t after	section 103—	7
	103A	Pena	alty notices	8
		(1)	An inspector may issue a penalty notice to a person if it appears to the inspector that the person has committed a penalty notice offence.	9 10
		(2)	A penalty notice offence is an offence against this Act or the regulations that is prescribed by the regulations as a penalty notice offence.	11 12
		(3)	The Fines Act 1996 applies to a penalty notice issued under this section.	13
			<b>Note</b> — The <i>Fines Act 1996</i> provides that, if a person issued with a penalty notice does not wish to have the matter determined by a court, the person may pay the amount specified in the notice and is not liable to further proceedings for the alleged offence.	14 15 16
		(4)	The amount payable under a penalty notice issued under this section is the amount prescribed for the alleged offence by the regulations, not exceeding the maximum amount of penalty that could be imposed for the offence by a court.	17 18 19 20
		(5)	This section does not limit the operation of any other provision of, or made under, this or any other Act relating to proceedings that may be taken in respect of offences.	21 22 23
[5]	Sect	ion 11	4A	24
	Inser	t after	section 114—	25
	114A	Exch	ange of information	26
		(1)	The Secretary may enter into an arrangement (an <i>information sharing arrangement</i> ) with a relevant agency for the purposes of sharing or exchanging information held by the Secretary or the agency.	27 28 29
		(2)	The information to which an information sharing arrangement may relate is limited to information that assists in the exercise of the functions of—	30 31
			(a) the Secretary under this Act or the regulations, or	32
			(b) the relevant agency.	33
		(3)	Under an information sharing arrangement, the Secretary and the relevant agency are authorised—	34 35
			(a) to request and receive information held by the other party to the arrangement, and	36 37
			(b) to disclose the information to the other party.	38
		(4)	In this section—	39
			<i>long service agency</i> means an agency of the State, the Commonwealth, or another State or Territory, that exercises functions under legislation with respect to long service schemes, or employers or employees in relation to long service leave.	40 41 42 43
			relevant agency includes the following—	44

	(a)	a long service agency,	1
	(b)	another agency of the State, the Commonwealth, or another State or Territory,	2
	(c)	a local council,	4
	(d)	a person or body that exercises functions, in the public interest, to protect the interests of long service schemes, employers or employees,	5 6
	(e)	a person or body prescribed by the regulations.	7
	Explanatory note		8
	Cleaning Industry (i	posed amendments permits the issuing of penalty notices under the Contract Portable Long Service Leave Scheme) Act 2010.	9
	Item [1] makes a co	onsequential amendment to define <i>inspector</i> for the purposes of the proposed	11 12
	Item [2] requires en contract for each er	mployers for the contract cleaning industry to keep a copy of the employment mployee.	13 14
	possession of recor	vers for an inspector to require the production of employee records and to take rds to be used as evidence.	15 16
		ne Secretary of the Department of Customer Service to enter into information nts with particular agencies.	17 18
1.6	Design and B	uilding Practitioners Act 2020 No 7	19
[1]	Section 3 Definit	tions	20
	Insert in alphabet	ical order in section 3(1)—	21
	relev	vant authorisation means any of the following—	22
	(a)	registration as an architect under the Architects Act 2003,	23
	(b)	registration as a certifier, or accreditation to carry out regulated work, under the <i>Building and Development Certifiers Act 2018</i> ,	24 25
	(c)	a contractor licence, endorsed contractor licence, supervisor certificate, tradesperson certificate or owner-builder permit under the <i>Home Building Act 1989</i> ,	26 27 28
	(d)	for a person registered under this Act in one class of registration—registration in another class,	29 30
	(e)	an authorisation or qualification, however described, that—	31
		(i) is issued under the laws of another jurisdiction, and	32
		(ii) authorises the holder to carry out work that is substantially similar to the work authorised by an authorisation specified in paragraph (a), (b), (c) or (d),	33 34 35
	(f)	another authorisation or qualification, however described, prescribed by the regulations.	36 37
[2]	Section 46 Grou work	nds for opinion that a person is not a suitable person to carry out	38 39
	Omit section 46(b	b) and (c). Insert instead—	40
	(b)	a relevant authorisation of the person has been suspended or cancelled, other than at the person's request, whether at the time of the person's	41 42
		application for registration or another time,	43
	(c)	the person is disqualified from holding a relevant authorisation, other than on the grounds that the person—	44 45
		(i) does not reside in the jurisdiction that issues the authorisation, or	46
		(ii) is a body corporate.	47

[3]	Section 60	Regis	stered practitioner to notify Secretary of certain events	1
	Omit sectio	n 60(a	a). Insert instead—	2
		(a)	a relevant authorisation held by the practitioner being suspended or cancelled, other than at the practitioner's request,	3 4
[4]	Section 60	(d)		5
	Omit the pa	ragrap	bh. Insert instead—	6
		(d)	the practitioner (including, if the practitioner is a registered body corporate, a director of the body corporate) being investigated by a government agency or a body that issues a relevant authorisation, whether in New South Wales or another Australian jurisdiction, in relation to—	7 8 9 10 11
			(i) the carrying out of work authorised to be carried out under a relevant authorisation, or	12 13
			(ii) a failure to comply with a relevant authorisation,	14
[5]	Section 64	Grou	nds for taking disciplinary action	15
	Omit "an ed	quivale	ent authorisation" wherever occurring in section 64(c)(i) and (iii) and (d).	16
	Insert instea	ad "a r	relevant authorisation".	17
[6]	Section 66	Disci	plinary action that may be taken by Secretary	18
	Insert after	section	n 66(1)—	19
	(1A)	a greestab	egistered practitioner is a body corporate and the Secretary is satisfied that ound for taking disciplinary action against the practitioner has been blished, the Secretary may take one or more of the following actions ast a director of the body corporate—	20 21 22 23
		(a)	determine to take no further action against the director,	24
		(b)	caution or reprimand the director,	25
		(c)	require the director to undertake specified education or training relating to a particular type of work or business practice within a specified time,	26 27
		(d)	disqualify the director, either temporarily or permanently, from being registered or registered in a particular class.	28 29
	(1B)	The period (1A)	Secretary may suspend the registration of a body corporate during a od when a director of the body corporate is disqualified under subsection (d).	30 31 32
	(1C)		Secretary may take disciplinary action under subsections (1A) and (1B) in tion to, or instead of, taking disciplinary action under subsection (1).	33 34
	(1D)	same refer	Part applies to disciplinary action taken under subsection (1A) in the e way as it applies to disciplinary action taken under subsection (1) and ences in this Part to registered practitioners extend to the directors of tered body corporates.	35 36 37 38
	(1E)	Secti	ions 65 and 68 apply to disciplinary action taken under subsection (1B).	39
	Explanatory		period amondments consolidates the concents of focultialant authorisation?	40
	relevant aut Building Pra	norisati ctitione	posed amendments consolidates the concepts of 'equivalent authorisation' and ion', as used in the <i>Design and Building Practitioners Act 2020</i> and <i>Design and ers Regulation 2021</i> , by inserting a single definition of <i>relevant authorisation</i> . consequential amendments.	41 42 43 44

	discip	linary a	vides that, if a practitioner is a registered body corporate, the Secretary may take action under the Act, Part 6 against a director of the body corporate, including action revent the director from being a director of other registered body corporates.	1 2 3
1.7	Elec	ctricit	y Supply Act 1995 No 94	4
	Sect	ion 19	6	5
		: "mete	ring provider" wherever occurring. Insert instead "metering co-ordinator".	6
	The p	ropose	d amendment replaces references to 'metering provider' with 'metering co-ordinator' to stency with how the terms are used in the national electricity laws.	8
1.8	Fair	Trad	ing Act 1987 No 68	10
	Sect	ion 86	B Register of undertakings	11
	Omit	section	n 86B(3) and (4). Insert instead—	12
		(3)	The register is to be made available, free of charge, on a NSW Government website.	13 14
	-	anatory		15
	availa	oropose able, fre	d amendment provides that the register of enforceable undertakings is to be made e of charge, on a NSW Government website.	16 17
1.9	Fun	eral I	Funds Act 1979 No 106	18
[1]	Sect	ion 49	D Qualifications as an independent auditor	19
	Omit	section	n 49D(1). Insert instead—	20
		(1)	A person is not qualified to be an auditor of a pre-paid funeral fund unless the person is—	21 22
			(a) a registered company auditor, or	23
			(b) an authorised audit company.	24
[2]	Sect	ion 49	D(4)	25
	Inser	t after	section 49D(3)—	26
		(4)	In this section—	27
			authorised audit company has the same meaning as in the Corporations Act 2001 of the Commonwealth.	28 29
			<i>registered company auditor</i> has the same meaning as in the <i>Corporations Act</i> 2001 of the Commonwealth.	30 31
[3]	Sect	ion 49		32
	Omit	the se	ction. Insert instead—	33
	491	Acce	ss to annual returns	34
		(1)	This section applies to returns lodged with the Secretary under section 49G.	35
		(2)	The Secretary may make a return publicly available on a NSW Government website.	36 37
		(3)	A person who has an interest in a return that has not been made publicly available may make a request to the Secretary for a copy of the return.	38 39

	(4)	On payment by the person of the fee prescribed by the regulations, the Secretary must ensure the person is provided with a copy of the return in a manner determined by the Secretary.	1 2 3
	(5)	The Secretary may issue guidelines in relation to the publication and provision of returns under this section.	4
	Explanatory	note	6
	audit compa	ne proposed amendments provides that a registered company auditor or an authorised may be appointed as an independent auditor of a pre-paid funeral fund. Item [2] makes tial amendment.	7 8 9
	with the Sec	ides for the publication and provision of annual returns of pre-paid funeral funds lodged retary, and, as a consequence, removes the need for a person to attend a government lest a copy of a return.	10 11 12
1.10	Funeral I	Funds Regulation 2016	13
[1]	Schedule 4	I Fees	14
	Omit "49I (	(a) or" and "49G or" from Part 1, item 10.	15
[2]	Schedule 4	I, Part 1, item 11	16
	Omit "49I (	b)". Insert instead "49I(4)".	17
	Explanatory	note	18
	The propose Act to the Fu	d amendments make consequential amendments as a result of the amendments by this ineral Funds Act 1979.	19 20
1.11	Home Bu	uilding Act 1989 No 147	21
[1]	Section 51	Improper conduct: generally	22
	Insert "befo	ore the date specified in the order" after "Part 3A" in section 51(2)(b).	23
[2]	Section 54	Improper conduct: members of partnerships or officers of corporations	24
	Omit section	on 54(4) and (5).	25
[3]	Section 62	Disciplinary action that may be taken by Secretary	26
	Insert at the	e end of the section—	27
	(2)	If the holder of the authority is a partnership or corporation, the Secretary may take a disciplinary action specified in subsection (1) against the following individuals—	28 29 30
		(a) a member of the partnership,	31
		(b) an officer of a corporation that is a member of the partnership,	32
		(c) an officer of the corporation.	33
	(3)	The Secretary may take disciplinary action under subsection (2) in addition to, or instead of, taking disciplinary action under subsection (1).	34 35
	(4)	This Division applies to disciplinary action taken under subsection (2) in the same way as it applies to disciplinary action taken under subsection (1) and references in this Division to the holder of an authority extend to the individuals referred to in subsection (2).	36 37 38 39
	Explanatory		40
	improper cor	the proposed amendments clarifies that the holder of a contractor licence is guilty of anduct if the holder does not comply with the requirements of a rectification order under wilding Act 1989. Part 3A. Division 2 before the date specified in the order.	41 42 43

	take disciplin	ary acti	at, if the holder of an authority is a partnership or corporation, the Secretary may ion against members of the partnership, officers of corporations that are members nd officers of the corporation. Item [2] makes a consequential amendment.	1 2 3	
1.12	Resident Powers)		partment Buildings (Compliance and Enforcement 020 No 9	4 5	
[1]	Section 3 D	Definit	ions	6	
	Insert in alp		ical order in section 3(1)—  fication bond—see section 28.	7 8	
[2]	Section 9 Occupation certificates and strata plan registrations not to occur in certain circumstances			9 10	
	Insert after	section	a 9(1)(c)—	11	
		(c1)	a rectification bond required under the terms of an undertaking given by the developer relating to the residential apartment building has not been provided to the Secretary,	12 13 14	
[3]	Section 28	Unde	rtakings	15	
	Omit "eithe	r or bo	oth" from section 28(2). Insert instead "one or more".	16	
[4]	Section 28	(2)(c)		17	
	Insert after	section	n 28(2)(b)—	18	
		(c)	provide the Secretary with a rectification bond that may be claimed or realised by the Secretary to meet the costs of eliminating, minimising or remediating a serious defect or a potential serious defect in a residential apartment building.	19 20 21 22	
[5]	Section 28	(2A)		23	
	Insert after section 28(2)—				
	(2A)		undertaking requires a developer to provide a rectification bond, the rtaking must include—	25 26	
		(a)	the circumstances in which the rectification bond may be claimed or realised, and	27 28	
		(b)	the procedure for claiming or realising the rectification bond.	29	
[6]	Section 28(4)				
	Insert after	section	a 28(3)—	31	
	(4)	In th	is section—	32	
			fication bond means a bank guarantee, bond or other form of security ptable to the Secretary.	33 34	
[7]	Section 33 Power to order rectification				
	Omit section 33(1). Insert instead—				
	(1)	build	Secretary may give an order under this Part to a developer in relation to ling work (a <i>building work rectification order</i> ) if the Secretary has a onable belief that—	37 38 39	
		(a)	the building work was or is being carried out in a way that could result in a serious defect in a residential apartment building, or	40 41	
		(b)	a residential apartment building has a serious defect.	42	

[8]	Sect	ion 45	5 Notice to be given to other persons and bodies of proposed order	1		
• •	Omit section 45(1)(d).					
[9]						
[3]			section 56—	3		
				4 5		
	56A		icity orders			
		(1)	If a person is convicted by a court of an offence under this Act or the regulations, the court may order the person to take specified action to publicise—	6 7 8		
			(a) the offence, including the circumstances of the offence, and	9		
			(b) the consequences of the offence, and	10		
			(c) a prohibition order, building work rectification order or stop work order made in connection with the person, and	11 12		
			(d) a penalty imposed on the person under this Act or the regulations.	13		
		(2)	The court may, in the order, fix a period for compliance and impose other requirements the court considers necessary or expedient for enforcement of the order.	14 15 16		
		(3)	If a person fails to comply with an order, the prosecutor or a person authorised by the prosecutor may take action to carry out the order as far as may be practicable, including action to publicise the failure to comply with the order.	17 18 19		
		(4)	The reasonable cost of taking action referred to in subsection (3) is recoverable by the prosecutor or person taking the action, in a court of competent jurisdiction, as a debt from the person.	20 21 22		
[10]	Sect	ion 68	3 Regulations	23		
	Inse	rt after	section 68(2)(a)—	24		
	(a1) undertakings given by developers,					
	-	anatory		26 27		
	Items [4]–[6] of the proposed amendments provide that the Secretary may accept an undertaking from a developer to provide a bank guarantee, bond or other form of security (a <i>rectification bond</i> ) to the Secretary that may be applied to the costs of eliminating, minimising or remediating a serious defect or a potential serious defect in a residential apartment building. Items [1] and [3] make consequential amendments. Item [10] inserts a new regulation-making power relating to undertakings.  Item [2] enables the Secretary to make an order prohibiting the issue of an occupation certificate in relation to a residential apartment building if a developer fails to provide the Secretary with a rectification bond required under the terms of an undertaking given by the developer.					
	Item [7] enables the Secretary to give a building work rectification order to a developer if the Secretary has a reasonable belief that a residential apartment building has a serious defect. Currently, this order is only able to be given to a developer if the Secretary has a reasonable belief that building work was or is being carried out in a way that could result in a serious defect in the residential apartment building.					
	to ma	ake writ	oves the requirement for the Registrar-General to be notified of, and given the opportunity tten representations about, a proposed building work rectification order.	40 41		
	Item [9] enables a court order to be made requiring a person convicted of an offence under the <i>Residential Apartment Buildings (Compliance and Enforcement Powers) Act 2020</i> or the regulations under that Act to publicise the offence. The person may also be required to publicise other matters, including if a prohibition order, building work rectification order or stop work order has been made in connection with the person.					

1.13	Retireme	nt Vi	llages Act 1999 No 81	1	
[1]	Section 120B Any surplus to be carried over				
	Omit section 120B(1)(b). Insert instead—				
		(b)	the residents of the village consent to a proposal that the operator distribute the whole or any part of the surplus (the <i>distributable amount</i> ) to the existing residents of the village as follows—	4 5 6	
			(i) by allocating a per-premises amount to each existing residential premises in the village,	7 8	
			(ii) by distributing the per-premises amount allocated to each existing residential premises equally between the existing residents of the premises.	9 10 11	
[2]	Section 120B(5)				
	Insert after section 120B(4)—				
	(5)	In thi	is section—	14	
			premises amount means an amount equal to the distributable amount led by the number of existing residential premises in the retirement ge.	15 16 17	
[3]	Section 16	8 Sale	of premises	18	
	Insert at the	e end o	f section 168(5)—	19	
		Maxi	imum penalty—50 penalty units.	20	
[4]	Section 182AB Former occupant may apply for payment of exit entitlement				
	Omit section 182AB(8). Insert instead—				
	(8)	(8) If the Secretary does not make the exit entitlement order under section 182AC, the former occupant may not make another application for an exit entitlement order for the same residential premises until after the end of the period—			
		(a)	starting on the day the immediately proceeding application was made, and	26 27	
		(b)	ending on—	28	
			(i) the day prescribed by the regulations, or	29	
			(ii) a later day approved by the Secretary under section 182AE.	30	
[5]	Section 182AE Secretary may extend period before exit entitlement order may be made				
	Omit section 182AE(1). Insert instead—				
	(1) The Secret approve—		Secretary may, on application by an operator of a retirement village, ove—	34 35	
		(a)	a period longer than the period prescribed by the regulations for the purposes of section 182AB(9), definition of <i>prescribed period</i> , paragraph (a), or	36 37 38	
		(b)	a later day than the day prescribed by the regulations for the purposes of section 182AB(8)(b)(ii).	39 40	
[6]	Section 18 agreement		dependent valuer to determine value of residential premises if no	41 42	
	Insert at the end of section 182AI(4)(c)—				

			, and	1	
		(d)	include, or be accompanied by, any other information prescribed by the regulations.	2	
	Explanatory	note		4	
	Items [1] and [2] of the proposed amendments provide that a surplus, or part of a surplus, in the annual accounts of a retirement village may, with the agreement of the existing residents of the village, be distributed equally based on the number of existing residential premises in the village rather than the number of existing residents.				
	resident of a	retire	a maximum penalty of 50 penalty units for the failure of a person appointed by a ment village to sell the resident's premises to notify the resident of offers to ses or give the resident reports on the marketing of, and inquiries relating to, the	9 10 11 12	
	for an exit en	ititleme other a	rify that if a former occupant of residential premises in a retirement village applies and order and the Secretary does not make the order, the former occupant must application for an exit entitlement order until the expiration of a further prescribed eriod approved by the Secretary.	13 14 15 16	
	Item [6] enab	oles the reside	e regulations to prescribe additional information that a valuer must include in a ence in a retirement village.	17 18	
1.14	Storage L	_iens	Act 1935 No 19	19	
[1]	Sections 5(	(1)(a) a	and 6(2)(b)	20	
	Omit ", including any person who has served upon the storer a prescribed notice of his or her claim to be the owner of the goods or of some interest therein" wherever occurring.				
[2]	Section 5 N	lotice	of lien—goods deposited by person entrusted with possession	23	
	Insert after section 5(4)—				
	(5)		is section—	25	
	(5)	owne	er of goods includes a person who has served the storer of the goods with tten notice that contains the following—	26 27	
		(a)	a claim of ownership or interest in the goods and, if applicable, the nature of the interest claimed,	28 29	
		(b)	information identifying the goods to which the notice relates,	30	
		(c)	the name and address of the person making the claim.	31	
[3]	Section 6 Power to sell goods				
	Insert after section 6(7)—				
	(8) In the		is section—	34	
			er of goods includes a person who has served the storer of the goods with tten notice that contains the following—	35 36	
		(a)	a claim of ownership or interest in the goods and, if applicable, the nature of the interest claimed,	37 38	
		(b)	information identifying the goods to which the notice relates,	39	
		(c)	the name and address of the person making the claim.	40	
	Explanatory			41 42	
	Items [2] and [3] of the proposed amendments set out the requirements for a notice served on a storer by a person claiming to be the owner of goods or to have an interest in goods.  Item [1] makes a consequential amendment				
	e	-5 4 (:0)	oseonemai antenomem	44	

1.15	Storage Liens Regulation 2019					
	Repeal					
	The Storage Liens Regulation 2019 is repealed.  Explanatory note  The proposed amendment repeals the Storage Liens Regulation 2019 as a consequence of the proposed amendments by this Act to the Storage Liens Act 1935.					
1.16	Tow Truck Ind	ustry	Act 1998 No 111	7		
[1]	Section 20 Licence conditions					
	Omit "to have reasonable access to the motor vehicle, during business hours and at no charge to the owner, to collect items from the motor vehicle," from section 20(2)(d1).					
	Insert instead—			11		
		, or tl	ne owner's agent, to—	12		
		(i)	have reasonable access to the motor vehicle, during business hours and at no charge to the owner, to collect items from the motor vehicle, and	13 14 15		
		(ii)	remove the motor vehicle from the holding yard after the owner, or the owner's agent, has paid the fees that have been charged by the licensee in accordance with the regulations for the towing, salvage or storage of the motor vehicle, or for a service that is related to or ancillary to the towing, salvage or storage of the motor vehicle,	16 17 18 19 20 21		
[2]	Section 20(2)(d2)			22		
	Insert after section 20(2)(d1)—					
	(d2)	the li	censee must not remove a vehicle stored at a holding yard used by censee in the course of the licensee's business for the following oses unless authorised to do so by the owner of the motor vehicle, e owner's agent—  repairing the motor vehicle, obtaining a quotation for repair,	24 25 26 27 28 29		
		(11)	obtaining a quotation for repair,	29		

operating their business.

#### **Section 91 Tow Truck Industry Fund** [3] 1 Insert after section 91(3)(b)— 2 all amounts required to meet expenditure incurred in a prosecution 3 brought by the State, the Secretary or an authorised officer against a 4 person who commits an offence under the following laws in connection 5 with the carrying on of a business as a tow truck operator— 6 this Act and the regulations, 7 Australian Consumer Law (NSW), (ii) 8 Crimes Act 1900, and (iii) 9 10 **Explanatory note** Items [1] and [2] of the proposed amendments provide additional licence conditions for tow truck 11 operators. These conditions require a licensee to release a vehicle from their holding yard after the 12 vehicle's owner or owner's agent has paid the fees charged by the licensee for the licensee's dealings 13 with the vehicle, and prohibit a licensee from sending a vehicle away from the holding yard for repairs 14 without the vehicle owner's or owner's agent's permission. 15 16 Item [3] enables payments to be made from the Tow Truck Industry Fund to fund the prosecution of offences under other legislation that may be committed by tow truck operators in the course of 17

18